

1 Wednesday, 14 December 2011

2 (9.30 am)

3 MR DUNCAN MACNIVEN (continued)

4 THE CHAIRMAN: Good morning, Mr Macniven.

5 MR MACKENZIE: Good morning, sir, thank you. We interject  
6 the hearings today to finish off the topic C2 on  
7 surrogate testing. Mr Duncan Macniven has kindly  
8 returned. I have no further questions for Mr Macniven  
9 but my colleagues do.

10 THE CHAIRMAN: Mr Di Rollo?

11 MR DI ROLLO: This is C2 and Mr Dawson is taking the  
12 witness.

13 Questions by MR DAWSON

14 MR DAWSON: Thank you, sir.

15 Good morning, Mr Macniven. Thank you very much for  
16 coming back to finish off this topic with us. You  
17 explained the last time that you were here that in your  
18 role as assistant secretary, you were ultimately  
19 involved in giving advice to the minister on matters  
20 which fell within your remit, including, between 1986  
21 and 1987, blood transfusion matters. That's right,  
22 isn't it?

23 A. Not quite. My interest in blood transfusion matters  
24 continued until 1989. So it was 1986 to 1989. I had  
25 other responsibilities besides but it included -- my

1           remit included blood transfusion matters.

2   Q.   But between 1986 and 1987, you were in that role?

3   A.   Indeed.

4   Q.   Can you tell me what the role of the SNBTS directors was  
5        in the process of you providing advice to the minister  
6        on blood transfusion matters?

7   A.   The SNBTS directors, and particularly John Cash, the  
8        national medical director, was one of the -- were one of  
9        the sources of advice on which we relied in putting  
10       advice to the minister.

11  Q.   We have seen a good deal of evidence throughout this  
12        section and indeed other sections showing the position  
13        of a number of expert advisory groups, which were set up  
14        to give advice to the government nationally.  Would it  
15        be fair to say that the SNBTS directors' group really  
16        was a ready-made expert committee on blood transfusion  
17        matters in Scotland?

18  A.   It wasn't -- I wouldn't describe it as an "expert  
19        committee".  It wasn't constituted in that way.  But it  
20        was certainly a source of advice, and the meeting, the  
21        periodic meetings of the directors, were attended by  
22        John Forrester, Dr John Forrester from the department --  
23        from whom you have already taken evidence.

24  Q.   In Dr McClelland's evidence on this subject, he was  
25        asked what kind of advice would require to be given to

1 ministers to persuade them to do something like  
2 introducing surrogate testing, and three of the  
3 adjectives that he used were "strong", "clear" and "well  
4 argued". I'm sure you would agree with Dr McClelland  
5 that that's the kind of advice you would have to give  
6 them for such a measure to be taken?

7 A. Yes, I do.

8 Q. Thank you. You talked the last time you were here in  
9 some detail about your involvement in the financial  
10 implications of a process such as surrogate testing. Am  
11 I right in saying that the application for funding for  
12 surrogate testing came via the public expenditure survey  
13 documents that we looked at?

14 A. Yes, that was simply the bureaucratic vehicle by which  
15 such bids were made.

16 Q. Could we possibly have a brief look at your evidence  
17 from the last time you were here, which is on page 152  
18 of the transcript from Day 65, 17 November 2011.

19 I just wanted to remind you of a passage. This is  
20 a passage where Professor James was asking you about the  
21 process of dialogue between yourselves and the SNBTS in  
22 relation to the public expenditure survey applications.  
23 Could we just scroll down a little bit further than  
24 that. It's page 152 I'm looking for, please.

25 Professor James asked you:

1 "Was the bidding process iterative in any way?

2 "Answer: Yes, it was. My memory is that the SNBTS  
3 submission was quite brief and was sometimes a little  
4 hard to understand. So we would have certainly gone  
5 back -- if we had been in any doubt what was underlying  
6 it, we would certainly have gone back to the SNBTS and  
7 asked questions. My memory, which may be faulty, is  
8 that the submission was also discussed at the periodic  
9 meetings we had John Cash."

10 Does that encapsulate the kind of approach that you  
11 would generally take to these applications?

12 A. Yes, indeed. We would certainly not want there to be  
13 any risk of misunderstanding lying between us and the  
14 BTS.

15 Q. Could we just have a look at the public expenditure  
16 survey documents? I think the relevant ones are 1986  
17 and 1987, as far as surrogate testing is concerned. The  
18 first is [\[SNB0112637\]](#). You can see there this is the  
19 PES document 1986 programme narrative. If we could just  
20 have a look at page 2640, please, we see there, set out  
21 under table1, projections for various costings for  
22 various things.

23 I think Professor Cash told us that this document  
24 would have been likely to have been drafted around  
25 about May 1986 and it's projecting forward for the

1 1987/1988 year. So we see figures there, and in  
2 particular under 5(g), we see in the column entitled  
3 "1987/1988 ":

4 "Non-A non-B Hepatitis testing, 810."

5 And then for the following year, 836, which is the  
6 projection for those years.

7 A. That's correct.

8 Q. Could we look at page 2649, please. At the bottom of  
9 that page you see the passage entitled "NANB":

10 "Despite the absence of a specific test to detect  
11 donations which transmit non-A non-B Hepatitis, there is  
12 increasing evidence that both in Europe and  
13 North America formal moves will be made within the next  
14 12-18 months to introduce surrogate testing of all  
15 donations (liver function and anti-HBsAg core tests).  
16 Current studies in the States have costed this exercise  
17 at \$7 per donation. For the SNBTS this would be  
18 approximately £1.5 million ..."

19 Is that million pounds per annum?

20 A. I think so.

21 Q. "... using current exchange rates. There would be  
22 additional capital monies required and the US costings  
23 do not include a significant revenue cost for subsequent  
24 counselling of donors. Provision has been made for this  
25 development to commence in 1987-88 (part year)."

1           Before asking you any specific questions, I will  
2 just take you to the next document, which is  
3 [\[SNB0113743\]](#). This is the similar PES document for the  
4 following year. I think, again, Professor Cash told us  
5 but one can see the reference at the bottom right-hand  
6 corner and that probably suggests that this was drafted  
7 in around about June 1987 for the following year?

8   A. That fits in with my recollection of the financial  
9 cycles, I'm sure he is right.

10   Q. Good. If we go to page 3750, please. Again, we have  
11 a similar table there. Obviously a year later time, and  
12 under 5(f) this time, we have a reference for non-A  
13 non-B Hepatitis testing, and the references there under  
14 the 1988/89 and 1989/90 year, and I think we have had  
15 some evidence already explaining why those figures are  
16 lower than the ones before, so I do not want to go into  
17 that in any detail. Could we look at page 3755, please?  
18 There we have a similar passage to the one that we saw  
19 in the previous PES document:

20           "NANB surrogate donation testing.

21           "The SNBTS directors have now decided that in the  
22 light of the advent of new product liability laws in  
23 1988 and an emerging unchecked private sector blood  
24 collection services, it would be prudent to plan to  
25 commence this programme in the financial year 1988/89.

1           The costings are estimates only and it is proposed that  
2           we plan to ensure the financial burden covers two  
3           financial years but begins in July 1988 (the date new  
4           product liability legislation will be introduced)."

5           So there we have the basis upon which it would  
6           appear the application ultimately, to you for funding,  
7           is being made. Is that correct?

8    A.   That's correct, yes.

9    Q.   Did you, when you received these documents in 1986 and  
10       1987, find the reasoning behind the proposal that  
11       surrogate testing should be introduced and funding  
12       provided for it, in your own words, "a little hard to  
13       understand"?

14   A.   Yes, if one was relying on these documents alone. They  
15       have been drafted more to explain the arithmetic, if you  
16       like, than to justify the introduction of the testing  
17       that was proposed. But, of course, that was  
18       supplemented by the very frequent contact that we had  
19       with the BTS on this and on all the other matters that  
20       were covered by the public expenditure survey bid that  
21       they were making. So we weren't left reliant on that  
22       seven lines in the second document alone.

23   Q.   Okay. I follow that. Could we just take those two  
24       things separately: the reasoning and the arithmetic.  
25       Did you go back to the SNBTS directors after receiving

1           either of these documents to ask any further questions  
2           about their reasoning for proposing this?

3    A.   I can't remember specifically having done so.  We are  
4           talking about something half a lifetime ago.

5    Q.   Of course.

6    A.   But I certainly would have done either after the  
7           document was received, or before the document was  
8           received, because of course, the public expenditure  
9           survey bid was a point in time but the dialogue that we  
10          had with the SNBTS was constant.  It would have been to  
11          John Cash that I would have turned for elucidation  
12          rather than to the directors corporately.  It was really  
13          John with whom I had the contact.

14   Q.   Okay.  But your position on whether you went back, after  
15          either of these documents, specifically to seek further  
16          elucidation from Professor Cash on surrogate testing is  
17          that you do not specifically remember doing it?

18   A.   I don't remember doing it but we would have certainly  
19          elucidated it.  I just can't remember the means by which  
20          we did so.

21   Q.   Obviously there are a number of other things that you  
22          need to take into account.  It's not just surrogate  
23          testing in this document.  There are a number of other  
24          things that they are looking for funding for.

25   A.   Indeed.



1 Q. And on the arithmetic -- you said that the document was  
2 predominantly for finding a basis on the arithmetic.

3 Did you find that the arithmetic that had been used to  
4 arrive at the figures sought was clear or did you find  
5 it a little hard to understand?

6 A. I don't remember but my impression now is that I would  
7 have found the first document easier to understand than  
8 the second document, the 1987 document, because it at  
9 least explained where the figures came from, whereas  
10 this one doesn't. We would have certainly asked them --  
11 not necessarily I but we would certainly have asked  
12 them, "Run us through the calculation a wee bit  
13 further".

14 Q. Would it be fair to say that the calculation in both  
15 these documents is a little bit rough and ready?

16 A. Indeed, yes, and I don't know that that is surprising  
17 because they were looking in the first document 12 to 18  
18 months ahead; they were estimating in conditions of  
19 considerable uncertainty. So I don't think that I would  
20 have felt that they were falling down on the job --

21 Q. Okay.

22 A. -- when I looked at that at the time. I would have  
23 understood the uncertainty around their estimate.

24 Q. Okay. What information was available to you from the  
25 SNBTS in 1986/1987 about how the directors thought that

1 surrogate testing would work in practice?

2 A. I don't recall in detail but, looking at the papers  
3 which you have kindly provided, there is mention of the  
4 topic because of the concern about the effect on donors,  
5 the need for counselling for the many donors who would  
6 have been highlighted by any testing that was  
7 introduced. But the mechanics of how that was done was  
8 very much the preserve of the SNBTS. That was a matter  
9 of day-to-day management that we would have been happy  
10 to leave in their hands. They had huge expertise --  
11 have huge expertise -- in communication with donors like  
12 myself and we did not.

13 Q. Okay. I think you have mentioned there donor  
14 counselling, which is obviously an important practical  
15 matter. We have heard evidence from other witnesses  
16 that there would be other practical matters that would  
17 require to be considered, including training, the  
18 provision of appropriate equipment, efforts to replace  
19 blood lost to the donor system, and making decisions  
20 about practical matters such as where the cut-off in any  
21 ALT testing would be.

22 Would it not have been important for you to know  
23 about what proposals were being made about these  
24 practical matters in order properly to assess the likely  
25 costs of surrogate testing?

1 A. Yes, that would have been what we would have probed when  
2 we were asking about costs in the way that I described  
3 a moment ago. That's the sort of questions that we  
4 would have asked. But really the experts, in answering  
5 these questions, were the BTS. We didn't have in-house  
6 expertise at the level of detail necessary to be certain  
7 that their estimates were right. So there was, as you  
8 will imagine, an element of trust lying behind our  
9 relationship.

10 Q. Okay. I think you said there that these are the kinds  
11 of matters upon which you would have probed further but  
12 is the position that you remember or don't remember  
13 probing further in relation to these specific matters at  
14 the time?

15 A. I don't remember but it's very much the sort of thing  
16 that we would have done, so I'm sure we would have done  
17 it. I don't remember and the papers don't recall --  
18 don't record -- or the ones that I have seen anyway --  
19 don't record meetings with the BTS and details --  
20 detailed questioning about these calculations, but  
21 undoubtedly that process would have been gone through.  
22 We were talking about relatively large sums of money.

23 Q. Indeed. You will remember last time being taken to the  
24 minute of the meeting of the SNBTS directors in which,  
25 effectively, a decision is taken for a recommendation to

1 be made. It might be useful just to have that up  
2 although I don't want to look at it in any great detail,  
3 [\[SGH0016653\]](#). I'm looking in particular at page 6658.

4 This is the 3 March 1987 meeting, which I think you  
5 were probably taken to the last time that you were here,  
6 Mr Macniven.

7 A. I was, yes.

8 Q. You will recall there in bold that we have record of the  
9 recommendation that surrogate testing be implemented  
10 with effect from 1 April 1988. You will recall, as  
11 I think we see in the "Action" column on the right-hand  
12 side, that Dr Forrester attended that meeting in the  
13 normal way?

14 A. Yes.

15 Q. I just wanted to ask you a little bit further about how  
16 it was that the information about the recommendation  
17 made at that time was conveyed to you, to the best of  
18 your recollection?

19 A. I can't remember.

20 Q. I think maybe the last time you made reference to the  
21 possibility of there being a note by Dr Forrester, and  
22 we have certainly seen that that was his practice.

23 A. Yes.

24 Q. But I don't think we have actually managed to uncover  
25 that note, but I just wanted to ask if you could

1 remember anything specific about it.

2 A. No, I can't but that was indeed his practice and what  
3 was at that time a surprising turnaround in the position  
4 of the regional directors would have undoubtedly got to  
5 me quickly, perhaps orally, from John Forrester.

6 Q. I think you said the last time that the reaction  
7 certainly of Dr Forrester to this recommendation being  
8 made at this time, against the background of what had  
9 gone before, was that he was very surprised that they  
10 had come to this conclusion. Was that accurate?

11 A. Yes, that's an accurate reflection of my reading of the  
12 papers now. I don't remember it at the time.

13 Q. At the time when that recommendation was made, as far as  
14 you were concerned, on the basis of the information  
15 available to you, would you say that the reasoning  
16 behind the recommendation being made was clear to you or  
17 was it a little hard to understand?

18 A. I don't remember and again, I'm reliant on re-reading  
19 the papers. I think that I could have understood why  
20 they came to that view, which reasons are reflected in  
21 the public expenditure survey 1987 document that we  
22 looked at a moment ago.

23 Q. The reference there was to the impending product  
24 liability legislation. Was that your understanding of  
25 why they were making the recommendation?

1 A. And what they referred to, broadly speaking, as the  
2 continuing free access by the private sector to blood.

3 Q. Okay. At the time when the recommendation was made, did  
4 you or anyone else within SHHD, to your knowledge, seek  
5 any further information over and above what was  
6 available at that time and what appears here about the  
7 reasoning why the recommendation was being made?

8 A. I can't recall that. We would have certainly done so if  
9 we had felt doubtful about our understanding because, as  
10 I explained a moment ago, we were very keen, as a matter  
11 of good governance really, to avoid any misunderstanding  
12 between the BTS and the department.

13 Q. Against the background of there apparently having been  
14 a change and Dr Forrester's surprise, would it be fair  
15 to say that as a group you were doubtful about their  
16 reasoning, or is that inaccurate?

17 A. It depends what you mean by "doubtful".

18 Q. It's a word you use.

19 A. Yes, well, I was perhaps -- there are two elements that  
20 we might have -- that there might have been doubt in our  
21 mind. Doubt about why they had made the recommendation,  
22 why they had changed their minds, and I think that was  
23 the doubt that I was referring to. The other doubt is  
24 whether they were right or not.

25 Q. Right. So are you saying, just to be clear, that there

1 was a doubt about why they had changed their mind on  
2 this topic?

3 A. If there was any doubt, we would have clarified it, was  
4 the point that I was trying to make. I can't remember  
5 whether at that time we were in any doubt or whether  
6 John Forrester's account of the meeting was sufficiently  
7 full that we said, "Yes, we do understand".

8 Q. Were you, in your important administrative role,  
9 confident at this time that Dr Forrester, who was  
10 attending these meetings, was conveying to you  
11 accurately and fully the information and opinions that  
12 had been conveyed to him on this subject?

13 A. So far as I can recall, yes.

14 Q. I don't want to go into them in detail, and I hope you  
15 recall this, but after this -- I think you were taken to  
16 them the last time you were here -- there is a series of  
17 memos which starts off with one from Dr McIntyre,  
18 setting out his position on this, and then I think just  
19 about everybody else in the team replies, supportive of  
20 his opinion on the matter, and I think one of them is  
21 from you?

22 A. Indeed.

23 Q. I hope you remember that.

24 A. I do remember that, yes.

25 Q. At the time of the exchange which went on over the next

1 month or so after this, are you aware of anyone else  
2 within SHHD going back to seek further clarification of  
3 the reasoning for the recommendation at that time?

4 A. Remind me when "that time" was. I think it was very  
5 shortly afterwards, a month or so after.

6 Q. Yes, indeed, April/May I think would be correct.

7 A. I can't remember and the papers don't help my memory  
8 whether there was clarification sought at that time. If  
9 there had been any doubt in anyone's mind, such  
10 clarification would have been sought. And before  
11 Archie McIntyre wrote the memo that you are referring  
12 to, he would have personally been very clear in his mind  
13 why the directors were making the recommendation that  
14 they were.

15 Q. Okay. Thank you. Could I just move on to a slightly  
16 separate topic, and that's to do with your knowledge at  
17 that time of non-A non-B Hepatitis. You explained in  
18 great detail the last time your role and the role of the  
19 medical officers in ultimately making recommendations to  
20 the minister.

21 Could you tell me, as far as your recollection  
22 permits you, what your understanding, around about this  
23 period, 1986/1987, was about the potential severity of  
24 non-A non-B Hepatitis?

25 A. Yes, I can't remember from the time.



1 Q. Okay.

2 A. From the papers, I think the position is quite clear.  
3 Our understanding, voiced in a couple of notes by  
4 John Forrester at important points in the process, and  
5 I think perhaps that note from Archie McIntyre as well,  
6 was that non-A non-B was not serious, indeed not  
7 symptomatic, in a great many cases. But in some cases,  
8 particularly for pregnant women, it was a very serious  
9 matter indeed, and it could lead to cirrhosis of the  
10 liver, which even as a non-medical person I regard as  
11 a very serious condition.

12 So it could lead, could lead, in a small number of  
13 cases, to very serious conditions.

14 Q. You referred there to documents that are floating  
15 around. They are, obviously, as you have explained  
16 before, your knowledge of this would be coming through  
17 the medical advisers?

