

Prevalence of Immunohistochemical Accumulation of Prion Protein in UK Tissue Samples – Dr David Hilton

SEAC Response

SEAC considered the preliminary findings from Dr Hilton's study at its February meeting. The committee agreed the results were of considerable interest, though the prognostic significance of a positive sample was unknown. There was some uncertainty about the interpretation of two of the positive results that were found in the study, which also made it difficult to draw any firm conclusions about the significance of the results with respect to the possible prevalence of infection with the vCJD agent in the population. The committee noted that experimental transmission studies were being set up to address some of the uncertainties, but it would be some considerable time before the results would be available.

The size of the study was limited and the results were thus also subject to considerable statistical uncertainty. SEAC emphasised the importance of speeding the conduct of further research using freshly collected tonsil specimens to increase the sample size on which estimates of the prevalence of infection might be based. SEAC will be kept informed of progress with this work.

Original Paper

Prevalence of lymphoreticular prion protein accumulation in UK tissue samples

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Abstract

This study aims to provide an estimate of the number of individuals in the UK who may be incubating variant Creutzfeldt-Jakob disease and at risk of causing latrogenic spread of the disease. Lymphoreticular accumulation of prion protein is a consistent feature of variant Creutzfeldt-Jakob at autopsy and has also been demonstrated in the pre-clinical phase. Immunohistochemical accumulation of prion protein in the lymphoreticular system remains the only technique that has been shown to predict neurological disease reliably in animal prion disorders. In this study, immunohistochemistry was used to demonstrate the presence of prion protein, with monoclonal antibodies KG9 and 3F4, in surgically removed tonsillectomy and appendicectomy specimens. The samples were collected from histopathology departments across the UK and anonymised prior to testing. Samples were tested from 16703 patients (14964 appendectomies, 1739 tonsillectomies), approximately 60% of whom were from the age group 20-29 years at operation. Twenty-five per cent of the samples were excluded from the final analyses because they contained inadequate amounts of lymphoid tissue. Three appendicectomy samples showed lymphoreticular accumulation of prion protein, giving an estimated prevalence of 3/12674 or 237 per million (95% CI 49-692 per million). The pattern of lymphoreticular accumulation in two of these samples was dissimilar from that seen in known cases of variant Creutzfeldt-Jakob disease. Although it is uncertain whether immunohistochemical accumulation of prion protein in the lymphoreticular system is specific for variant Creutzfeldt-Jakob disease, it has not been described in any other disease, including other forms of human prion disease or a range of inflammatory and infective conditions. These findings reinforce the importance of measures taken by the UK Department of Health to reduce the risk of spread of variant Creutzfeldt-Jakob via blood products and surgical instruments, and of the urgency to proceed with large-scale screening of fresh tonsil specimens for the presence of prion protein. Copyright © 2004 Pathological Society of Great Britain and Ireland, Published by John Wiley & Sons, Ltd.

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Keywords: Creutzfeldt-Jakob disease (CJD); prion; screening; immunohistochemistry

1 Introduction

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3 Variant Creutzfeldt-Jakob disease (vCJD) was first 4 recognized as a new and distinctive disease in the UK 5 in 1996 [1]. Subsequent transmission studies and strain typing have shown that the transmissible agent causing 6 vCJD exhibits identical characteristics to the bovine 7 8 spongiform encephalopathy (BSE) agent [2-4] and Q. there is no evidence that vCJD occurred prior to 1995 10 [5,6]. These data indicate that vCJD is a new disease. almost certainly caused by exposure to the BSE agent. 11 12 This conclusion has led to concern about a possible 13 human epidemic of vCJD, particularly as it is likely 14 that over 400 000 infected cattle entered the human 15 food chain in the UK prior to the introduction of the 16 specified bovine offal ban in November 1989 and as the ban was not fully effective for several years, a large 17

number of infected cattle also entered the food chain 18 in the early 1990s [7]. There have been a number of 19 attempts to predict future numbers of vCID cases using 20 mathematical models and extrapolating from vCID 21 cases seen to date [8-13]. Recent estimates based on 22 the pattern of clinical cases suggest that the epidemic 23 of vCJD will be relatively small, with an upper 95% 24 confidence interval of 540 future cases [13]. However, 25 remaining uncertainties, including the possibility that 26 other genetic loci affect susceptibility [14], make 27 the distribution and timing of any human epidemic 28 unclear. Furthermore, such models are unable to 29 estimate the prevalence of asymptomatic infection and 30 hence provide any estimate of the potential number 31 of future infections and cases that could arise from 32 secondary (human-to-human) transmission of vCJD. 33 In addition, questions have been raised as to the 34

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1 safety of some food products not covered by the $\mathbf{2}$ specified bovine offal ban [15,16] and it is not known 3 if BSE has entered the British sheep flock, factors 4 which could alter predicted numbers of vCJD cases S [12]. These uncertainties make decisions about health 6 care planning problematic, particularly measures to 7 reduce the risk of iatrogenic spread of vCJD. In order to reduce these uncertainties, some form of 8 9 population screening is required. However, the lack 10of a conventional immune response and the failure to date to demonstrate abnormal prion protein (PrP) in 11 12 blood in vCJD [17] have made the development of a 13 diagnostic blood test difficult. If a blood test becomes 14 available for symptomatic vCJD, it may be several 15 years before it is known whether pre-clinical disease 16 could be reliably detected.