18 A. Yes.

19 Q. And you have made that clear. Could we just have  
20 a look, just for the sake of clarity, at [\[SGH0031657\]](#)?  
21 This is a document entitled "Material for the PMO  
22 Report". If we scroll down to the bottom, we can see  
23 that it's written by Dr Forrester and really at about  
24 this time, 26 January 1987, which, would it be fair to  
25 say, this is forming the backdrop to the recommendation

1 being made in the March?

2 A. Yes, but one has to bear in mind the length and purpose  
3 of this document. This is John Forrester contributing  
4 to a periodic -- was it monthly or quarterly? -- report  
5 that went to a meeting of the senior medics in the  
6 department. He was boiling down issues to a minimum and  
7 he was talking to a particularly well informed audience.  
8 That wasn't one of the documents that I'm recalling.  
9 I'm recalling a document -- a two-page document -- from  
10 John Forrester, earlier than this, when the issue first  
11 came up, and I'm recalling a single-page document,  
12 perhaps from Archie McIntyre, which was the one that you  
13 have just alluded to. This document is -- the one that  
14 you have on the screen in front of us -- is a fairly  
15 shorthand summary.

16 Q. I see. I selected this document really because of the  
17 timing of it but the particular passage that I was  
18 looking at is under number 2, where it says:

19 "Blood Transfusion and non-A non-B Hepatitis  
20 (Dr Forrester).

21 "This 'hepatitis' is a residual rag-bag when  
22 Hepatitis B and Hepatitis A are excluded, and  
23 consequently no specific tests can detect it."

24 And it says there:

25 "It is relatively benign."

1           What I would like to ask you about that, although  
2           you have explained what the purpose of this document is,  
3           is whether that statement, "it is relatively benign", is  
4           consistent or not with the information that you had at  
5           that time from the medical advisers about the severity  
6           of the disease?

7    A.   This is four words.  There are longer and more complete  
8           sets of -- pieces of advice from the medical advisers on  
9           which we would have relied.

10   Q.   Okay.  Did the understanding, as you have described  
11           it -- and obviously you are saying it goes a little bit  
12           further than is here -- influence the decision-making  
13           process within SHHD as to whether or not surrogate  
14           testing should be recommended?

15   A.   These four words that you have quoted didn't.  It would  
16           very much surprise me.  Because behind them lay the  
17           longer analysis that I summarised a moment ago and that  
18           would have been one of the factors that affected us.  
19           Another factor was the incidence of non-A non-B  
20           Hepatitis, so far as we could tell, among the recipients  
21           of blood products, which, particularly in relation to  
22           the levels in the US, was low.

23   Q.   Okay.

24   A.   There were few patients treated with SNBTS blood  
25           products caught non-A non-B Hepatitis, so far as the

1 blood transfusion service was able to advise us at that  
2 time.

3 Q. You told us the last time you were here that part of  
4 your role was to appraise advice received from the  
5 medical officers and to assess it critically. I think  
6 that was the shorthand way you put it, and you explained  
7 in more detail what that might involve.

8 A. Correct.

9 Q. Would that process or that responsibility have involved  
10 looking into the literature behind the advice that you  
11 were being given from people like Dr Forrester about the  
12 severity of the disease or would your responsibility not  
13 go that far?

14 A. No, I would have relied on John Forrester to go through  
15 that process with, behind him, the people to whom he  
16 spoke in the SNBTS. The only kind of literature, of the  
17 kind that you are describing, that I would have looked  
18 at would have been The Lancet letters, which I was  
19 reminded of in the run-up to this -- to my appearance  
20 here, about the differences of opinion in the various  
21 blood transfusion services in the UK about what exactly  
22 should be done about the problem of non-A non-B  
23 Hepatitis. So that, because these were relatively short  
24 and non-technical, my non-medical mind could grasp.  
25 Otherwise, as your question implies, I would have relied

1 on the experts within the department.

2 Q. Right. So you looked at The Lancet correspondence.

3 I think there were a number of letters we have looked at  
4 from Dr Contreras, Dr Gillon, Dr Dow and then, of  
5 course, as we may get to later, the letter from  
6 Professor Cash and others, on the subject of surrogate  
7 testing.

8 A. Yes.

9 Q. Would you have looked at Lancet articles relating to the  
10 severity of the disease, specifically?

11 A. Probably not, no. I don't think so. I would have  
12 relied, because my technical knowledge was limited, on  
13 the experts within the department.

14 Q. Just to be clear as to what your understanding was, can  
15 I take you very briefly to a passage in the preliminary  
16 report, which one can find at page 250. You will be  
17 familiar with the Inquiry's preliminary report,  
18 Mr Macniven?

19 A. Yes.

20 Q. I just want to take you to a short passage which seems  
21 to summarise the literature relating to the severity of  
22 the condition. You have told me that your understanding  
23 in around 1987/1987 went beyond the phrase "it is  
24 relatively benign". In paragraph 9.1, it says under  
25 reference to a number of articles, which one can see at

1 the bottom:

2 "From about 1985 onwards, there appears to have been  
3 a growing awareness that non-A non-B Hepatitis was  
4 a potentially serious and progressive disease which  
5 could lead over time to cirrhosis of the liver,  
6 hepatocellular cancer and death."

7 Does that accord with your understanding as at  
8 1986/1987?

9 A. Yes, as recorded in the papers at the time that  
10 I alluded to a moment ago.

11 Q. Hm-mm. So rather than the short phrase I referred you  
12 to earlier, you think this would be a more accurate  
13 summary of the state of your knowledge in 1986?

14 A. I think the best summary of my knowledge at that stage  
15 would be in one of these papers that I was referring to  
16 a moment ago, but my recollection of them is that the  
17 essence of them is the same as paragraph 9.1 of the  
18 Inquiry's report.

19 Q. Thank you. I just want --

20 THE CHAIRMAN: In particular are you referring to  
21 Dr McIntyre's paper?

22 A. I can't remember. I have the papers in front of me and  
23 I can quickly discover if that's --

24 THE CHAIRMAN: Could you just do that?

25 A. Okay.

1 MR DAWSON: I think, just to be clear, I referred to a memo  
2 by Dr McIntyre, and I think Mr Macniven thought we were  
3 talking about the same one. That's [\[SGH0028127\]](#).

4 THE CHAIRMAN: That's the one I have in mind.

5 MR DAWSON: This is the one that I described as starting the  
6 chain of correspondence between the members of the team.

7 A. Yes.

8 Q. Perhaps we could have that one up?

9 A. That's Archie McIntyre's minute of 6 April, 1987.

10 Q. Yes, that one. Could you just tell us which passage it  
11 is that you were referring to in that, which summarises  
12 accurately your understanding?

13 A. I suspect then that it was a different document. The  
14 document from John Forrester that I was alluding to  
15 a moment ago is his note of 12 June 1986, where it says:

16 "The condition is not, as a rule, serious".

17 Q. I think we have it up on the screen there.

18 A. Yes, that's correct. Paragraph 5 there is what -- is  
19 one of the two documents that I'm recollecting. The  
20 other document is not the note from Dr McIntyre that you  
21 were referring to and I thought it was, but there is  
22 another contemporaneous document, as distinct from the  
23 interim report of the Inquiry.

24 Q. So it's paragraph 5 there that you are referring to.  
25 There is another later document. I wonder if this might

1           be perhaps the one that you are referring to. That's  
2           SGH0024673. This again emanates, I think, from  
3           Dr Forrester. I apologise, I think I have referred to  
4           the second page. [\[SGH0024672\]](#) is the document.

5           Can we just flip over the page to 4673, just to  
6           verify the date of that?

7    A. I don't think that's the document that I was  
8           recollecting.

9    Q. Oh, right. I just thought it might be because of the  
10           reference there to the last passage, which goes slightly  
11           further, I think.

12   A. No, it's not that one that I'm recollecting.

13   Q. If you are happy that your position is accurately  
14           summarised by the document that we looked at immediately  
15           before this, I'm quite content to leave it at that,  
16           unless, of course, the chairman wishes me to probe this  
17           further?

18   THE CHAIRMAN: At the moment, Mr Dawson, I don't know where  
19           you are going. I don't know what the purpose of the  
20           questioning is and therefore I can't help you.

21   MR DAWSON: I'm trying to establish, sir, what Mr Macniven's  
22           understanding of the severity of the condition was.  
23           I think he has agreed with the passage in the previous  
24           document as being his understanding.

25   THE CHAIRMAN: He has also made it clear that there were



1 other documents that contributed to it and really, in  
2 fairness to Mr Macniven, who is not a medic, my  
3 intervention is related only to making sure that if you  
4 are going to follow that, you have to put to him the  
5 material that you think is relevant.

6 MR DAWSON: Yes, okay.

7 THE CHAIRMAN: I don't think that's unfair.

8 MR DAWSON: I appreciate that entirely, sir, and I am  
9 satisfied that I have explored it to the extent that I  
10 wish and so I will move on.

11 A. Yes, if it's material, I'm sure that given a more  
12 convenient moment to leaf through documents, I can  
13 identify the second document that I'm very clearly  
14 remembering but don't have to hand at the moment.

15 THE CHAIRMAN: You mustn't let yourself be put at  
16 a disadvantage, Mr Macniven. If you want to draw  
17 attention to a particular document, then when you get  
18 the chance just do that.

19 MR DAWSON: Thank you, sir.

20 Just moving on to slightly different topic, we  
21 discussed earlier that ultimately the purpose of this  
22 entire exercise was to consider whether or not  
23 a recommendation should be made to the minister to go  
24 down the route of surrogate testing. As I think you  
25 told us the last time, the factual position was that we

1 didn't get to that stage and it was your view, along  
2 with the advice of others, that it was not appropriate  
3 for the matter to go to the ministerial level. Is that  
4 accurate?

5 A. Yes, it is.

6 Q. Okay, thank you. My understanding is that in your role  
7 as assistant secretary, you were succeeded by Mr Tucker.  
8 Is that right?

9 A. Yes, it is.

10 Q. Could I just briefly take you to a passage in a report  
11 that he has provided for the Inquiry, which is  
12 [\[PEN0172060\]](#)? I'm looking in particular at page 2063.  
13 This is a report which he has provided for a separate  
14 section, the C4 section, which is to do with anti-HCV  
15 testing. Is this a document that you have seen before?

16 A. No, it isn't.

17 Q. I'll just take you through roughly what's being  
18 discussed here. This is a question that was put to him  
19 on the topic of anti-HCV testing, in which he is asked:

20 "A civil servant, Mr Tucker, himself sent a memo to  
21 Michael Forsyth, at the time Minister rather than  
22 Secretary of State, on 23 August 1989. The memo was  
23 prompted by an article in The Guardian regarding the  
24 Hepatitis C test. At the end of the memo it is stated  
25 that this was a UK issue and the Department of Health

1 was taking the lead. This appears slightly different  
2 from a position that the health departments were working  
3 together to appraise, and if appropriate, introduce the  
4 tests simultaneously. There is also the penultimate  
5 paragraph of page 3 of a certain document, which seems  
6 to suggest the Scottish decision would be taken in its  
7 own right on a recommendation from ACVSB. What was the  
8 position? Were the health departments for Scotland,  
9 England, Wales and Northern Ireland working jointly on  
10 the decision or was it an issue on which Scotland would  
11 follow whatever decision was taken in England? Was the  
12 formal position that the decision for Scotland would be  
13 taken in Scotland independently from the decision in  
14 England."

15 You will be pleased to hear I don't want to ask you  
16 anything specific about anti-HCV testing but I wanted  
17 just to refer you to a passage, which appears further  
18 below, about the procedure which was followed at this  
19 time. It was about half of the way down. You will see  
20 there is a passage starting:

21 "I am asked whether Scotland ..."

22 Do you have that?

23 A. Yes, indeed.

24 Q. He said:

25 "I'm asked whether Scotland would simply follow

1 England. The answer to this is yes and no. We would  
2 follow England if it was sensible to do so, for example  
3 in relation to the introduction of national testing  
4 where there was clear expert advice that this was the  
5 correct thing to do. We would not necessarily have  
6 followed England, if, for example, the ACVSB's  
7 recommendation had not been unanimous and had decided  
8 not to introduce testing. If we had contradictory  
9 Scottish expert advice, then ministers would have been  
10 consulted first."

11 It's really that last statement that I wanted to ask  
12 you about, because it seems on my reading that in  
13 relation, of course, to a separate issue at a different  
14 time, Mr Tucker is saying that where there was  
15 contradictory advice, in this case between the position  
16 in England and the position on expert advice in  
17 Scotland, if there were supportive expert advice for  
18 a certain course, although the English position was  
19 against that, the matter would be put to the minister.

20 As I understand it, the position in relation to  
21 surrogate testing was that you had a position in England  
22 that was essentially against it and there was  
23 a recommendation in Scotland in favour of it. What  
24 I wanted to ask you was whether the practice at your  
25 time was different from the practice that Mr Tucker has

1 pointed out, on the basis that you did not make  
2 a recommendation to the minister in those circumstances?

3 A. Yes, it's broadly speaking the same. As I explained the  
4 last time I was sitting in this seat, the task of  
5 deciding when to put an issue to ministers wasn't an  
6 absolute black and white one, which is why George Tucker  
7 is saying the answer to this is yes and no. But I agree  
8 with the thrust of what he is saying.

9 Q. I may not have made myself exactly clear. What he  
10 appears to be saying there is when there is  
11 contradictory supportive Scottish evidence for  
12 a particular course, that matter would be put to the  
13 minister. In your situation, that basic set of  
14 circumstances appear to exist but the matter was not put  
15 to the minister, so there appears to be an inconsistency  
16 in practice. I just wanted to explore that with you  
17 a little bit further.

18 A. No, with respect, this is a different situation.

19 Q. Okay.

20 A. He is talking about the -- is he not? -- the  
21 hypothetical question of an advisory council, the  
22 national advisory council -- covering Scotland as well  
23 as the rest of the UK -- the advisory committee coming  
24 up with a positive recommendation and Scotland finding  
25 reason to dissent. I was facing the opposite position,

1           that the constituted advisory body was recommending --  
2           or was not recommending surrogate testing; by  
3           implication was against it -- was not recommending it.

4    Q.   I think the advisory body that you were referring to is  
5           the Working Party On Transfusion-associated Hepatitis.  
6           Is that right?

7    A.   I don't recall the precise title but there was  
8           a constituted body that covered the whole of the UK,  
9           which was advising both us and DHSS --

10   Q.   Okay.

11   A.   -- on this topic.

12   Q.   Right.  So you don't see any difference between the  
13           position being advocated there, which is the matter  
14           would go to the minister if a different position were  
15           being taken in Scotland, and the position with which you  
16           were faced?

17   A.   There are two hypothetical questions there, I think, and  
18           as I have explained, the decision on whether or not to  
19           put a matter to ministers was a matter of degree, which  
20           wasn't black and white in quite the absolute way that  
21           you are seeking for.  I'm sorry but there just weren't  
22           absolute rules that guided you in when to put a matter  
23           to ministers.

24   Q.   Okay, thank you.  Could I just ask you about a document  
25           which you wrote, which is [\[SGH0028076\]](#), please?  I'm

1       sure this is a document you have been referred to  
2       before?

3    A.   Yes, it is.

4    Q.   I just wanted to look at it in a bit more detail with  
5       you.  You see it's a document by yourself dated  
6       2 October 1987.  If we just scroll up to the top, we can  
7       see that it's going to Dr Forrester, Dr McIntyre and  
8       Dr Forbes, and if we could just read through it, it  
9       says:

10               "SNBTS: screening donations for non-A non-B  
11       Hepatitis:

12               "1.  Thank you very much for your helpful minute of  
13       1 October.  Your final paragraph concerns timing.  The  
14       PES timetable really requires us to reach a decision  
15       very soon on whether to earmark funds for the SNBTS for  
16       this purpose.  I have, however, taken steps to get round  
17       this problem by registering with finance division that  
18       a need for NANB testing may emerge but (and this is the  
19       key point) it would be premature to allocate money to  
20       the SNBTS for the purpose at the moment.

21               "2.  But I'm a little anxious about the timescale  
22       implied by your minute.  I am very anxious indeed for  
23       our decision (on whether or not to put resources into  
24       NANB testing) should be properly informed by research  
25       evidence.  If that evidence justifies testing, then it

1 is very important that we should be able to find the  
2 money to start it quickly. If it does not justify  
3 testing, it is equally important that we should not have  
4 allocated money to the SNBTS for the purpose, thereby  
5 sterilising it for other uses, but I think the worst of  
6 all possible worlds is that research cannot get off the  
7 ground. I fear that in those circumstances we would be  
8 subjected to increasingly irresistible pressure to spend  
9 the money in any case, for the sake of improving (at any  
10 price) the safety of blood and blood products.

11 "3. With that in mind, is it possible to expedite  
12 the feedback to SNBTS? I absolutely agree that we  
13 should not give feedback until DHSS has come to a view,  
14 but what is the timescale for that? What prospect is  
15 there for the biochemical research committee's feedback  
16 being given, perhaps on the basis of an informal meeting  
17 in the first place, very soon thereafter? I can well  
18 understand the general CSO disinclination to repair  
19 research proposals, but I hope that too much stress does  
20 not need to be placed on that principle in this case,  
21 because of the substantial patient safety/expenditure  
22 issues which are at stake."

23 So this is a little bit later in the timescale and  
24 obviously, as I think you described the last time, your  
25 concern at this stage was whether funding would be



1           earmarked for surrogate testing or not?

2    A.   Yes, I was very keen to make sure that funding should  
3           not be the limiting factor if the scientific/technical  
4           light turned to green.

5    Q.   Okay.  So would I be correct in saying that at this  
6           stage the SHHD view was that research was required  
7           before surrogate testing should be introduced?

8    A.   That there were problems with surrogate testing on which  
9           research could throw light.

10   Q.   Okay.  You say in this document that it would be the  
11          worst of all possible worlds if research could not get  
12          off the ground.  What was your understanding of the  
13          nature of the research that was being proposed at this  
14          stage by the blood transfusion services?

15   A.   I don't remember from the time but from reading the  
16          papers -- but you can read them as well and what I'm  
17          about to say may be a slightly inaccurate recollection  
18          of them.  From the papers it was a study of donors who  
19          tested positive to ALT to see why -- to overcome or try  
20          to overcome the false positive/false negative problem,  
21          that the ALT test both ruled out the use or indicated  
22          against the use of blood which was in fact safe, and  
23          failed to pick up blood which was in fact infected.

24   Q.   Did you think at that time -- I think this is probably  
25          inherent in what you are saying here -- that the

1 research would be likely to tell you whether or not  
2 surrogate testing would be of value or not?

3 A. It would give an indication. It wouldn't of itself  
4 overcome some of the problems that we saw around  
5 surrogate testing. But it would be a very helpful  
6 factor, as the tenor of my document at the time shows.

7 Q. Okay. At the beginning of paragraph 2 you point out  
8 that you are anxious that the decision should be  
9 properly informed by research evidence, and you say:

10 "If that evidence justifies testing, then it is very  
11 important that we should be able to find the money to  
12 start it quickly. If it does not justify testing, it is  
13 equally important that we should not have allocated  
14 money to the SNBTS for the purpose ... "

15 Are you asking Dr Forrester to give some sort of  
16 prediction as to what the research will show?

17 A. No, I'm asking him to unblock an obstacle to the funding  
18 of that research.

19 Q. Okay, and you then go on to say that:

20 "The worst of all possible worlds is that research  
21 cannot get off the ground."

22 Because in those circumstances you would be  
23 subjected to increasingly irresistible pressures to  
24 spend the money in any case for the sake of improving,  
25 at any price, the safety of blood and blood products.

1           Why would it be "the worst of all possible worlds" if  
2           research could not get off the ground at that time?

3    A.    Because we would be taking the decision on information  
4           which was not properly informed by research evidence.

5    Q.    Okay.  From whom would the increasingly irresistible  
6           pressure come to spend the money on surrogate testing?

7    A.    I think, as far as I can recollect, I would have been  
8           reflecting there the same kind of pressure that had led  
9           the directors earlier -- the SNBTS directors earlier in  
10          the year to change their tune.

11   Q.    Is it the position that at this stage your view was that  
12          research was the number one priority, no matter what the  
13          nature of that research, because if you didn't have  
14          research, then you would have to make a decision and  
15          that decision would, because of the irresistible  
16          pressure, be to introduce surrogate testing?

17   A.    No, not any research, research that threw light on the  
18          question that I described a moment ago.

19   Q.    Okay.  Thank you very much indeed, Mr Macniven.

20                 Thank you, sir.

21   THE CHAIRMAN:  Mr Anderson?

22   MR DAWSON:  Excuse me, sir, Mr Di Rollo has just pointed out  
23                 to me that he has located a document which may or may  
24                 not be the one that Mr Macniven referred to earlier,  
25                 which may save him some further research.  It's

1 [\[SGH0028142\]](#).

2 A. This is one of the two documents but it's the one that  
3 I have already identified.

4 MR DAWSON: This is the one where you accepted the content  
5 of paragraph 5, I think.

6 A. That's right. That was one of the two documents I'm  
7 remembering. I'm very grateful to Mr Di Rollo for his  
8 researches but I am afraid I will have to continue them  
9 myself.

10 MR DAWSON: My apologies, sir.

11 Thank you Mr Macniven.

12 THE CHAIRMAN: A polite civil servant's way of dismissing  
13 the effort --

14 A. At least it was polite.

15 THE CHAIRMAN: Mr Anderson?

16 MR ANDERSON: I have no questions.

17 THE CHAIRMAN: Mr Johnston?

18 MR JOHNSTON: I have no questions.

19 MR MACKENZIE: I have no further questions for Mr Macniven  
20 but I would like to spend five minutes just tidying up  
21 the topic.

22 THE CHAIRMAN: Mr Macniven, thank you very much.

23 A. Glad to help.

24 Final matters on topic C2

25 MR MACKENZIE: Could I just have five minutes to finish the

1           topic by referring to various documents, particularly  
2           statements from witnesses who have not been asked to  
3           attend the hearings and also one of the ancillary  
4           documents as well.

5   THE CHAIRMAN:  Take it reasonably gently, please, since this  
6           is taking us back quite a way.  Take it reasonably  
7           gently, please.

8   MR MACKENZIE:  I think it's helpful to do it with reference  
9           to the inventory for this topic, which we find at  
10          [\[PEN0172637\]](#).  This is a very full and helpful inventory  
11          prepared by Miss Marsh for us.  If we just go through  
12          firstly, sir, Dr McClelland, we will see there is  
13          a shaded document, a response to request for data on ALT  
14          threshold.  We won't have to go to it.

15   THE CHAIRMAN:  Could you just stop because my copy of the  
16          inventory, hard copy, has got a blank against this --

17   MR MACKENZIE:  I see, it's [\[PEN0172667\]](#).

18   THE CHAIRMAN:  Thank you.

19   MR MACKENZIE:  In short, this is a series of emails between  
20          Dr McClelland and a colleague in Germany on the question  
21          of the ALT thresholds in Germany.  I refer to the  
22          documentation for completeness but I don't think it does  
23          actually materially add to the existing evidence.

24                 As regards Professor Cash, the last document under  
25          his listing, "Comment on ALT Testing of Plasma", the

1 document is [\[PEN0172635\]](#). That relates to  
2 Professor Cash's supplementary statement. He had raised  
3 the potential issue of proposals made in England in 1990  
4 and again in 1994, to test plasma sent to BPL for ALT,  
5 and we asked a number of witnesses: was that proposal  
6 given effect to, did that in fact happen? That document  
7 is Professor Cash's response. He thinks it may not have  
8 but, like the other witnesses, he simply can't say  
9 definitively. It's a "for completeness" question.

10 Under Dr Ruthven Mitchell, Dr Mitchell voluntarily  
11 provided us with a statement on shortages of donor  
12 blood. It's [\[PEN0172805\]](#).

13 THE CHAIRMAN: 2806 or 2805?

14 MR MACKENZIE: 2806, I'm grateful.

15 I don't propose going to that document. It's again  
16 in the "for completeness" category.

17 Dr Eddie Follett has provided a short commentary at  
18 [\[PEN0171860\]](#) on both the C2 and the C4 topics. I say  
19 "commentary", it's really short comments. Again,  
20 I don't propose going to it.

21 THE CHAIRMAN: It's very short indeed.

22 MR MACKENZIE: It's very short.

23 And then returning to the inventory at the bottom of  
24 page 1, on the question raised by Professor Cash in his  
25 supplementary statement of the proposed ALT testing of

1 plasma sent to BPL in England in 1990 and 1994, we also  
2 asked Dr Foster and Dr Perry for their recollections as  
3 to whether that in fact happened. In short, they think  
4 it may not but can't say definitely, and their  
5 respective statements on that are [\[PEN0172636\]](#) and  
6 [\[PEN0172777\]](#). Over the page there are some more  
7 substantive documents, albeit still, I think, secondary  
8 to the evidence that has been led at hearings.

9 Firstly Dr Forrester. He has provided voluntarily  
10 an email of 3 December 2011 of what he understands by  
11 the word "benign". I think that may not in fact yet  
12 have a court book reference number, and I think in fact  
13 it is being treated as a new application, which I think  
14 is still outstanding.

15 So I refer to this email here for completeness but  
16 one will have to wait and see what the outcome of that  
17 application is. I think it has been circulated to the  
18 other parties and I think we are perhaps waiting to hear  
19 if anybody objects to that being received as evidence.  
20 And if it is received, then it would be of course under  
21 the category of untested evidence. It would be there  
22 for what weight it can be given. We can provide a court  
23 book reference for that once we have it in due course.

24 Dr Scott, sir, has provided a principal statement,  
25 [\[PEN0171850\]](#) and a supplementary statement,

1 [\[PEN0171854\]](#). They are worth looking at. I won't take  
2 you to them now, sir, but they are reasonably short  
3 documents setting out Dr Scott's recollection, such as  
4 it is, on the question of surrogate testing. But  
5 I think the better or the fuller evidence has been given  
6 by Dr MacDonald, who of course attended in person and  
7 was in fact the CMO.

8 Then Mr Murray provided a statement, [\[PEN0171755\]](#).  
9 He didn't attend but we did go over his statement in  
10 some detail with Mr Macniven, so we are aware of the  
11 contents of that, and it's quite helpful, setting out  
12 the procedure for the PES bids and how they were dealt  
13 with.

14 Dr McIntyre is unable to attend but he did provide  
15 two short statements, [\[PEN0171856\]](#) and [\[PEN0171858\]](#).  
16 Again, useful to look at but I think the better evidence  
17 has been led at the hearings. So the fuller evidence  
18 has been led at the hearings.

19 Then, sir, Dr Moir was in the chief scientist's  
20 office at the time and his statement, [\[PEN0171941\]](#), is  
21 in respect of the refusal of the Gillon/McClelland  
22 application in 1987 for funding to take part in the UK  
23 study on surrogate testing. This statement is worth  
24 looking at for some of the general background but  
25 I don't think it materially adds to what we have heard



1 in evidence about the reasons for the refusal of the  
2 application.

3 Then Dr Moir produced a further response,  
4 [\[PEN0172489\]](#), in response to a separate point raised by  
5 Professor Cash, namely the reasons for the disbanding of  
6 the MRC blood transfusion committee, and Professor Cash  
7 has suggested Dr Moir might be able to help in that  
8 regard. In short, Dr Moir isn't able to help us.

9 Then, sir, three final matters, which aren't in the  
10 inventory. Firstly, if we can go, please, to  
11 [\[PEN0172803\]](#), sir, you may recall the question of the  
12 precedence book.

13 THE CHAIRMAN: Yes.

14 MR MACKENZIE: Thank you. We will see that the  
15 Scottish Government had helpfully provided us with an  
16 emailed response of 29 November 2011. I think we can  
17 just read for ourselves what is said there.

18 THE CHAIRMAN: Yes.

19 MR MACKENZIE: It may still raise various questions, sir,  
20 but I'm not sure we can take that any further.

21 THE CHAIRMAN: No, if it was the sort of document that was  
22 used on a temporary basis and then discarded, there is  
23 not very much we can do about it, although it might have  
24 been interesting if we had been able to have it in its  
25 original form. At least it removes the suspicion that

1 I had that it might be some sort of style book that  
2 could be drawn on by others who were trying to prepare  
3 submissions of one kind or another.

4 MR MACKENZIE: Thank you. Then another email, please,  
5 [\[PEN0172805\]](#). This is an unprompted email from  
6 Professor Leikola, clearing up one minor point of detail  
7 in his evidence. It's 2 December 2011 and it's not the  
8 first paragraph. We can see the return trip went  
9 uneventfully and the same wind and rain welcomed him in  
10 Helsinki. It's not that, it's the next paragraph, the  
11 question of Vox Sanguinis and his attendances.

12 THE CHAIRMAN: Yes.

13 MR MACKENZIE: The final matter, sir, to conclude this  
14 topic: I felt I ought to return to the question of  
15 positive predictive value. That was something I side  
16 stepped at the time but I have now had the chance to go  
17 and look in a dictionary, in particular the Cambridge  
18 Dictionary of Statistics in the Medical Sciences. It's  
19 the first edition in 1995. It provides this definition.  
20 I think the term is really self-explanatory, namely:

21 "The probability that a person having a positive  
22 result on a diagnostic test actually has a particular  
23 disease."

24 PROFESSOR JAMES: That's very crisp.

25 MR MACKENZIE: Sir, that now concludes the topic C2 and we

1 return, perhaps after a break, with Professor Hayes on  
2 C6.

3 THE CHAIRMAN: Thank you very much. I think that's an  
4 appropriate time to break, even though it's a little bit  
5 early.

6 (10.42 am)

7 (Short break)

8 (11.14 am)

9 PROFESSOR HAYES (sworn)

10 Questions by MS PATRICK

11 THE CHAIRMAN: Yes Ms Patrick?

12 MS PATRICK: Sir, Professor Hayes this morning is speaking  
13 to the topic C6.

14 I would like to start, Professor Hayes, with your  
15 CV. Unfortunately the fuller version, which we were  
16 discussing earlier, has not made it into our court book  
17 system. You did provide us with a much abbreviated  
18 version, which is [\[PEN0180237\]](#).

19 Sir, I'll make sure the extended version is lodged  
20 into court book and provide the reference for everybody,  
21 when I can.

22 This confirms that you are professor of hepatology  
23 and honorary consultant gastroenterologist at Edinburgh  
24 Royal Infirmary. When did you become a consultant  
25 there?

1 A. In 1990.

2 Q. Right. A professor?

3 A. In 1998.

4 Q. Previously you obtained your medical degree in Dundee?

5 A. I did, yes.

6 Q. And MD and PhD, was that based in Dundee?

7 A. The MD was based in Dundee and the PhD in Edinburgh.

8 Q. Right. You tell us that your responsibilities as

9 consultant hepatologist are both in the centre for liver

10 and digestive disorders and in the Scottish liver

11 transplant unit.

12 A. Yes.

13 Q. You are lead clinician for Hepatitis C management in

14 Lothian and the designated hepatologist for the

15 Edinburgh haemophilia unit.

16 A. The second part of that is true. I share the lead

17 responsibility now with a Dr Bathgate.

18 Q. When did you first start working with the Edinburgh

19 haemophilia unit?

20 A. I suspect in the early 1990s. I can't remember exactly

21 when. I was appointed in 1990 as a consultant and

22 I should think slowly became more involved with the

23 activity of the haemophilia centre in the early 1990s.

24 Q. You say there "recent president of the British Society

25 for the Study of Liver"; how recent is that?

1 A. I demitted office in September this year. So it was  
2 a two-year post.

3 Q. You are also very actively involved in research. How  
4 much of your time is taken up with research?

5 A. It's supposed to be 50/50 in my contract. The  
6 research -- very little of it over time has been in the  
7 laboratory. The vast majority is involving patients.  
8 So in fact my research and clinical activity overlap  
9 quite a lot. It varies considerably as the years have  
10 gone by. I remain research active.

11 Q. And your main research interests, what are they?

12 A. Primarily portal hypertension, which is a complication  
13 of cirrhosis that leads to problems in patients who have  
14 cirrhosis, but I have been interested in many other  
15 aspects of liver disease, including Hepatitis C and  
16 liver transplantation.

17 Q. Yes. With regard to Hepatitis C, having started as  
18 a consultant in 1990, presumably you have been involved  
19 in the treatment of Hepatitis C since, really, the early  
20 days of it?

21 A. Absolutely, yes.

22 Q. You have provided the Inquiry with a report. The  
23 reference for that is [\[PEN0180240\]](#), and this report was  
24 in response to questions which the Inquiry posed to you,  
25 and the reference for these questions, which I don't

1           need to look at just now, is [\[PEN0180238\]](#).

2           So we are looking firstly at the what treatment  
3           might have been available for patients before the  
4           Hepatitis C virus was discovered?

5   A.   Yes.

6   Q.   And you point out that at this time the obvious  
7           difficulty was that it was an unspecific diagnosis?

8   A.   Yes.

9   Q.   And so patients who presented with jaundice or had  
10          abnormal liver tests might be diagnosed as suffering  
11          from non-A non-B Hepatitis. Was that usually the case  
12          if a patient presented --

13   A.   No, many people have abnormal liver function tests, for  
14          example, nowadays probably one of the commonest would be  
15          obesity. That was less of an issue in the 1980s but it  
16          wasn't really appreciated that things like obesity and  
17          diabetes could cause abnormal liver tests. So there  
18          were many, many causes -- there remain many, many  
19          causes -- of abnormal liver tests that are not viral,  
20          such as alcohol.

21          So a diagnosis of non-A non-B wasn't really  
22          considered in patients where an alternative explanation  
23          could be found and it tended to be triggered -- or it's  
24          likely that it would have been triggered if somebody had  
25          had a blood transfusion and then had abnormal liver

1 tests, and that's really where the concept of non-A  
2 non-B being a virus came from. So if somebody just had  
3 abnormal liver function tests, it's relatively unlikely  
4 that a putative viral diagnosis would be made, but on  
5 the other hand, if somebody had had abnormal liver  
6 function tests following a blood transfusion, then  
7 that's more likely.

8 But my understanding is it was not a very common  
9 diagnosis.

10 Q. No.

11 A. No.

12 Q. And you tell us further down that not all those who were  
13 at that time thought to have non-A non-B Hepatitis  
14 represented Hepatitis C?

15 A. Absolutely. The term "non-A non-B" was not well defined  
16 and you can find references for "enteric", which means  
17 GI-tract-related or acquired by the oral route. Non-A  
18 non-B, I mean, clearly that's not Hepatitis C. So many  
19 people who had non-A non-B wouldn't have had Hepatitis C  
20 and many more who had Hepatitis C wouldn't have been  
21 labelled as "non-A non-B". For example, a lot of people  
22 were found to have a combination of alcoholic liver  
23 disease and Hepatitis C. Before the discovery of the  
24 virus, they would be labelled as "alcoholic liver  
25 disease" alone, whereas, you know, to have two risk

1 factors would now be recognised.

2 So it was a woolly diagnosis.

3 Q. And presumably that made treatment of it difficult at  
4 that point?

5 A. Well, a lack of certainty that there might be a virus;  
6 how you pick them up with abnormal liver function tests;  
7 what you would be monitoring if you were to treat them  
8 and the lack of proven effective treatment. And it  
9 wasn't really until around 1986, when there were  
10 suggestions that interferon might be successful; but  
11 these earlier reports were small and they were  
12 inconclusive in the sense that were we really doing  
13 long-term good.

14 Q. If a patient presented with jaundice, how was that  
15 treated?

16 A. If somebody presented with jaundice after a blood  
17 transfusion, then -- we now know that actually that's  
18 a very unusual presentation for Hepatitis C. The vast  
19 majority don't get jaundice. But if somebody became  
20 jaundiced some weeks after a blood transfusion, people  
21 would say this is likely to be non-A non-B and they  
22 would be monitored and no specific treatment would be  
23 given, as there wasn't anything proven to be effective,  
24 and this is likely -- I actually cannot remember a case  
25 in that situation.



1 Q. Was there a time when somebody with jaundice was seen as  
2 infectious?

3 A. People who are jaundiced are considered at the present  
4 time and in the past as potentially infectious,  
5 depending on what the cause of the jaundice was. So if  
6 they were found to be Hepatitis B, they would be  
7 considered infectious. If it was after a blood  
8 transfusion and non-A non-B was considered, then being  
9 a virus, it is likely they would be considered  
10 infectious. But the natural history of that infectivity  
11 and the risk factors really didn't become clear, other  
12 than related to blood transfusion, until Hepatitis C  
13 virus was discovered.

14 Q. You tell us in the second paragraph that the first  
15 treatment that was found to be successful in some cases  
16 was human Alpha interferon?

17 A. Hm-mm.

18 Q. Were other treatments tried before then that were  
19 unsuccessful?

20 A. There are reports in that paper of people trying things  
21 like steroids, but it's remarkably difficult to treat  
22 a condition if you don't have the cause. You are likely  
23 to be treating people who didn't have the virus. So  
24 I think it would be fair to say that Alpha interferon  
25 was the first drug that looked promising but, although

1 it was in a very famous medical journal, the New England  
2 Journal of Medicine, if you were to try and prove  
3 nowadays with a study of ten people, that a treatment  
4 was effective, you wouldn't persuade many people, and in  
5 that study they took ten pairs where they had  
6 a diagnosis or a putative diagnosis of non-A non-B viral  
7 infection and gave them Alpha interferon, and all they  
8 could monitor to see if it was being effective was their  
9 liver function tests and they found that these tests  
10 improved in some.

11 When they stopped the interferon, they would  
12 deteriorate in some, and they did some liver biopsies  
13 before and after treating some of the patients and  
14 suggested there might have been some improvement, but it  
15 was not conclusive proof that interferon was an  
16 effective treatment, which is, in hindsight, what we  
17 would expect once there had been far bigger studies,  
18 once the virus had been identified.

19 Q. What had interferon been used to treat before this  
20 study?

21 A. Interferon is a drug that had been produced recombinant  
22 with technological methods. The interferon is a natural  
23 substance the body makes to fight viruses, so it was  
24 developed with the idea that it might be used for  
25 viruses. I'm unaware in 1989, around that time, there

1 was -- it was a standard treatment for anything.  
2 Subsequently, variations have been used in MS  
3 conditions, yes.

4 Q. Thank you. The article that Professor Hayes was  
5 referring to, sir, is [\[LIT0013806\]](#).

6 You say that you have no personal recollection of  
7 using interferon treatment in the setting of non-A non-B  
8 Hepatitis.

9 A. No, I have used it around -- it must have been around  
10 that time -- for Hepatitis B, a different liver viral  
11 infection, which was being considered at that time but  
12 I have no recollection of ever treating somebody with  
13 interferon before 1991 or something like that. I was  
14 only a consultant in 1990, so it would be unlikely that  
15 I would be leading treatment before.

16 Q. Moving on to the next section of your report, if we  
17 could scroll over to page 3, you tell us in the second  
18 paragraph there that once the virus could be identified,  
19 drug trials showed in turn that firstly Alpha interferon  
20 alone, three times weekly, appeared effective in  
21 clearing the virus in a minority of patients. And  
22 further down, if we could scroll down, please, under the  
23 paragraph 3, you tell us that this was really introduced  
24 in clinical practice around 1991 and 1992.

25 Then in 1995/1996 ribavirin was added.

1 A. These would be the times when there were reports coming  
2 out they might be successful, publications, being  
3 introduced into clinical practice, outwith trials would  
4 be a little bit later than that. So reports with  
5 ribavirin. So pretty soon after the virus was  
6 discovered, because of the early suggestion that  
7 interferon might work, it didn't take long for  
8 interferon to be used in the patients shown to have  
9 Hepatitis C. As you say, it was not particularly  
10 effective, probably around 20 per cent of people were  
11 cured and the haemophiliacs, probably that number was  
12 quite a lot less.

13 One of the difficulties at the time was to know if  
14 and how you had cured somebody. What was the definition  
15 of "cure"? We knew that after treatment, this condition  
16 would relapse. So if somebody was negative for the  
17 virus after it had been treated, how long did you have  
18 to monitor them before you could be certain that they  
19 weren't going to relapse later on? And the figure of  
20 six months appeared.

21 Obviously these things take time but very few people  
22 who are still negative for the virus six months after  
23 finishing treatment will relapse, whereas quite a number  
24 who were negative at the end of treatment would relapse  
25 within the first six months.

1           So the idea of curing people took some time to be  
2           accepted.

3   Q.   You mention a six-month figure?

4   A.   Yes.

5   Q.   When did that figure start to be used?

6   A.   I think that figure was probably being used between  
7           1992/1995.  There would be debate and dispute about  
8           these things but we certainly recognised well that  
9           people relapsed after stopping treatment, and one of the  
10          advantages of ribavirin, probably, was that it reduced  
11          the risk of relapse.

12           So many people would show some response to  
13          interferon but it became clear that actually only 10 to  
14          20 per cent would maintain this clearance of the virus.  
15          When ribavirin -- I think the suggestion that ribavirin  
16          might be useful probably was appearing around  
17          1994/1995/1996, but it wasn't introduced into standard  
18          clinical practice for some years after that.  The bigger  
19          trials were required to show effects there and they were  
20          in the late 1990s.

21   Q.   Right.  So to recap, these dates you have given us for  
22          the introductions of the treatment, is this when  
23          patients might have received these treatments as part of  
24          a clinical trial?

25   A.   Yes.  So the requirement for drugs to be licensed and go

1 through regulatory approval and local formal approval  
2 evolved over the last 20 years. So interferon was  
3 probably used locally in Edinburgh early on, around  
4 1991/1992/1993. Ribavirin was probably introduced  
5 locally later than I have down there as 1995, 1996, when  
6 it was used in trials, and pegylated interferon, the  
7 slow acting, the longer acting, interferon would be well  
8 into 2000s.

9 Q. So as a patient, if you wanted to receive treatment with  
10 these, your first opportunity to do that would be to  
11 take part in a clinical trial?

12 A. Not all areas or patients would have access to clinical  
13 trials. The clinical trials tended to be dominated by  
14 a small number of companies and they would run trials  
15 really for regulatory authorities in the US and Europe,  
16 and you might be "lucky" enough to be in a centre where  
17 they were recruiting for clinical trials or you might  
18 not.

19 And access to that newer treatment wouldn't be  
20 available outwith clinical trials until it had been  
21 approved and licensed. That process is now far more  
22 vigorous than it was going back 20, nearly 30 years.  
23 Nearly all the clinical trials at that time would  
24 require the patient to undergo a liver biopsy before  
25 treatment and after treatment, and we didn't consider

1           that that was likely to be in the patient's best  
2           interest in people with haemophilia. So the  
3           haemophiliac group of patients we didn't feel would be  
4           particularly suitable to be going into trials.

5    Q.   So patients with haemophilia were less likely to benefit  
6           from the trial of these treatments?

7    A.   They were less likely to be exposed to the trial  
8           situation. Some trials -- I mean, the trials we are  
9           talking about here were successful. Not all clinical  
10           trials show benefits. So I don't think not being in  
11           trials was a major disadvantage. The standard trials  
12           would have a standard treatment in half the patients  
13           generally and the new treatment in half and compare  
14           them. So even if you went into a clinical trial, you  
15           were just as likely to have the standard treatment as  
16           the new treatment.

17   Q.   And the time between trying to be part of a clinical  
18           trial and not and then eventually getting the  
19           treatment --

20   A.   Would be some years.

21   Q.   -- would be some years, and the effect of that on the  
22           virus?

23   A.   It's generally believed the earlier you have treatment,  
24           if you have Hepatitis C, the better the potential  
25           outcome, but since the natural history of the infection

1 or the natural history of the condition from the time of  
2 infection until it causes problems is measured in  
3 decades rather than years and some people will have the  
4 infection for 60 years and not have cirrhosis at the  
5 end. So to wait one, two, three, four, years, I don't  
6 think would be considered a major disadvantage.

7 Q. But it does mean that there is a difference in treatment  
8 which a patient receives, depending on where they live.  
9 For example, if they are not living near a centre --

10 A. Absolutely. Absolutely. And whether they were prepared  
11 to have a liver biopsy, which is a potentially dangerous  
12 and not very pleasant procedure, and in a trial you  
13 would have two of those, one at the beginning and one at  
14 the end, and that's not without risk.

15 Q. Would you tell us about liver biopsies? What do they  
16 involve?

17 A. A liver biopsy -- generally the standard method for  
18 doing a liver biopsy is to anaesthetise an area of skin  
19 between your ribs overlying the liver, and once that's  
20 numb, then to put a needle down into the liver and  
21 remove a small piece of liver tissue. That's the  
22 standard way of doing that. That can be done at the  
23 bedside. There are other ways that are adopted that can  
24 be done with ultrasound examination, and there is also  
25 ways of doing it through the neck, where you put a long



1 needle down through veins in the neck, down to the  
2 liver. The reason that's done is that, not unexpected,  
3 if you stick a needle into an organ, it can bleed  
4 afterwards and it needs to stop of its own accord. If  
5 you do it from inside a vein, it bleeds back into  
6 a vein.

7 So that, some would consider, a safer but still  
8 quite unpleasant procedure. So the standard way of  
9 doing it is at the bedside, under local anaesthetic,  
10 taking a small sliver of tissue but it's not without  
11 complications of haemorrhage and even death.

12 Q. Right. I was going to ask you the risks. So they are  
13 of haemorrhage --

14 A. Haemorrhage requiring a blood transfusion. To have pain  
15 afterwards would almost be the norm. So that's --

16 Q. How painful is it?

17 A. It can be extremely painful. It seems to be very  
18 variable, whether it's to do with the patient or the  
19 amount you are bleeding afterwards. Everybody will  
20 bleed a little bit after a liver biopsy ends. Whether  
21 that stops on its own or doesn't. Transfusion is  
22 unusual and deaths would range from round about 1 in  
23 10,000, that sort of case. But the haemophiliacs --  
24 this was considered not to be a sensible risk to be  
25 taking unless it was required for clinical practice.

1 Q. And what is the position about that now?

2 A. Things have changed quite a lot, the requirement for  
3 liver biopsy. The method that we adopted locally was we  
4 like to see the liver as we did it, and we put a very  
5 small telescope into the abdomen and put gas to give you  
6 a view and then we would do the biopsy, seeing directly  
7 the liver and where the biopsy went into, and when we  
8 did this in patients with haemophilia under very close  
9 monitoring from the haemophilia doctors -- we originally  
10 did do biopsies and latterly we didn't do the biopsy, we  
11 just inspected the liver and gained information from  
12 inspecting rather than increasing the potential risk by  
13 taking a biopsy.

14 So in trials, clinical trials, you were mentioning,  
15 liver biopsy was an important end point: did the liver  
16 look better compared with before? In trials it's still  
17 quite common they will want biopsies. It's a good,  
18 objective outcome.

19 For a clinical practice, when it came to treating  
20 individual patients, in the early days it was thought  
21 that a liver biopsy was important and some people would  
22 consider that a means of selecting patients who needed  
23 the treatment more at the time we were introducing the  
24 treatment and those who could wait, and that was  
25 certainly how I would interpret the NICE guidelines for

1           treating Hepatitis C.

2    Q.   Which we are going to come on to.

3    A.   Which we will come on to.

4    Q.   Yes.

5    THE CHAIRMAN:  Your method was the laparoscopic approach.

6           When did you start doing that?  Was it always the

7           approach?

8    A.   No, I would say it's still a relatively uncommon way of

9           doing it.  It wasn't unique.  There was a literature on

10          it.  I would be guessing, I think it was probably before

11          I was a consultant we started.  So I suspect in the late

12          80s, that we did locally; other people had a large

13          experience of doing that before.

14   THE CHAIRMAN:  I suspect that Professor James has got more

15          interesting questions to ask.

16   PROFESSOR JAMES:  They are really just a couple of

17          clarifications.  Concerning those trials, particularly

18          let's say, from 1991 to the late 90s, just for

19          clarification, the need to have the biopsy before and

20          after, it wasn't some kind of whim.  I mean, it was that

21          the regulatory authorities felt at that time that this

22          was perhaps the best way of demonstrating the efficacy

23          of the trial?

24   A.   Yes.

25   PROFESSOR JAMES:  And that's why it was very important.  You

1 will appreciate that there are a number of people, you  
2 know, who are involved in this Inquiry who may feel that  
3 they "missed out on treatment" because they weren't in  
4 a trial and so on.

5 A. Yes.

6 PROFESSOR JAMES: I just wanted to ask -- and perhaps you  
7 would confirm -- that that was the case. The point  
8 about the biopsies was, you know, that that was done for  
9 good regulatory reasons at that time. It may well not  
10 be so important now for --

11 A. Absolutely. To go into clinical trials, there were  
12 strict criteria and one of them was that there was  
13 a biopsy before and after treatment.

14 Biopsies are unpleasant and potentially dangerous  
15 and some people, who may have had a liver biopsy, could  
16 go into a trial later because they had had a baseline  
17 biopsy within, say, six months. But that's splitting  
18 hairs a little bit.

19 So to go into a trial, you usually require two liver  
20 biopsies with all the risks and problems. As I said, by  
21 definition you wouldn't do a trial if you knew the  
22 treatment is going to be successful. So not all  
23 trials -- I mean, these trials led to improvement but it  
24 would be true to say that taking part in a clinical  
25 trial does not guarantee you better treatment.

1           I mean, there is a learning curve associated with  
2 treatments and going into a trial. You will be earlier  
3 in the learning curve if there are going to be  
4 complications. So I don't think there was an awful lot  
5 of delay for the interferon but once trials were  
6 required really for guidelines and change in management,  
7 then there would be a delay from setting up the trials,  
8 because some of the trials would require treatment for  
9 a year and then you would have to follow the patients,  
10 so there is necessarily a delay from when the trial is  
11 conceived to it being published and accepted of some  
12 years.

13 PROFESSOR JAMES: The second point: you implied, and  
14 I obviously very strongly agree with you, that actually,  
15 you know, no real definite knowledge that even  
16 interferon on its own, which after all was probably only  
17 really effective in 10 to 20 per cent of patients --  
18 there was no real knowledge that it was proven to work  
19 in this minority of patients until there was good  
20 ability to quantitatively measure the HCV RNA, sort of  
21 before and after, and that really we regard now as very  
22 commonplace but actually that methodology took a number  
23 of years after the measurements of anti-HCV and so on,  
24 didn't it?

25 A. With hindsight it's easy to look back and say these

1 people were cured or not. But going forward at the  
2 time, I mean, I was involved in the publication which  
3 sadly, I suspect, is now incorrect, but we thought and  
4 reported that we could identify virus in the liver,  
5 still in the liver, in people in whom the virus couldn't  
6 be detected in the blood, and if that were true, then  
7 this raises big doubt about whether you are actually  
8 curing anybody or whether you are providing a holiday  
9 period from the virus.

10 So I agree, it's easy to tell patients the risks,  
11 chances of cure, et cetera, but it certainly wasn't --

12 PROFESSOR JAMES: And my final tiny point, really for  
13 clarification, is that in those years, in the early 90s,  
14 there were terrible complexities about you were in a  
15 trial, then the drug had to be licensed by the Committee  
16 on the Safety of Medicines, then it had to be in the  
17 British National Formulary, and then it had to be  
18 adopted by your area in the local formulary, and then  
19 there was the question of funding, whether that would be  
20 provided by your hospital or by your region and so on,  
21 or whether exceptions could be made to this, and these  
22 were a very difficult thing for a clinician and their  
23 patient to sort of find their way through sometimes,  
24 weren't they?

25 A. Yes, absolutely. I think that we are so used to the

1       idea of drug approval and regulation and guidelines that  
2       we think that they will always have been there but  
3       actually, the first set of guidelines about treatment,  
4       I think, was round about 1998, which was the NIH,  
5       National Institute of Health, in America, giving  
6       consensus views about how to treat it. So for 1991 up  
7       until that time, there would be considerable variation  
8       in treatment and there would be undoubtedly less  
9       homogeneity in the pattern of treatment across the  
10      country than there is now.

11    PROFESSOR JAMES: Thank you very much, sir.

12    MS PATRICK: I wonder if you could clarify for us what the  
13      BNF and the local formulary --

14    A. BNF is the British National Formulary, which is the tome  
15      of drugs that doctors receive at regular intervals, that  
16      will give you backgrounds of how to prescribe and what  
17      are the risks, how much they cost --

18    Q. Who is responsible for that? Who provides the  
19      information for that?

20    A. I can't answer that question. It's a publication that  
21      has been around for a long time. It will be  
22      a regulatory authority and it will be related to the  
23      licensing authority.

24    PROFESSOR JAMES: Yes.

25    MS PATRICK: And the local --

1 A. Local formularies are relatively more recent and when  
2 a drug now appears in journals that it looks as though  
3 it might be successful, that will be submitted by the  
4 pharmaceutical company generally to the regulatory  
5 authorities, and that will either be licensed or not  
6 licensed and that means it can appear in the British  
7 National Formulary.

8 However, there are a number of hurdles now that need  
9 to be jumped over before you can prescribe it to an  
10 individual patient and that will be in England, whether  
11 it's approved by NICE, and in Scotland by the Scottish  
12 Medicines Consortium.

13 You are not really allowed to prescribe it until it  
14 has been through that and then once it's nationally  
15 approved, then the local authority, the formulary, will  
16 have a view on who should it be prescribed to and  
17 fundamental questions of who is going to pay for it.

18 So it's quite a different situation that we have  
19 now, where it really looks fairly standardised, albeit  
20 slow, compared with the situation 20 years ago.

21 THE CHAIRMAN: At local level, will an individual hospital  
22 have a particular formulary or will it be an area?

23 A. It will be an area, generally an area.

24 MS PATRICK: Having done some research here, the BNF is a  
25 joint publication of the BMA and the Royal



1           Pharmaceutical Society.

2    A.   Google is great.

3    Q.   It is indeed.  I would like to move over to page 4 of  
4           your statement and look at other aspects of care and  
5           treatment of patients with Hepatitis C.

6           You speak in the first line about counselling for  
7           patients and I wonder in what way you mean  
8           "counselling".  Are you meaning counselling in the way  
9           of providing information --

10   A.   Yes, and support.  So it's perhaps not a very specific  
11           title.  Locally, for example, in the early days, when it  
12           was clear that this led to liver disorder with  
13           potentially serious complications and there might be  
14           a treatment, it was thought sensible that a hepatologist  
15           or a liver specialist should be involved with seeing the  
16           haemophilia patients rather than just the haemophilia  
17           specialists.

18           Rather than asking the patients to come to extra  
19           clinics locally, I would go along to the  
20           haemophilia centre and see the patients generally, at  
21           the same time as the haemophilia specialists, usually  
22           Professor Ludlam, and we would go through the process,  
23           depending on whether the individual knew they had  
24           Hepatitis C.

25           If they hadn't and this was the first time they were

1 being told, we would try and give them the information  
2 that was available about the natural history and the  
3 problems that they may or may not have, what symptoms  
4 perhaps could be explainable about the condition, how  
5 they may transmit it, what are the risks to the family,  
6 and then go on to discussing any treatments that might  
7 be available and whether they wish to be considered for  
8 treatment or whether they wish to defer it until there  
9 were better treatments. And not everybody wanted to go  
10 into treatment particularly, when they had heard about  
11 the relatively poor success rates and side effects.

12 People will often ask other questions there, such as  
13 about alcohol and whatever. So we would try and give  
14 information in a fairly standardised way to each of the  
15 patients on a one-to-one basis, when they came through  
16 the centre. And as time went on, and they may or may  
17 not have opted for treatment with the standard  
18 interferon, which was given three times a week by  
19 injection, when treatment had improved and a second line  
20 of treatment, which would be that same drug but with  
21 oral ribavirin, and then five years later or so when  
22 pegylated interferon, the slower acting interferon,  
23 which is just once weekly injection -- each of these had  
24 an improved outcome, more people were cured, and we  
25 would discuss with the patients, you know, at subsequent

1 visits. So the counselling would be different and we  
2 would have more information that we could give them.

3 So over the years, that counselling will have  
4 changed. It's more advice and answering questions.

5 Q. I'm wondering more about emotional support in dealing  
6 with a diagnosis like this, "counselling" can imply to  
7 people a more touchy feely, looking after your  
8 psychological wellbeing. Was that given to patients in  
9 the early days?

10 A. I think you would have to ask patients whether I was  
11 touchy feely. I like to think that we provided a  
12 reasonable level of support and I believe that we did.  
13 I'm not sure not everybody would agree with that. There  
14 was around this time discussion about HIV testing and  
15 whether there needed to be pre-testing counselling,  
16 whether you need to discuss with somebody before you did  
17 the test what the consequences were. And there was  
18 discussion whether this same should apply for  
19 Hepatitis C. Should you ask people's permission and  
20 give them counselling, what the implications might be  
21 before testing for the Hepatitis C. And it was thought  
22 that that level of counselling wasn't necessary, that if  
23 somebody had abnormal liver tests or a risk that it was  
24 good clinical practice, that you should find out what  
25 was wrong with the liver, which would mean measuring

1 Hepatitis C.

2 But I'm sure that the haemophilia group compared  
3 with many other patients who had Hepatitis C will have  
4 had far more counselling.

5 Q. Yes. And you are obviously talking about your  
6 experience in providing this service in Edinburgh Royal  
7 Infirmary.

8 A. Yes.

9 Q. You do point out that the question of counselling and  
10 other holistic care will have varied from unit to unit.  
11 Do you know anything about what counselling and such  
12 care might have been given in other areas?

13 A. No, I can't really comment on that from personal  
14 experience, no. But I'm sure it would vary with  
15 different doctors within a hospital, and it was  
16 relatively standardised that I was the individual that  
17 would, with the haemophilia doctor, give the  
18 counselling.

19 For example, a lot of patients were interested in  
20 alternative medicine. There are medicines that are sold  
21 and purported to have beneficial effects on the liver  
22 and they would often ask that and I would try and give  
23 them an answer that I thought was scientific, and that  
24 was that if they were shown to be beneficial, then  
25 I would be prescribing them but if they wished to

1 purchase them themselves -- so that's holistic.

2 More recently, issues -- and at that time people  
3 would ask about alcohol: was it reasonable for them to  
4 continue to take alcohol, and more recently obesity. We  
5 give people advice and then even more recently we might  
6 mention to them coffee.

7 So I think that over the years the level of  
8 counselling will have changed and many of the patients  
9 will have been unfortunate enough to have numerous  
10 sessions with me.

11 Q. And in the earlier days, what would the advice about  
12 alcohol have been?

13 A. I think our advice about alcohol probably hadn't changed  
14 very much, and that was people who had Hepatitis C that  
15 were short of cirrhosis, did not have cirrhosis, they  
16 could drink within sensible limits, 21 units for men and  
17 14 for women. And I can't recall telling people at that  
18 time -- but we certainly do now if they have cirrhosis  
19 of any cause -- that they should drink no alcohol.

20 But in the early days, when relatively few would  
21 have cirrhosis, then it was generally discussions about  
22 did they have to be tee-total or could they drink small  
23 amounts, and if they clearly -- this is -- again, the  
24 population of people with Hepatitis C overall tend to  
25 have a higher prevalence of alcohol abuse than the

1 haemophiliac population.

2 It was quite a big issue to discuss alcohol abuse  
3 with the Hepatitis C patients who may have acquired it  
4 from drug misuse, for example.

5 Q. Does the practice in relation to the advice given about  
6 alcohol vary from place to place?

7 A. I suspect it's fairly standardised. There were  
8 documents written which Professor Ludlam would be  
9 involved with, that I have seen, that would suggest that  
10 it was fairly homogeneous that the less the better,  
11 I think was said, but that 21 units for men and 14 units  
12 for women in a week would be unlikely to have  
13 a significant effect on the progression of their liver  
14 disease. But we certainly now -- people who have  
15 cirrhosis -- would recommend that they are tee-total and  
16 I suspect we have said that for many years.

17 Q. Sorry, bear with me a minute. (Pause)

18 Sorry, I would just like to take you back to  
19 something you said earlier in relation to the  
20 counselling matter. You said that there wasn't pre-test  
21 counselling in respect of the Hepatitis C virus. Does  
22 that mean that the test was carried out without the  
23 patient's knowledge? Or could it have been?

24 A. In many cases the test for Hepatitis C would be  
25 undertaken in patients with abnormal liver tests. I'm

1 not talking about the haemophiliacs specifically because  
2 I'm not in the best position to tell you, in the  
3 haemophilia centre, exactly what was happening in  
4 1989/1990, when this was an issue. But many patients,  
5 probably the majority of patients with Hepatitis C,  
6 would have had that test done, either specifically,  
7 because there was a risk factor such as having a blood  
8 transfusion or injecting drugs, et cetera, and that  
9 would now be considered part of good practice to test  
10 people, or it was done because they had abnormal liver  
11 tests, when we would undertake what is generally called  
12 a liver screen, where you measure or test for anything  
13 in a standardised way that can cause liver disease. So  
14 you would test for other viruses, such as Hepatitis B,  
15 you would check Hepatitis C, you would check they didn't  
16 have genetic liver disorder, you would check for immune  
17 disorders, as part of good care. And if you were to  
18 omit that, that would be considered not good clinical  
19 practice.

20 So the majority of patients now with Hepatitis C  
21 testing, unless it has been done specifically for  
22 Hepatitis C screening, will have this done as part of  
23 a liver screen. And it would be nice when people have  
24 these tests done that it is explained to them what tests  
25 are being undertaken and detail about that. I would

1 say, for example, "I'm going to test for viruses in the  
2 liver," I wouldn't specifically go through individual  
3 ones.

4 It does not seem to have been a major issue but  
5 I know in the early days in the haemophilia centres,  
6 there was discussion about consent, should it be  
7 obtained in the same way that it was for HIV beforehand,  
8 and I'm led to believe that that was considered not good  
9 practice. But I'm sure that in the early days, when the  
10 haemophilia patients were being screened for this, there  
11 was a lot of discussion about this but, as things have  
12 evolved, to test for Hepatitis C is generally part of  
13 a liver screen, rather than specific.

14 PROFESSOR JAMES: Could I perhaps just add to that?

15 I thoroughly support, obviously, what  
16 Professor Hayes has said but the other side of the coin  
17 for the liver screen -- and we are in a position where,  
18 whether people did give their permission for a test is  
19 a live issue in the Inquiry, but you have got to look at  
20 the other side.

21 Professor Hayes sees people with abnormal liver  
22 tests and if he took them through the ten most likely  
23 possibilities for the cause of their abnormal tests,  
24 a number of patients would be extremely frightened by  
25 those possibilities. So good practice is to do the



1 tests and then try and tell the patient about what's  
2 wrong with them, not the other nine conditions that  
3 might be wrong with them.

4 I think that would be fair, wouldn't it,  
5 Professor Hayes?

6 A. In practice it does not seem to cause many problems.

7 PROFESSOR JAMES: No. Thank you, sir.

8 MS PATRICK: In practice, if you tested a patient and the  
9 test result came back positive, when would you convey  
10 that information to the patient?

11 A. That will vary, I am afraid, considerably, on the  
12 clinical circumstance. For example, the commonest  
13 situation I would have had would be a general  
14 practitioner will write into me at the hospital and say,  
15 "I have tested Hepatitis C in this person, abnormal  
16 liver test. It has come back positive. Will you  
17 see and advise?" So it may well be that weeks and  
18 months will go between the test and being seen and the  
19 explanation given.

20 The haemophilia cohort is somewhat different to the  
21 practice that we would generally be exposed to now, in  
22 that it's likely that the vast majority of them were  
23 screened early on and given that information. It would  
24 be unusual now to find somebody with haemophilia whose  
25 Hepatitis C has not been checked and is positive --

1 very, very unusual.

2 Q. Thank you. I just want to go back briefly. We were  
3 discussing the impact of alcohol.

4 The Inquiry has heard evidence that acceleration  
5 from fibrosis to cirrhosis can be increased if alcohol  
6 is consumed, which would tend to suggest it might be  
7 better to resist alcohol altogether at an earlier stage  
8 than cirrhosis?

9 A. I'm sure it would be advisable for the whole population  
10 not to drink ever again, but the evidence that we had,  
11 and we generated some locally, is that people who  
12 drunk -- I actually think in the early days we took 50  
13 as a cut-off when we were looking at cohorts and what  
14 people report they drink and what they don't. But the  
15 concept that is generally given of these sensible  
16 limits, I'm unaware that it was ever teased out that  
17 there was a dose response that meant we should limit the  
18 amount of alcohol.

19 We say for patients with cirrhosis, they shouldn't  
20 drink any alcohol, not because we know that to be true  
21 but we do not know of a safe limit. So it's believed  
22 that if you, as a man, drink 21 units or less than 21  
23 units in a week, you will not develop alcoholic liver  
24 disease, you may lose brain cells and whatever but you  
25 won't have alcoholic liver disease. Clearly, if you

1 drink 22 or 30 or 40, there will be a small risk and  
2 that will increase.

3 So in cirrhosis it may be that we are giving advice  
4 that's not particularly fair. There may be a small  
5 amount of alcohol that we can take but that has never  
6 been dissected out -- and I suspect that that study will  
7 never be done -- to allow people with cirrhosis to take  
8 five units of alcohol.

9 So it does seem, I would accept, rather all or  
10 nothing, that if you have Hep C and you are drinking  
11 within sensible limits, that's probably okay, and that  
12 if you, a year or so later or two years later, have  
13 cirrhosis, you are told you must be tee-total. But  
14 that's the information that we gave, and I don't believe  
15 that it has been shown to be incorrect: that drinking  
16 within sensible limits does not appear to accelerate the  
17 disease.

18 THE CHAIRMAN: It all seems very, very general tests and  
19 criteria. Do you give anybody any advice as to the  
20 extent to which the coffee offset --

21 A. Perhaps I shouldn't have put the coffee in this  
22 statement. But the information about coffee -- and it's  
23 interesting because coffee has never really made health  
24 claims, unlike green tea -- that became apparent some  
25 years ago from large epidemiological studies, that

1 people who drank coffee tended to have more normal liver  
2 tests, compared to those who didn't, and that it did  
3 appear that people who drank coffee had less cirrhosis  
4 and it did appear that people who drank coffee had less  
5 liver cancer. And if you drank five cups of coffee  
6 a day that the liver cancer risk was reduced, I believe,  
7 60 per cent.

8 So this may be a surrogate marker for diet, middle  
9 class, I don't know. We do not know that it is correct.  
10 It's very difficult to do a trial. I would like to do  
11 a trial, randomising people to coffee and no coffee.  
12 But it would be very difficult to do. People who like  
13 it are not going to stop it and people who don't like  
14 it, won't. But I think the evidence is strong enough to  
15 mention it to patients, and I do nowadays, and I suspect  
16 it happens quite a bit, whereas ten years ago that level  
17 of counselling wouldn't be there.

18 We don't know if it's the caffeine but in relation  
19 to Hepatitis C in particular, there was one American  
20 study where they looked at people who drank coffee and  
21 looked at the amount of fibrosis in the liver and the  
22 people that drank coffee, the fibrosis was less over  
23 a period of time.

24 So I think there is some evidence. But in answer  
25 your question about offset, it's difficult to be

1           certain.

2   THE CHAIRMAN: I'm just thinking of a sliding scale. As one  
3           moves towards a full bottle of spirits a day, how much  
4           coffee there has to be taken to balance it out.

5   PROFESSOR JAMES: This is in the days of the carbon offset  
6           that Lord Penrose is applying.

7   THE CHAIRMAN: I'm not suggesting that somebody else should  
8           drink the coffee for the alcohol consumed.

9   PROFESSOR JAMES: That's a really good idea.

10  THE CHAIRMAN: It's just very, very difficult to generalise,  
11           I imagine, because each individual who might become  
12           a party to your extended test would be so different in  
13           experience, in physical characteristics and make-up and  
14           so on.

15  A. And people's response to being told something. I mean,  
16           there are people who have had Hepatitis C who have  
17           cleared it, who remain deeply troubled by the fact they  
18           have had it, could they have infected people. It has  
19           major effects. Whereas in a medical model, we would  
20           say, the virus has gone, move on.

21           But people are very different and contrary-wise,  
22           there are people who have it who feel well, want no  
23           treatment, very happy to come along once a year, year  
24           after year, say that they are feeling fine and don't  
25           want treatment; very different and very difficult to

1 predict, and that's why a standard sort of patient  
2 information sheet or website will not replace what  
3 I should have put in inverted commas, "counselling".

4 MS PATRICK: Moving on down to the next paragraph of your  
5 statement, you tell us there how your understanding of  
6 the natural history of the condition obviously changed  
7 and how this impacted on the patient selection for  
8 treatments. One of the changes in knowledge, if you  
9 like, in respect of the condition is the one you refer  
10 to there, and you have touched earlier on how in the  
11 early days, as you say, the figure of 20 per cent  
12 becoming cirrhotic after 20 years.

13 When you say "early days", what timescale are you  
14 referring to there?

15 A. You will be talking about in the 90s. I think that  
16 there was -- and perhaps still is -- a considerable  
17 debate on how aggressive this condition is. We didn't  
18 know.

19 What happened was that when a test for Hepatitis C  
20 became available, instead of just identifying,  
21 confirming a relatively small number of people that we  
22 had labelled as non-A non-B Hepatitis, we found masses  
23 of people who were unsuspected of having non-A non-B  
24 Hepatitis. This is not particularly relevant to the  
25 haemophilia population but suddenly we went from

1 thinking that this test would identify a very small  
2 number of people to recognising that lots of the people  
3 that we were seeing in the clinic labelled as something  
4 else had Hepatitis C. And I'm sure this had a major  
5 effect in other countries. We have a prevalence that is  
6 low, less than 1 per cent. In many countries it's  
7 2 per cent. In Egypt 20 per cent of the population.

8 So we didn't know whether these people, who were  
9 identified as being Hepatitis C-positive, how that was  
10 going to impact on their life over the next ten or  
11 20 years, and it ranged from a cohort of mothers who  
12 were given rhesus injections in Ireland, which was  
13 Hepatitis C contaminated, who over many years of  
14 follow-up, very few developed significant liver disease.  
15 And if you were quoting that literature, you would say  
16 in many people this was very benign.

17 On the other hand, 20 per cent was a figure that  
18 came along but it's difficult to know, when you haven't  
19 got years of follow-up, actually what the natural  
20 history is, and the paper that I mentioned there from  
21 Foster in London, where he identified 70/71 per cent of  
22 patients who developed cirrhosis over 60 years, was  
23 a retrospective guess that those Asian patients had been  
24 infected either at birth or as children.

25 So I would say the natural history is still unclear

1 and is complicated by other factors, major factors, such  
2 as alcohol and obesity.

3 Q. Yes. So that obviously impacts on the treatment of  
4 patients?

5 A. It impacted very much on the counselling you gave to  
6 patients, whether you gave them a story that this was  
7 a fairly benign condition in most people and NICE  
8 guidelines suggested that people didn't need treatment  
9 or weren't eligible for treatment unless their liver  
10 biopsy showed significant disease. So if it was a  
11 benign disease and the doctor said you don't need  
12 treatment for it, then, you know, people would expect it  
13 to be benign and not requiring treatment. But that has  
14 changed.

15 So I think our appreciation of the natural history,  
16 how aggressive this condition was, has definitely  
17 changed significantly over the years from thinking that  
18 in the majority it was fairly benign to now feeling that  
19 a large number of patients will go on to major  
20 complications, including cirrhosis and it's  
21 complications.

22 Q. And the reference to the document you refer to there is  
23 [\[PEN0180255\]](#), which is in fact an editorial discussing  
24 the study which was carried out in 2005.

25 So this impact in relation to, as you say, the



1 funding treatment, and NICE recommended that only those  
2 patients with severe disease should be treated and that  
3 this should be based on a liver biopsy.

4 A. Yes.

5 Q. These recommendations, did they apply to Scotland and  
6 were they followed in Scotland?

7 A. I suspect people chose to follow them or not to follow  
8 them, depending on their interpretation of how useful it  
9 was in practice. I did not think that liver biopsies  
10 were a particularly fair way of allocating treatment.  
11 If somebody was very upset about having the Hepatitis C,  
12 they were very symptomatic, it didn't seem to me to be  
13 particularly fair to make them have a liver biopsy and  
14 tell them that it looks fairly mild and you do not need  
15 treatment now but I'll repeat the liver biopsy in  
16 another three or four years and see whether you have  
17 progressed. That didn't seem to me to be particularly  
18 fair.

19 And in Scotland it was agreed at a consensus  
20 conference, I think in 2005, for the first time that  
21 liver biopsy was not a prerequisite to getting  
22 treatment. I have to say that in the haemophiliac  
23 population, we had pretty much stopped doing liver  
24 biopsies earlier than that consensus meeting and many of  
25 them will have had treatment without having liver

1 biopsy, and I think the NICE document almost certainly  
2 mentions haemophiliacs being an exclusion group.

3 Q. And you say that it was only those with severe disease,  
4 what do you mean by that?

5 A. It was defined by the pathologist. I did not think that  
6 mild, moderate and severe was a very clever way of  
7 classifying things that I tended to look upon it as  
8 early, medium and late disease, and that if you had mild  
9 disease, it didn't necessarily suggest it was going to  
10 stay mild. But those were the terms that were used and  
11 the pathologist would look at the liver biopsy and  
12 decide on the basis of the amount of inflammation,  
13 damage that was being done was ongoing and the amount of  
14 scar tissue that had already taken place, whether this  
15 was considered mild or early disease, or whether it was  
16 more advanced and justified treatment.

17 So it was treatment based on the liver histology,  
18 which was, I think, a rational way to look at allocating  
19 treatment right at the beginning, when there were a lot  
20 more patients known to have the infection than there was  
21 the capacity to treat everybody at once.

22 PROFESSOR JAMES: Effectively, what Professor Hayes said  
23 near the beginning of his remarks was that there is no  
24 enormous hurry about treating people who have got the  
25 relatively early stage. It was measured on a scoring

1 system for the scarring and for the inflammation. And  
2 you know, if your score was less than 4, you were  
3 thought to be relatively early and therefore if there is  
4 a limit of resource, as there was then, then it was  
5 thought reasonable, as Professor Hayes has said, that  
6 those people could, if you like, take a rain check for  
7 three or four years.

8 But I also would like to support what  
9 Professor Hayes is saying about the current, modern lack  
10 of absolute necessity for a liver biopsy, partly also  
11 because there are other ways of assessing the severity  
12 and also even the degree of scarring in the liver now,  
13 apart from the liver biopsy. These proxy methods, which  
14 I know are very much used on Professor Hayes' unit, are  
15 also very kind of helpful in this respect really.

16 MS PATRICK: Over the page. In the first paragraph at the  
17 end, you tell us that it was the realisation of the  
18 seriousness of the condition once cirrhosis was  
19 established and presumably the irreversibility of  
20 cirrhosis?

21 A. Yes, cirrhosis is generally considered to be  
22 irreversible. Cirrhosis means that there is scarring in  
23 the liver and lumps. So a liver that has scarring is  
24 not necessarily cirrhotic. So lumps and scarring, and  
25 it is a recognised stage in progression from

1 inflammation in the early years or mild, through  
2 inflammation and scarring, through to more scarring,  
3 cirrhosis and then complications. The complications of  
4 cirrhosis, which include liver cancer, liver failure and  
5 things like bleeding from varicose veins in your gullet,  
6 these are extremely unlikely to occur in patients who  
7 don't have cirrhosis.

8 So preventing cirrhosis prevents the complications.  
9 So preventing cirrhosis has been an important goal and  
10 similarly identifying patients with cirrhosis. And we  
11 rely, as Professor James was saying, less on biopsies  
12 now. We have better imaging techniques and we have  
13 blood tests and we have other devices to see how much  
14 scarring is present, whether they have cirrhosis or not.

15 Q. And over what period did the thinking change in relation  
16 to this and come to the conclusion that we have just  
17 been talking about?

18 A. The consensus meeting about the importance of liver  
19 biopsy was a fairly active discussion at that time. So  
20 that's 2005. So it's not that long ago that we have  
21 changed, six years ago.

22 At that time, I think we were pushing against an  
23 open door and there was discussion and recognition of  
24 the importance of treatment and money was available.  
25 So, for example, in Scotland the action plan, it was

1 thought that one of the most important criteria was to  
2 treat as many people as possible and targets were set to  
3 treat as many people, rather than tailoring the  
4 treatment to those patients who we believe might need it  
5 most.

6 So there was a sea change really that was brought  
7 about by a combination of better treatments -- better  
8 treatment responses anyway -- the treatments remain  
9 unpleasant -- but better treatment responses and more  
10 recognition of the seriousness of the complications of  
11 Hepatitis C and the lack of a requirement for liver  
12 biopsies.

13 So I think that round about the middle of 2000  
14 probably, there was a change in the concept that the  
15 more people we treat, the better, rather than just  
16 concentrating on the severity. But in the early days  
17 I think it was entirely justifiable to treat the people  
18 who needed it most, and liver biopsy was one way of  
19 doing that.

20 Q. Yes. Moving on down this page, you refer to the  
21 guidelines for treatment, which you have touched on  
22 earlier. I think you mentioned the earliest one in 1998  
23 was an American one?

24 A. Published in Hepatology in 1998, which was the NIH --  
25 I think was the first consensus view. So again, that

1 was eight years -- seven years or so after people had  
2 been using interferon. Then really every time there was  
3 a change in treatment efficacy, each time there was  
4 a new treatment that looked as though it was better,  
5 then guidelines needed to change. So there really have  
6 been a plethora of guidelines over the years, starting  
7 with that one.

8 Individual countries and societies wanted to have  
9 them and many of them were basically the same, but they  
10 encapsulated the most recent advance with consensus  
11 views. So, when interferon was replaced by interferon  
12 and ribavirin, then guidelines could be produced and  
13 then, when pegylated interferon came out, then  
14 guidelines changed. And there were a new set of  
15 guidelines that are going to be produced about the  
16 newest drugs, telaprevir and boceprevir.

17 And in fact, I suspect within two or three years,  
18 there will be a new set of guidelines as even newer  
19 treatments come out. So there has been a concertinaing  
20 of guidelines relating to the necessity of keeping  
21 up-to-date with new treatments. So I think in the 90s,  
22 there really weren't consensus guidelines, partly  
23 because we didn't practise medicine that way so much  
24 then, and partly because the evidence base was a lot  
25 smaller than it is now for treatment.

1 Q. Yes. For the record, the guidelines referred to in your  
2 report, the European Association for the Study of Liver  
3 consensus conference statement, is [\[PEN0180249\]](#), and the  
4 guidelines that you refer to published December 2006 are  
5 [\[PEN0180298\]](#).

6 I just wanted to ask you about treatment once  
7 a patient has had cirrhosis confirmed, and what  
8 treatment is given to such a patient at that time?

9 A. If somebody has cirrhosis from Hepatitis C, they may or  
10 they may not have had treatment before. They might be  
11 diagnosed -- I mean, this is not likely in the  
12 haemophiliac population, as they will have had the  
13 diagnosis almost certainly made many years before, but  
14 generally speaking, if somebody is found to have  
15 Hepatitis C and they have cirrhosis, then we know that  
16 at the present time they are relatively unlikely to be  
17 cured of the Hepatitis C with treatment, partly because  
18 the condition is likely to have been there quite a long  
19 time and partly because they don't tolerate the  
20 treatment complications in conjunction with the problems  
21 with cirrhosis. So we would try, if it's appropriate,  
22 to cure the Hepatitis C, to stop the disease  
23 progressing, but in many cases that's not going to be  
24 successful.

25 However, once a diagnosis of cirrhosis is made, it's

1       our responsibility, we believe, to monitor those  
2       patients carefully for complications of cirrhosis. Many  
3       patients with cirrhosis don't know they have cirrhosis.  
4       They are completely asymptomatic, whether it's Hepatitis  
5       C or non-Hepatitis C; many people walking around the  
6       streets here will have cirrhosis and not know it. You  
7       do not know -- even though it's a serious  
8       complication -- that you have it.

9               So the two major complications that we need to look  
10       out for in patients who would appear otherwise quite  
11       well, are liver tumour, liver cancer. These are tumours  
12       of the liver and not tumours that have spread from  
13       somewhere else, which is sometimes referred to as "liver  
14       cancer", but this is cancer of the liver. And if you  
15       have cirrhosis of any cause, the risk of developing  
16       a tumour is around 2 to 3 per cent per year.

17               So if we ultrasound and do a blood test, called  
18       "alphafetoprotein", every six months we are reasonably  
19       successful in identifying tumours when they are small,  
20       when they are curable, either by resection or targeted  
21       treatment or transplant. So it's very important that we  
22       identify people who have cirrhosis and we screen for  
23       tumours.

24               The other complication that can occur out of the  
25       blue are varicose veins in the gullet. As the cirrhotic



1 liver affects blood flow through the liver, the scarring  
2 and distortion restricts the amount of blood that can  
3 pass through the liver. That blood bypasses the liver  
4 and channels can open up in the veins in the gullet and  
5 if they bleed, that's a very serious complication indeed  
6 and life-threatening.

7 So, if somebody has cirrhosis, we will check to see  
8 whether they have varicose veins or not and if they  
9 don't, they can be reassured. If they do and they reach  
10 certain criteria of size, then we would institute  
11 treatment to reduce the risk of bleeding, which is  
12 either a tablet or an endoscopic treatment with a camera  
13 to put little bands on. So identifying whether people  
14 have cirrhosis or not is a very important part of the  
15 hepatologist's role, whether it's Hepatitis C or not.

16 The other complications that can develop tend to be  
17 more obvious to the individual. We don't need to screen  
18 for them. And that is signs of liver failure, which is  
19 where people become encephalopathic, which means they  
20 become drowsy and confused, or ascites, which is  
21 a collection of a lot of fluid in the abdomen. Those  
22 two features indicate that the liver is beginning to  
23 fail and that you should be considering transplant.

24 Q. And treatment for hepatocellular carcinoma, when this  
25 develops in a patient for Hepatitis C, mainly liver

1 transplant?

2 A. Then liver transplant is certainly an indication -- or  
3 certainly indicated in some patients. It has the  
4 advantage that it gets rid of a cirrhotic liver. Once  
5 you have started to form one tumour in the liver, we  
6 believe that you are likely to form more. There is what  
7 we call a field change, and it's not uncommon that if  
8 you find a tumour, you actually find two or three.

9 So if you target a treatment, if you just ask  
10 a surgeon to remove the segment that has got tumour in  
11 it, you are leaving behind a liver that's pretty prone  
12 to developing tumours, and they may develop another one  
13 within two/three years.

14 So a liver transplant is certainly an attractive  
15 option to get rid of that cancerous or pre-cancerous --  
16 the tumour and the pre-cancerous change in the liver,  
17 and liver transplant, in the haemophilia setting, has  
18 the added attraction of curing the haemophilia, not  
19 because the transplanted liver cells make the  
20 Factor VIII but the blood vessels that go in within the  
21 liver produce enough Factor VIII for the haemophilia not  
22 to be a clinical problem.

23 So it has an attraction from that point of view.  
24 But the Hepatitis C will always infect the new liver and  
25 the natural history from infection to cirrhosis is

1 considerably accelerated in the transplanted liver and  
2 you can go from a new liver to a cirrhotic liver within  
3 a couple of years. So it's not a cure-all in all  
4 people, and obviously there is a shortage of organs ...

5 Q. And are there any other treatments for hepatocellular  
6 carcinoma?

7 A. If they are small then, as I mentioned, surgery just to  
8 remove a segment of liver rather than transplanting it.  
9 There is a way of putting a needle in and killing the  
10 tumour under x-ray screening, to try and kill a small  
11 section of liver. That's called radiofrequency  
12 ablation, and you can also introduce, via the blood  
13 supply to the liver, poisons, which is called  
14 chemoembolisation. So you can kill off segments of the  
15 liver.

16 So there are a number of alternative treatments, all  
17 of which are really only likely to be beneficial in  
18 people that have tumours that are identified when they  
19 are small. If tumours are over 5 centimetres, there  
20 really is no curative treatment.

21 Q. If you treat a patient with tumours in that way, are  
22 they likely to develop new tumours after that treatment?

23 A. Yes.

24 PROFESSOR JAMES: Could you just amplify a little,  
25 Professor Hayes. As a matter of fact, the proportion of

1 individuals who are with liver cancers. And by chance  
2 we saw yesterday a witness, who is a patient, who kindly  
3 came, witness Gordon, who had a liver transplant for  
4 five tiny little tumours in their liver -- done outwith  
5 Scotland, actually, just so you are not racking your  
6 brains. It was because he moved, it wasn't that he had  
7 any lack of faith in the Scottish transplant centre.  
8 But actually, the proportion of individuals who are  
9 suitable for a transplant is really overall, rather  
10 small, isn't it?

11 A. Yes.

12 PROFESSOR JAMES: Sadly.

13 A. I don't have the exact figures of the number of  
14 haemophiliacs who have been transplanted in Scotland  
15 over the past 20 years but it's small.

16 PROFESSOR JAMES: Apart from haemophiliacs. The Inquiry is  
17 dealing with people with post-transfusion Hepatitis C,  
18 for example, and so on and as a treatment. Your centre  
19 probably gets referred five possible patients for  
20 consideration of transplant with a liver tumour for  
21 every one that it's technically possible to carry out  
22 sort of thing. That kind of figure would be fair,  
23 wouldn't it?

24 A. I think that with the realisation that patients with  
25 cirrhosis can develop tumours, there is a lot more

1 screening, or surveillance that goes ahead, and we will  
2 get referred more people --

3 PROFESSOR JAMES: At an early stage.

4 A. -- at an earlier stage, but clearly it is phenomenally  
5 expensive and risky treatment and it's far, far better  
6 to try and prevent cirrhosis than try and tame the  
7 complications.

8 MS PATRICK: Moving over to page 6, looking at the  
9 effectiveness of treatment -- and we are discussing here  
10 the interferon and ribavirin -- you quote figures there  
11 of interferon monotherapy of probably around 10 to  
12 20 per cent, and it improved with the addition of  
13 ribavirin to around 30 to 40 per cent, and with  
14 pegylated interferon and ribavirin to around 50 per cent  
15 in genotype 1 patients, and over 70 per cent in  
16 genotype 3.

17 A. Yes.

18 Q. Was there a variation in the success rate of interferon  
19 monotherapy depending on the genotype?

20 A. I don't think that was really appreciated at the time.  
21 The standardisation -- because of the lack of trials and  
22 trial data, originally it was thought the interferon --  
23 and we didn't know really whether it was six months or  
24 12 months, but at the time that ribavirin was  
25 introduced, it became recognised that genotype 1 was

1 a less responsive genotype than the others, and it was  
2 recommended that, along with other factors, such as  
3 being over 40 and male and obese and having cirrhosis,  
4 these were all things that had a negative impact on  
5 treatment success rate. And it became -- over time --  
6 it was introduced into guidelines that people with  
7 a genotype 1 infection should have twice as long  
8 treatment, have 12 months' treatment rather than the  
9 individuals with non-1 genotype, who could get away with  
10 six months' treatment without reducing the  
11 effectiveness.

12 So knowing the genotype of the patient was important  
13 and we would discuss with people the consequences of  
14 having genotype 1 or not 1. It would, nevertheless,  
15 impact on both the successfulness of the treatment and  
16 the longevity that they had to take the treatment.

17 Q. You have touched on earlier that one of the most  
18 important determinants in considering treatment is  
19 whether the patient wishes to be treated or not?

20 A. Yes.

21 Q. And this is obviously an important factor that a patient  
22 would be trying to take account of in reaching that  
23 decision, along, presumably, with what the side effects  
24 might be --

25 A. Absolutely, I think there is considerable -- I mean, it

1 was not that long ago that, you know, individual help  
2 groups would say to patients or word would pass round  
3 that Hepatitis C was for life, it wasn't curable, at the  
4 time when we were telling people that they can be cured.

5 So I think there was the potential for quite a lot  
6 of confusion. Was everybody being fair, up front; how  
7 awful the side effects were. You can imagine that side  
8 effects that are bad are more likely to be passed round  
9 and people hear about them more than side effects that  
10 are minimal.

11 So I think there was a lot of potential for  
12 different ways of interpreting the data and patient  
13 choice and it remains that there are some patients who,  
14 despite the fact we think the treatment is getting  
15 better and better, are perfectly happy to have no  
16 treatment and seem to have fairly benign disease;  
17 otherwise we would encourage them to have treatment, and  
18 others in whom, irrespective of the success rate of  
19 treatment and how unpleasant it was, were extremely keen  
20 to do all they could to get rid of the virus, and some  
21 people will have been through -- I can't think of an  
22 individual offhand -- but who may well have gone through  
23 all three treatment regimes, with five year intervals.

24 Q. Yes. Do these figures take account of people who may  
25 have dropped out of treatment during it?

1 A. These studies tend to be quoting intention to treat. So  
2 you look at the group of people who start on treatment  
3 and then look at the final success, and one of the  
4 reasons that people may well not be successful in  
5 treatment is because they can't tolerate it. And these  
6 figures actually have been borne out in clinical  
7 practice.

8 I think there is a feeling that trial results that  
9 are published with selected patients, sometimes quite  
10 carefully, may give better results than actually  
11 applying it in real life. I think these figures are  
12 probably what we see in real life. So we would  
13 encourage people who don't have genotype 1, very  
14 strongly now, that they should go for treatment, six  
15 months, and are likely to be cured, compared with  
16 patients with genotype 1, who have 12 months' treatment.

17 We now have what we call "stopping rules". So  
18 monitoring the patient. So if somebody was genotype 1  
19 and they still had virus in the blood that hadn't  
20 responded after 12 weeks, we wouldn't continue for the  
21 full year because we know that they are extremely  
22 unlikely to be cured and they will just suffer from the  
23 complications and side effects. So there are starting  
24 rules and stopping rules, which have become more and  
25 more refined over the years.



1 Q. Can you give us a rough idea of the proportion of  
2 patients who don't manage to complete treatment?

3 A. I would reckon that -- it will depend from centre to  
4 centre and trials. Trials. People are far more likely,  
5 I think, to go through than somebody not in a trial.

6 I suspect that those figures -- I'm guessing here --  
7 would be around 20 per cent, something like that. It  
8 will depend on the level of support you can give them.  
9 For example, some people with ribavirin can have quite  
10 severe anaemia. So if you are very determined and we  
11 have given people transfusions to support them through  
12 that, and you can give them erythropoietin, which is  
13 a drug to stimulate marrow. If people's white count  
14 falls, you can use expensive agents like G-CSF to try  
15 and support that. So it will vary depending on centre  
16 to centre and how enthusiastic and keen the patient is  
17 to support, and the side effects.

18 Q. And if we go over the page, you were asked about  
19 treating a patient with more than one genotype of the  
20 virus, and you tell us that while theoretically patients  
21 may be infected by more than one genotype, in clinical  
22 practice it's very rare to find a patient with more than  
23 one genotype. Is that right?

24 A. Yes. You can imagine in batched -- blood that has been  
25 pooled from a large number of donors, that you could

1       have more than one genotype, but it would appear in  
2       practice, I can't think of an individual where we have  
3       done genotyping and we have come up with two genotypes.  
4       It's not uncommon for the individual virus to have  
5       what's called quasispecies -- that's mild variations of  
6       one genotype -- but to have more than one genotype is  
7       extremely rare. But I suspect that the virology  
8       specialist would be better able to give you answers on  
9       that.

10    Q. And in the next section on that page you tell us about  
11       the effect on treatment of a person having haemophilia  
12       and while initially it might have been thought that  
13       their response to treatment was less, that has not been  
14       borne out by more recent --

15    A. The haemophilia per se -- again, the haemophiliac  
16       doctors who concentrate on the literature may give you  
17       better information but it would appear that from our  
18       experience in the early days of interferon monotherapy  
19       that our results were not up at the 20 per cent cure  
20       rate but more likely to be 10 per cent, and we wondered  
21       whether the haemophiliacs might not respond so well to  
22       treatment. But I don't believe that that has been borne  
23       out with the subsequent treatments, and there is some  
24       evidence for that, a publication there, that the results  
25       in the haemophiliac population of 51 per cent is

1           remarkably similar to the non-haemophilic patient.  
2           I don't think the haemophilia per se with modern  
3           treatment has a major effect on a treatment response.  
4    Q.   For the record that publication you refer to is  
5           [\[PEN0180258\]](#). Does treatment for Hepatitis C virus have  
6           any effect on a person's haemophilia?  
7    A.   Other than transplant, I don't think it does.  
8    Q.   Moving on, you discuss under 6 the effect of  
9           co-infection with HIV and tell us that the response to  
10          treatment in such co-infected patients is generally  
11          believed to be reduced.  
12   A.   The co-infected patients tended to be looked after  
13          locally, and I suspect that this may be true in a number  
14          of centres, by doctors who were more specialist in HIV  
15          therapies.  
16                 So the patients that we had, that were co-infected,  
17          would be looked after more by people like  
18          Professor Leen, who have an interest and expertise in  
19          this area, rather than myself. But it would appear that  
20          the patients who were HIV-infected -- and perhaps not  
21          a surprise, since your ability to clear the virus is  
22          related to your immune competence. And that's one of  
23          the reasons post-transplant, when you are  
24          immuno-compromised, that the disease is probably so much  
25          more aggressive, that your immune system is important in

1           clearing the virus, and after all interferon is an  
2           immune stimulant.

3    Q.   Do those patients co-infected tend to have higher  
4           Hepatitis C viral loads?

5    A.   I couldn't answer that accurately.

6    Q.   Over the page you summarise for us the side effects of  
7           treatment for the Hepatitis C virus which you describe  
8           as significant. You mention the ones in relation to  
9           interferon and ribavirin. Interferon alone, what were  
10          the side effects of that?

11   A.   Mainly flu-like symptoms, which, when you are unlucky  
12          enough to get flu, you feel fluey because of the body's  
13          production of interferon. So it's not surprising if you  
14          took a syringe-full three times a week, then you would  
15          feel pretty lousy after the injections, and we used to  
16          tell people to take it at night and take a paracetamol  
17          with it.

18                It was a predictable side effect which we believed  
19                would get less with time and that's probably the  
20                advantage with pegylated interferon, once a week,  
21                a flu-like problem. So it was reasonably predictable.  
22                Some people found it very debilitating. Again, there  
23                seems to be a lot of variation. The side effect that  
24                concerned us most actually was probably depression and  
25                occasionally suicidal ideation, and that was something

1 that we were aware of fairly early on, I believe. And  
2 fortunately it is not common but it can be potentially  
3 a major side effect, depression. And if the drug is  
4 stopped and people are started on anti-depressants -- it  
5 seems to be a chemical effect -- they can restart  
6 treatment.

7 I think it would be true to say it's a bit smug of  
8 doctors to outline just a list of complications.

9 I think the people that know the complications best  
10 would be the patients, and they varied remarkably in  
11 their tolerance to them. Some people would find the  
12 treatment not awkward at all and others, it was  
13 absolutely debilitating, they could not complete  
14 treatment no matter how much they tried.

15 Q. So the impact on a patient's day-to-day living could  
16 range from not much of an impact to being unable to  
17 work?

18 A. Yes.

19 Q. Being unable to leave bed?

20 A. People often suggest that they start it on holiday, so  
21 that it didn't affect work but it was very, very  
22 considerable and, you know, people who were very  
23 mild-mannered would suddenly say that they became  
24 intolerable and angry and so, you know -- things that  
25 are not personally easy to just put down as a rash or

1 something like that, but the effect on patients' lives  
2 was considerable, and each of the treatments that's  
3 interferon-based is not likely to have significantly  
4 less side effects.

5 So we start with interferon, then you add another  
6 drug that has its own side effects, ribavirin,  
7 particularly anaemia, and then we add in boceprevir or  
8 telaprevir, that's the next stage, which has its own  
9 side effects. So we are expanding the cocktail of side  
10 effects at the same time that we are getting better cure  
11 rates and hopefully shorter and shorter treatment.

12 But the treatment, it would be fair to say, is  
13 generally unpleasant.

14 Q. And the way of managing the side effects, I take it,  
15 included reducing doses?

16 A. Yes. The drugs can have the doses reduced but this  
17 tends to impact, nevertheless, on the success rate. So,  
18 for example, with the ribavirin, we know that the more  
19 ribavirin you can get in -- or at least this was what  
20 was believed until very recently when we have these  
21 newer drugs-- that if you reduce the ribavirin you  
22 affect the success of treatment and that it was better  
23 to use drugs like erythropoietin and keep the  
24 haemoglobin up, rather than reducing drugs.

25 I suppose that's not a particular surprise. If you

1       have an effective treatment, you should try and keep the  
2       doses up. So we tried, by mentioning potential side  
3       effects so people are forewarned, to give them support.  
4       We may change the dose and give them support if  
5       medication and support overall -- but the tolerance that  
6       people displayed was very, very considerable and I'm not  
7       sure it's absolutely true but it would seem to be an  
8       impression that the people who had least side effects  
9       actually responded less well.

10    Q. If you had maybe been treated with interferon or  
11       interferon and ribavirin and had suffered extreme side  
12       effects, and you moved on to pegylated interferon and  
13       ribavirin --

14    A. You would have very similar side effects.

15    Q. -- it would be likely to follow the pattern set  
16       previously?

17    A. Absolutely. Many people where they couldn't tolerate,  
18       they would just not contemplate going on to trying it  
19       again, unless they could be guaranteed the treatment was  
20       going to be for a far shorter period.

21                So the side effects, ribavirin, reasonably  
22       well-known; the side effects of pegylated interferon  
23       were pretty similar to the standard interferon, the  
24       advantage being that it was just given once a week. And  
25       we can anticipate with the new drugs that the side

1 effects, since we are still using the two previous  
2 drugs, will be exactly the same plus extra.

3 Q. Yes. Could I just ask you about the stigma associated  
4 with the Hepatitis C virus? We have heard from some  
5 patients who feel that assumptions were made about them  
6 in respect of their alcohol consumption. What do you  
7 have to say about that?

8 A. I think there is a number of areas of stigma that have  
9 arisen and I suspect it will depend a little bit from  
10 centre to centre. For example, we tend to see our  
11 haemophiliacs in the haemophilia centre, whereas the  
12 majority of patients with Hepatitis C have acquired this  
13 from drug misuse, and they are a very different  
14 population indeed. And if you had a centre where you  
15 had joint clinics and they were mixed together and  
16 treated the same way, then it's entirely reasonable that  
17 people would feel stigmatised about this.

18 I think that people who had abnormal liver function  
19 tests -- it still remains common that people who have  
20 abnormal liver tests are referred up to the clinic and  
21 they have had a good telling off from their GP about  
22 drinking too much alcohol when they insist that they are  
23 almost tee-total. It's just because alcohol is such a  
24 common cause of abnormal liver tests that it's  
25 statistically accurate in many cases for the GP to



1           assume that that's the case.

2           So I'm sure that lots of patients with liver disease  
3           per se, as opposed to just Hepatitis C, can feel  
4           stigmatised about things, but I think that the  
5           haemophiliac population and those who acquired it from  
6           blood transfusion, compared with those who have acquired  
7           it from intravenous drug misuse, are very, very  
8           different populations and have the potential, therefore,  
9           for a lot of misunderstanding and stigmatisation.

10    Q.    Finally, you have touched on it a few times throughout,  
11           the prospect of new treatment for the Hepatitis C virus.  
12           Could you tell us about this, please?

13    A.    You will see in the report there there are two drugs  
14           that have, over the past couple of months, been  
15           licensed. These are drugs that are taken orally, and  
16           both seem to be considerably more effective, a quantum  
17           improvement. This is in genotype 1 only. So the group  
18           of people who need a better treatment, these are what  
19           they are targeted for and indicated for.

20           It's difficult, until we have used them both and  
21           compared them in the wider practice, to know whether one  
22           is going to be better than the other. They seem  
23           generally similar in effectiveness, and we will take the  
24           genotype 1 patients, who at the present time only  
25           50 per cent will be cured with 12 months' treatment --

1           that will take that up to 70 per cent or so, with  
2           shorter treatment, we hope.

3           So they have been licensed and they are in the  
4           process of going through local formulary approval and  
5           are likely to start being used, I would think -- well,  
6           they are already used in some centres in Scotland.

7           So they are definite improvements and we need to  
8           inform Hepatitis C patients about this improvement,  
9           bearing in mind this is just genotype 1. So people who  
10          have never had treatment before or people who have had  
11          previous treatment and relapsed need to know about these  
12          new treatments and be given counsel and advice about  
13          that.

14          On a final note, just to be positive, it does appear  
15          that it's not going to be that far off that we have drug  
16          treatments that don't rely on interferon injections as  
17          a baseline but just oral tablets, that have the  
18          potential, it would appear, at the present time, to be  
19          remarkably effective and may well cure, hopefully, all  
20          patients, with relatively short courses. In this  
21          month's "Hepatology", there is a publication about these  
22          regimes of just three oral tablets that look remarkably  
23          effective. So I suspect it will take another five years  
24          for it to get into the last set of guidelines but it  
25          does appear to be promising.

1 Q. Thank you very much.

2 THE CHAIRMAN: It's 1 o'clock, Mr Di Rollo.

3 (1.03 pm)

4 (Short break)

5 (2.00 pm)

6 Questions by MR DI ROLLO

7 THE CHAIRMAN: Mr Di Rollo?

8 MR DI ROLLO: Professor, I just wanted to revisit some of  
9 your evidence about advice in relation to alcohol, if  
10 I may.

11 A. Okay.

12 Q. One of the documents that has been lodged, accompanying  
13 this section, is the Scottish Intercollegiate Guidelines  
14 Network document, the management of Hepatitis C, and you  
15 have referred to that in your statement.

16 A. Right.

17 Q. I think in your evidence this morning you told us -- and  
18 in your statement indeed you have indicated -- that you  
19 wouldn't advise a patient to abstain from alcohol short  
20 of cirrhosis but once it get to cirrhosis you would  
21 advise to abstain at that point.

22 A. Hm-mm.

23 Q. So as I say, your evidence this morning was that short  
24 of cirrhosis, you wouldn't advise a patient to abstain  
25 but once cirrhosis was diagnosed, you would.

1 A. Yes.

2 Q. What I wanted to ask you is, looking at the guidelines,  
3 chronic hepatitis is given a definition at page 2 of  
4 that document, which is [\[PEN0180298\]](#)?

5 A. Right.

6 Q. The actual page I'm looking for is the second page of  
7 the actual document, which I think will be about page 7  
8 or 8. Page 6 of [\[PEN0180298\]](#).

9 Chronic hepatitis there is divided up into the three  
10 categories, I think you mentioned; there is mild,  
11 moderate and severe, and we see that:

12 "Mild disease is present when inflammation of the  
13 liver tissue is absent or largely confined to the portal  
14 tracts with no evidence of fibrous tissue extending  
15 between the portal tracts."

16 Then:

17 "Moderate liver disease is described when there is  
18 significant inflammation and/or liver cell damage  
19 associated with increased fibrous tissue extending  
20 beyond the portal tracts but not resulting in nodule  
21 formation.

22 "Severe disease occurs when the patients have  
23 developed bridging fibrosis or cirrhosis,  
24 (histologically proven or otherwise) of the liver,  
25 whether there are clinical signs of liver dysfunction or

1 not."

2 So I suppose the mild and moderate categories of  
3 chronic Hepatitis C don't involve cirrhosis; the severe  
4 does, according to this definition, involve cirrhosis.  
5 Is that right?

6 A. Yes, I mean, this is a categorisation which is no better  
7 or worse than others. There are different ways of  
8 staging liver disease, depending on the cause. The most  
9 important and the type that's thought to be irreversible  
10 and is the one that's associated with the implications,  
11 is cirrhosis. So we tend in liver disease, irrespective  
12 of the cause, to talk about pre-cirrhotic and cirrhotic.  
13 But this was, I think, particularly used because the  
14 NICE definitions had suggested that people with early  
15 disease didn't need treatment, and that's why perhaps  
16 there are three here, rather than two.

17 But for alcoholic liver disease and non-alcoholic  
18 fatty liver disease due to obesity, they are divided up  
19 into stage 1, a stage which is pretty reversible and  
20 benign, and then an intermediate stage and then  
21 a cirrhotic stage.

22 Q. The mild and moderate states can be diagnosed on the  
23 basis of clinical findings presumably?

24 A. No, these mild and moderate -- one of the biggest  
25 questions in hepatology is, you know, can you really

1 know what's going on in a liver from liver tests, and  
2 you can't really. That's why biopsy, until relatively  
3 recently, the last five years or so, was such a central  
4 part of the treatment algorithm.

5 You can't really tell, looking at the patient and  
6 looking at the liver tests, whether they have --  
7 actually you can't tell whether they have mild disease,  
8 moderate disease or even early cirrhosis. The liver  
9 biopsy, until relatively recently, when we have other  
10 ways of not trying to look at the activity of disease  
11 but how much scarring there is -- there is a device  
12 called a "Fibroscan", which is like an ultrasound  
13 machine, which we use a lot now, which, just by putting  
14 on the side of somebody's liver, you can get a test  
15 result there and then, which will tell you how much  
16 scarring is in the liver, whereas that wasn't available,  
17 you know, five years ago.

18 If you could tell exactly where people were on the  
19 pathway without biopsies, you could wonder why you might  
20 not say, you could see take X amount of alcohol there  
21 but as you move down, you should take less.

22 Q. What I was wondering was, as we come to the guidance  
23 about alcohol, it doesn't differentiate between any of  
24 the categories. If we come to it, page 20 of  
25 [\[PEN0180298\]](#), I hope. Under "Alcohol" -- this is under

1 section 8 --

2 A. 4, yes.

3 Q. Section 8.4. This guidance deals with progression of  
4 untreated disease, and this is 8.4, in relation to the  
5 alcohol, and the headnote, if you like, under B there  
6 is:

7 "Patients with CHC ..."

8 Which is chronic Hepatitis C:

9 "... should be advised that drinking alcohol (even  
10 in moderation) can accelerate progression of liver  
11 disease."

12 Is it correct to say that even with mild or moderate  
13 hepatitis, chronic Hepatitis C, drinking alcohol, even  
14 in moderation, can accelerate progression of liver  
15 disease?

16 A. The problem with this whole issue is accurate  
17 identification of the amount of alcohol and duration.

18 I mean, if you drink more than the recommended  
19 amount and do so for five years, it's extremely unlikely  
20 to give you significant liver disease. If you do that,  
21 however, for 80 years, then you can accumulate the risk.

22 So the average alcohol intake at more than six units  
23 a day -- so that's the 50 units -- and we did some work  
24 on this. The people drinking that, which is essentially  
25 50 units a week, they did have more rapid progression of

1       liver disease than the people who drank within  
2       recommended limits.

3               The statement after that:

4               "Even moderate amounts of alcohol (within government  
5       recommended guidelines) have been associated with  
6       increased ... fibrosis compared to those who abstain."

7               I'm unaware of that data, and it's not what has  
8       generally been advised, that people should be tee-total.  
9       And the documents that were given out to patients from  
10      haemophilia centres, which I think -- the documents are  
11      here -- in 1994/1995 there was a working group,  
12      whatever. My understanding was that was pretty much in  
13      line with what I have been saying, that people didn't  
14      have to be tee-total, drinking within recommended  
15      limits.

16    Q. This guidance is obviously produced in December 2006.

17    A. December 2006, yes.

18    Q. So my questioning wasn't really designed to ask you  
19      about guidance at a particular point in time; what I was  
20      really trying to ask you is whether or not somebody who  
21      has chronic hepatitis, leaving aside cirrhosis -- I'm  
22      really interested in the mild and moderate categories  
23      for the purposes of this discussion -- whether in that  
24      situation one is at risk, even if you drink alcohol in  
25      moderation, of progressing the disease?



1 A. I think that is debatable. I think that when people are  
2 talking about moderate amounts of alcohol, general  
3 consensus for that is more than you should be.

4 There is heavy, moderate and there is within  
5 recommended limits, and the recommended limits -- 21  
6 units of alcohol and 14 units of alcohol -- is  
7 relatively small amounts of alcohol, and I have never  
8 been persuaded by the evidence, nor has it been drawn to  
9 my attention that that small amount of alcohol will  
10 accelerate liver disease.

11 When you talk about a moderate amount of alcohol,  
12 I would tend to use that term for between the  
13 recommended upper limits and 50 units, and 50 units  
14 being heavy. But I take your point that even government  
15 recommended guidelines is in that statement.

16 Q. It does look as though, from what you are telling us  
17 just now, you are not agreeing with what is contained in  
18 the guidance here. It doesn't sound as though you are.

19 A. I think it will -- I would like to -- those two  
20 references that are there, I would like to review the  
21 data. Certainly it is not widely advocated in any  
22 condition, irrespective of Hepatitis C, that patients  
23 should be tee-total, both in transplant circles. It has  
24 always been the patients who are cirrhotic should  
25 abstain from alcohol irrespective of the cause, and

1 people with liver disease of any cause other than  
2 alcohol -- I mean, obviously if you have liver disease  
3 due to alcohol, it may well be best for you to be  
4 tee-total because of the alcohol problem, but other  
5 causes of liver disease, not due to Hepatitis C or  
6 alcohol, it is general recommendations that drinking  
7 within sensible limits is reasonable.

8 Q. Obviously there is a distinction in relation to what  
9 advice you might give someone in terms of how they might  
10 follow it and managing the patient. That's one sort of  
11 possible way of looking at the problem, and another  
12 aspect of the matter is whether in fact, as a matter of  
13 scientific fact, there is actually a risk of progression  
14 of the disease. The two aren't necessarily the same  
15 thing. Is that fair?

16 A. That's fair.

17 Q. All right.

18 THE CHAIRMAN: Before you leave it, I'm not quite sure that  
19 I see what this is saying:

20 "Average alcohol intake of more than six UK units  
21 per day."

22 That's 42 units a week. So that starts by being  
23 twice the recommended level?

24 A. Yes.

25 THE CHAIRMAN: I take it just --

1 A. And I would categorise that as moderate alcohol intake,  
2 or heavy. It's certainly above recommended limits and  
3 potentially harmful drinking, and that amount of alcohol  
4 has been shown in Hepatitis C -- as I suspect it would  
5 be in people without Hepatitis C -- to increase your  
6 risk of fibrosis of the liver.

7 The debate really is whether drinking within the  
8 recommended limits, in patients with Hepatitis C or  
9 other causes of liver disease, can accelerate the liver  
10 disease, and I have to say that I'm unaware of that data  
11 being widely supported.

12 THE CHAIRMAN: At the moment I'm just trying to understand  
13 this paragraph. That middle sentence is one that  
14 appears relatively easy to populate with figures. The  
15 other sentence that is causing the trouble is that:

16 "Even moderate amounts of alcohol ..."

17 That's even moderate amounts, an expression that  
18 comes after talking about 42 units a week, and at the  
19 moment I'm not sure that I can populate that with any  
20 value. So I don't know whether the answer may lie in  
21 the two references, professor, but I'm not sure how far  
22 you can go, if you do not know what the data is that's  
23 being referred to.

24 But if you look at it just as an expression, it  
25 says:

1           "Even moderate amounts of alcohol have been  
2 associated with increased liver fibrosis compared to  
3 those who abstain."

4           So the basic hypothesis is that you have got two  
5 people with fibrosis, and you have got a progression,  
6 and it's saying that even moderate amounts of alcohol  
7 have been associated with increased progression in the  
8 case of those who drink as compared with those who  
9 abstain. Would that be a surprising proposition to you?

10 A. Yes, I think that -- as I said, I'm unaware of the data  
11 that's quoted or the reliability or the source of those  
12 references, and it is certainly not accepted  
13 hepatological practice that we tell people with liver  
14 disease of any severity that they must be tee-total.  
15 Interestingly, nor is that what this recommendation, if  
16 they believe the data, suggests, or reports. If this  
17 data were believable, the recommendation surely should  
18 be that patients with Hepatitis C should be tee-total.

19 THE CHAIRMAN: Yes.

20           Mr Di Rollo, I think I understand why you are  
21 interested in the topic but if you care to get the  
22 references, we might get some data that Professor James  
23 could help us understand.

24 MR DI ROLLO: Well, obviously, I know what the references  
25 are, I can give the references. I don't remember copies

1 of them.

2 PROFESSOR JAMES: Can you kindly turn to them, and perhaps  
3 Professor Hayes and I could just eyeball the references,  
4 and they may be helpful.

5 A. Reference 86 is a retrospective follow-up study of 384  
6 patients. So a retrospective study scientifically is  
7 always open to debate. The other one is 98.

8 MR DI ROLLO: It's 88 and 98, not 86.

9 A. Sorry --

10 Q. As I understand it, 88 is the Zarski, McHutchison, "Rate  
11 of natural disease progression ..."

12 A. It is, I apologise.

13 Q. And then 98 is "Impact of Moderate Alcohol ... ", Hezode  
14 et al.

15 A. "Impact of Moderate Alcohol Consumption on Activity in  
16 Hep C: Elementary pharmacology and therapeutics."

17 PROFESSOR JAMES: Both of those are respectable groups of  
18 authors and journals which might well publish  
19 respectable data. So they will certainly be worth  
20 proper examination. That would be fair, wouldn't it,  
21 professor?

22 A. It would, yes.

23 THE CHAIRMAN: So if you are happy with that, Mr Di Rollo?

24 MR DI ROLLO: Certainly.

25 THE CHAIRMAN: Professor James can look it up and see

1           whether there is something that can be fed into our  
2           understanding of these things.

3   MR DI ROLLO:  Certainly.  It just struck me that your  
4           evidence this morning wasn't quite in keeping with what,  
5           on the face of it at least, the guidelines indicate in  
6           terms of what has been said.

7   A.  A set of guidelines; there are many.

8   Q.  Right.  The other thing I wanted to ask you was about  
9           liver biopsies, and it relates to the problem of  
10          diagnosis.  I think you were telling us earlier in your  
11          evidence this afternoon, in the course of your answers  
12          to the questions that I have been asking, that it has  
13          been a problem historically, making up a diagnosis.  You  
14          have told us, I think, fairly clearly, that you can be  
15          walking around with cirrhosis and be unaware of it?

16  A.  Hm-mm.

17  Q.  One way of carrying out a diagnosis is to carry out  
18          a liver biopsy, and you have told us about that and how  
19          unpleasant and risky that can be.

20                 The situation with someone with haemophilia is  
21          obviously that there is a serious risk there with the  
22          haemophiliac in carrying out a liver biopsy, which isn't  
23          there with a normal person, which is because of the risk  
24          of bleeding.  It wasn't until relatively recently -- am  
25          I right in thinking -- that liver biopsies were carried

1 out on haemophiliacs? Is that right? There was  
2 a reluctance to carry out --

3 A. There was a reluctance to carry it out at all. I'm not  
4 sure that the data would say that the risk is  
5 considerably high but it seems counter-intuitive not to  
6 think that doing a liver biopsy in somebody with  
7 a bleeding tendency has increased risk.

8 So when did liver biopsies in haemophiliacs, we  
9 tried to do it in the safest way possible and replacing  
10 clotting factors, and it was probably because of our  
11 exposure in practice with them that we realised that  
12 actually knowing what the liver biopsy result was wasn't  
13 so important to the treatment algorithm that we were  
14 using, accepting that it was different to what had been  
15 proposed by NICE, which said that liver biopsy was  
16 central in the algorithm. Although I'm pretty certain  
17 that document excludes haemophiliacs from that.

18 Q. When was there less reluctance to carry out a liver  
19 biopsy on haemophiliacs?

20 A. I wouldn't have said there was less. I would have said  
21 now there is probably even more. So in the early 90s,  
22 I would be doing laparoscopic liver biopsies, or  
23 laparoscopy without biopsies on haemophiliacs, and  
24 I can't remember when we last did one in a haemophiliac,  
25 and actually we do far, far less liver biopsies overall

1 now because of the advent of newer tests.

2 Q. Can you just give me an approximate timeframe as to when  
3 the newer tests that allow you to achieve a result in  
4 terms of making a diagnosis, making it less necessary to  
5 do a biopsy, became available?

6 A. I think the data has been available for decades. It has  
7 really been a point of principle in some ways in  
8 hepatology that you need a liver biopsy to know exactly  
9 where you are in the conditions.

10 So if you were to look at surrogate markers of  
11 cirrhosis, the Skipton Fund criteria, which is the APRI  
12 and the AST to ALT ratios, were used and accepted  
13 10/15 years ago. There were other tests that were  
14 brought in with the Fibrotest, which was again blood  
15 tests. These were all attempts to stage liver disease  
16 accurately, without a liver biopsy.

17 There are some that are very simple at the bedside,  
18 with, you know, using platelet counts, but I think that  
19 in liver hepatology circles practice, everyday practice,  
20 liver biopsies have gradually reduced, other than  
21 post-transplant situation to diagnose rejection, over  
22 the last ten years.

23 Q. Right.

24 THE CHAIRMAN: You described a sort of echosounder approach.

25 A. Yes.



1 THE CHAIRMAN: When did that technology become available?

2 A. The Fibroscan, which fires a little sound wave through  
3 the liver and measures the speed with which it goes  
4 through, I think, was commercially available probably  
5 around five years ago and became commonly introduced  
6 into hospitals in the UK over the last two to three  
7 years.

8 THE CHAIRMAN: So very, very modern technology that we are  
9 talking about.

10 A. Yes.

11 MR DI ROLLO: Thank you.

12 Thank you, sir.

13 THE CHAIRMAN: Should I be amazed, Mr Di Rollo?

14 MR DI ROLLO: Certainly. I wasn't particularly brief.

15 THE CHAIRMAN: Mr Anderson?

16 MR ANDERSON: Sir, I am sure I can live up to this  
17 expectation, if I may.

18 Questions by MR ANDERSON

19 MR ANDERSON: Good afternoon, professor. The short heading  
20 of our topic is the effects of infection with  
21 Hepatitis C on patients, and in your report you deal  
22 with the effectiveness of treatment but you don't say  
23 anything about life expectancy, and I would just like to  
24 discuss that, if I may, very briefly with you just now.

25 Could we look together, please, at a paper with the

1 reference [\[LIT0011263\]](#)? It should come up on the screen  
2 in front of you.

3 I think we see this as a paper published in the  
4 American Society of Haematology, Blood, with a date  
5 of April 2007. Is that correct?

6 A. Yes, I see.

7 Q. And the lead author appears to be Sarah Darby, and we  
8 see a number of names, one or two of which are familiar  
9 to us, for the UK haemophilia doctors organisation. Is  
10 that correct?

11 A. Yes.

12 Q. Can we turn to the next page? That's 1264. We will  
13 come back to the resume, as it were, of the results but  
14 do we see under "Introduction" that it tells us:

15 "In the late 1960s, the UKHCDO initiated  
16 a nationwide database for planning the care of people  
17 with congenital blood coagulation defect. From 1976 to  
18 1988, it included details of all males diagnosed with  
19 Haemophilia A or Haemophilia B, regardless of whether  
20 they required treatment and it was updated each year  
21 adding newly diagnosed individuals. The information  
22 held on the database has been used to carry out a study  
23 of mortality in the complete haemophilia population in  
24 the United Kingdom over a period of 23 years. This  
25 paper presents information on people with Hemophilia A

1 or B, who were not infected with human immunodeficiency  
2 virus."

3 So this is one which excludes HIV. It might be  
4 convenient next to go to the study design, which we will  
5 find on page 1270, in the right-hand column, we will  
6 see:

7 "Discussion.

8 "Study design.

9 "This is the largest follow-up study ever performed  
10 of people with haemophilia and it covers the longest  
11 period of follow-up. The study includes the complete  
12 population of United Kingdom residents diagnosed with  
13 Haemophilia A or B during a period of more than  
14 20 years, thus eliminating the possibility of bias that  
15 is present in studies based on cross-sectional surveys  
16 of haemophilia populations, where there are inevitably  
17 a number of non-respondents and in which children who  
18 die in the first three years of life tend to be  
19 under-represented, leading to estimates of life  
20 expectancy from birth that are higher than the true  
21 value. Additionally, the study has made use of the  
22 nationwide flagging system, available in the United  
23 Kingdom via the NHS central registers, to eliminate  
24 duplicate records for individuals who attended many  
25 haemophilia centres or who changed their name. This

1 central system of flagging has also enabled  
2 ascertainment of the appreciable number of deaths that  
3 occur in people with haemophilia but without the  
4 knowledge of any haemophilia centre."

5 I think on the final two pages -- that is to say  
6 1272 and 1273 -- we see the UK haemophilia centres  
7 contributing data and on the face of it it appears to be  
8 just about every haemophilia centre. Is that right, do  
9 you think?

10 A. I am afraid I'm not in a good position to give you the  
11 answer to that, not being a haemophilia centre doctor.

12 Q. It seems to be a very lengthy list.

13 A. Right.

14 Q. All right.

15 If we turn to page 1272, the concluding remarks, we  
16 see there that the authors say this:

17 "This study has made use of the UKHCDO nationwide  
18 database, together with the ability to ascertain vital  
19 status on a nationwide basis via the NHS central  
20 registers and, for those who have died, the certified  
21 cause of death. It has for the first time characterised  
22 life expectancy and cause of specific mortality in  
23 a large haemophilia population that was not infected  
24 with HIV. The results showed that, despite the advances  
25 that took place in the treatment of haemophilia during

1 the last two decades of the 20th century, mortality from  
2 intracranial haemorrhage changed little in the absence  
3 of factor inhibitors. They also show that life  
4 expectancy in severe haemophilia was still 15 years  
5 lower than that of men in the general population at the  
6 end of the 20th century, while in moderate/mild disease  
7 was three years lower."

8 It goes on to say:

9 "The prospects for the future are good. The study  
10 confirms that there is a substantial reduction in  
11 mortality from ischemic heart disease in people with  
12 haemophilia compared with the general population and,  
13 more importantly, the results are consistent with  
14 a substantial reduction in mortality from intracranial  
15 haemorrhage among those receiving prophylaxis."

16 If we now turn to the resume at the start of this  
17 paper at page 1264, we see there a summation of the  
18 results:

19 "Since the 1970s, mortality in the haemophilia  
20 population has been dominated by HIV and few reports  
21 have described the mortality in uninfected individuals.  
22 This study presents mortality in 6018 people with  
23 Haemophilia A or B in the UK during 1977 to 1998 who  
24 were not infected with HIV, with follow-up  
25 until January 1, 2000. Given disease severity and

1 factor inhibitor status, all-cause mortality did not  
2 differ significantly between Haemophilia A and  
3 Haemophilia B. In severe haemophilia all-cause  
4 mortality did not change significantly during 1977 to  
5 1999. During this period, it exceeded mortality in the  
6 general population by a factor of 2.69 ... and median  
7 life expectancy in severe haemophilia was 63 years. In  
8 moderate/mild haemophilia all-cause mortality did not  
9 change significantly during 1985 to 1999, and median  
10 life expectancy was 75 years. Compared with mortality  
11 in the general population, mortality from bleeding and  
12 its consequences and from liver diseases and Hodgkin  
13 disease was increased but for ischemic heart disease it  
14 was lower, at only 62 per cent of general proportion  
15 rates, and for 14 other specific causes it did not  
16 differ significantly from general population rates.  
17 There was no evidence of any death from variant CJD or  
18 from conditions that could be confused with it."

19 So I think, without going to the bodies of the  
20 paper, professor, it would appear that what we can take  
21 from it is that life expectancy of people with severe  
22 haemophilia in the period 1977 to 1999 was 63. Is that  
23 correct?

24 A. Yes.

25 Q. Can we turn now to an earlier paper perhaps, which is

1        [\[LIT0010159\]](#). This is a paper by Rosemary Biggs, who  
2        I think was with the Oxford Haemophilia Centre at the  
3        Churchill Hospital in Oxford, and this is an earlier  
4        paper from the British Journal of Haematology in 1977.  
5        If we look at the summary, we see that:

6                "A study has been made by the haemophilia centre  
7        directors of the United Kingdom and Northern Ireland.  
8        From 1969 to 1974 2600 patients with Haemophilia A and  
9        388 with Haemophilia B attended haemophilia centres for  
10       treatment.

11               "Of these patients, 71 are known to have died in the  
12       survey period. A record is presented of the amounts and  
13       types of therapeutic materials used each year during  
14       this time."

15               If we go simply to page 0162 and we look at table 2,  
16       you see there in quite simple form set out the age at  
17       death of patients having Haemophilia A or B, and we see  
18       in all cases the average age at death was 42.3: second  
19       column. Is that right?

20    A. Yes.

21    Q. Now, would I be right in thinking in this earlier  
22       paper -- that's to say the Biggs paper -- in the period  
23       1969 to 1974 this group would have been treated  
24       predominantly with cryoprecipitate? Would that be  
25       right?

1 A. I'm not the right person to ask that question. I don't  
2 know about the specifics of haemophilia treatment.

3 Q. All right. Can we, for the purposes of this discussion,  
4 assume that they were treated with cryoprecipitate?

5 A. Okay.

6 Q. And again say, if it's the case: in relation to the  
7 first paper we looked at -- that is to say the period  
8 1977 to 1999 -- they would be predominantly treated with  
9 concentrate. Would that be correct?

10 A. I'll have to take your advice on that.

11 Q. All right. We may manage to cut this short, professor,  
12 but if it were the case that the former group -- that is  
13 to say the Biggs cohort -- were treated with  
14 cryoprecipitate and the later group were treated  
15 predominantly with concentrates, would it be over  
16 simplistic to suggest that the increased life expectancy  
17 would be due to the use of concentrates?

18 A. That's not how I would interpret that data. I would  
19 need to read the detail of the first paper but the  
20 average age at death is not the same as average life  
21 expectancy. That's the average age at which the people  
22 who died, died. There may be a lot of people who are  
23 not dead who are a lot older than that. So we would  
24 need to know what the average life expectancy, rather  
25 than the average age of death in that first cohort, was.



1 I didn't see that in the summary.

2 Q. All right.

3 THE CHAIRMAN: The age at death is not necessarily  
4 representative of the distribution of ages among the  
5 whole population.

6 MR ANDERSON: All right. Thank you very much, professor.

7 THE CHAIRMAN: So far as the first paper you were shown was  
8 concerned, I have one concern about that, that it  
9 appears to deal with people who did not have HIV. Do  
10 you know whether it dealt with people who were  
11 co-infected but who died of the complications of  
12 Hepatitis C?

13 A. I don't know the details of that publication but I would  
14 assume that the co-infected patients would be excluded,  
15 in that, whether this was mono-infection with HIV or  
16 co-infected with HIV and Hep C, I presume they would  
17 have excluded them because HIV has such a dominant  
18 influence there.

19 THE CHAIRMAN: But it might have been a complication of  
20 Hepatitis C that was the cause of death.

21 A. It could be, but the conclusion -- I mean, people within  
22 that span of 20-odd years, some of them may have died of  
23 complications of Hepatitis C, but the conclusion from  
24 that paper really was that the all-cause mortality  
25 hadn't changed over that period of time, which might be

1           reassuring that over that period of time there weren't  
2           a lot of deaths from complications of Hepatitis C.

3           But when you are dealing with a disorder with  
4           a natural history that may have 30/40/50 years, that  
5           period of time is still relatively short and may include  
6           a large number of people with Hepatitis C early on in  
7           their disease course, and if they were followed for  
8           ten years, you may suddenly see an increase in  
9           liver-related deaths.

10          THE CHAIRMAN: That was the next point for which I was  
11           grateful to Professor James: a period ending in 1999 is  
12           really relatively short to base general conclusions on.  
13           Yes.

14                    Anything you want to follow, Mr Anderson?

15          MR ANDERSON: No, thank you, sir.

16          THE CHAIRMAN: Mr Johnston?

17                                Questions by MR JOHNSTON

18          MR JOHNSTON: Thank you, sir. I just have one short point,  
19           Professor Hayes, in relation to the new treatments that  
20           you touch on at the end of your statement. At the time  
21           you wrote your statement you quite rightly said that  
22           telaprovir is now licensed but has not been  
23           SMC-approved. However, I --

24          A. Two days ago.

25          Q. Two days ago, yes. I simply wanted to draw that to your

1 attention in case there was any comment you wanted to  
2 make about it.

3 A. I think that it is to be welcomed. I think that to have  
4 two buses come along at the same time is interesting and  
5 it will allow us to compare and contrast the two drugs  
6 and which are more suitable. They are both, I'm led to  
7 believe, going to be extremely expensive, at £20,000 to  
8 £30,000 a treatment course for an individual, which is  
9 a lot of money, and that may be a factor that comes into  
10 place.

11 But it may be that one of the drugs, the telaprevir,  
12 can be used for quite a short period of time, whereas  
13 the boceprevir may be for a longer period of time and  
14 spread out. So I think it's nice to have a choice of  
15 drugs and we will be able to see which is going to be  
16 best in this population.

17 Q. I see. Thank you very much.

18 A. Thank you.

19 THE CHAIRMAN: Thank you very much.

20 MR JOHNSTON: Thank you, sir.

21 THE CHAIRMAN: Numbers of buses approaching at the same time  
22 entertained Wendy Cope. I don't know if you know her.  
23 It sometimes suggests that too much choice merely causes  
24 trouble. Yes. Have you any further --

25 MS PATRICK: I have nothing further.

1 THE CHAIRMAN: Professor, thank you very much indeed.

2 A. Thank you.

3 THE CHAIRMAN: Now, Ms Patrick.

4 MS PATRICK: There are no further witnesses today.

5 THE CHAIRMAN: Until tomorrow.

6 (2.48 pm)

7 (The Inquiry adjourned until 9.30 am the following day)

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