17 It has been known for some time that lymphoretic-18 ular accumulation of PrP occurs early in murine mod-19 els of scrapie [18], even when incubation periods are 20long [19]. This lymphoreticular involvement has been 21 successfully used in the development of a tonsillar 22 biopsy as a pre-clinical test for scrapie in sheep [20]. 23Although widespread lymphoreticular involvement is 24 not a feature of BSE in cattle [21], extensive lym-25 phoreticular PrP deposition has been found in all cases 26of symptomatic vCJD examined to date [22,23] and 27 in two cases in appendicectomy specimens removed 28prior to the onset of symptoms [24,25]. On the basis 29 of these data, we have screened large numbers of 30 appendicectomy and tonsillectomy specimens for the 31 presence of abnormal lymphoreticular PrP deposition. 32Although the antibodies used in this study cannot dis-33 tinguish PrP^c from PrP^{Sc}, immunohistochemical accu-34 mulation of PrP within lymphoid tissue correlates with 35 the detection of protease-resistant PrP by western blot 36 analyses in human tissues [22] and immunohistochem-37 istry remains the only technique that has been shown to 38 predict disease in animals reliably [26,27]. This study 39 was primarily designed to look for evidence of a large 40epidemic, but also to provide information about how many individuals are at high risk of developing vCJD 41 42 and causing iatrogenic spread. Interim results from this 43 study have been published previously [25,28]. However, the study has now been completed following the 44 45 examination of additional cases.

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Materials and methods

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50 Tissue samples

52Appendicectomy and tonsillectomy samples were 53 identified by Systematized Nomenclature of Medicine 54 (SNOMED) searching of the computerized databases 55 of 63 histopathology departments across the UK. Ini-56 tially, samples from the age range 10-50 years were 57 included. However, following negative findings in the 58 first 3000 cases [28], it was decided only to examine 59 appendix samples from individuals aged 20-29 years, 60 as this represents the highest risk age group for vCJD.

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Tonsil samples included all ages, as fewer samples 61 were available for examination (most tonsillectomy 62 samples are discarded rather than sent to histopathol- 63 ogy departments for diagnosis and archiving). A max- 64 imum of two tissue blocks was examined for each 65 case. Only samples removed from 1995 onwards were 66 included, as these represent a longer time from possi- 67 ble BSE exposure than earlier samples and therefore a 68 greater likelihood of PrP being detectable. Tissue sam- 69 ples were collected into batches of at least 1000 cases 70 and given a randomly obtained study number prior to 71 testing, in order to protect the anonymity of positive 72 individuals. Batches of samples from England were 7374 tested at Plymouth and, from Scotland, at Edinburgh.

The study received approval from the South and 75 West Multi-centre Research Ethics Committee (MREC 76 reference 99/6/32) and for each of the centres included, 77 appropriate local research ethics committee approval. 78 The ethical approach has been discussed previously 79 [29] and in view of the lack of direct patient consent 80 and uncertainty of the significance of a positive result, 81 the study design was anonymous. 82

Immunohistochemistry

Four-micrometre sections were cut from tissue blocks 86 at two levels 100 µm apart. Sections were pretreated 87 by autoclaving at 121 °C for 10 min, followed by 88 immersion in 96% formic acid for 5 min and digestion 89 with proteinase K (10 µg/ml) for 5 min at room 90 temperature, in order to enhance PrPSc detection and 91 reduce PrP^c detection. PrP was detected using the well-92 characterized and widely used monoclonal antibodies -93 3F4 (Dako, UK) and KG9 (IAH, TSE Resource 94 Centre, UK) [22,24] and visualized using the CSA 95 kit (Dako, UK), which gives superior results in terms -96 of sensitivity to most other immunohistochemical 97 detection systems [30]. A section from each case was 98 stained with haematoxylin and eosin for morphological 99 assessment. Autopsy tonsil tissues from confirmed 100 cases of vCJD were used as a positive control for each 101 group of slides stained by immunohistochemistry for 102 PrP; negative controls were performed by omitting the 103 primary antiserum. Thirty cases from each batch of 104 1000 were exchanged between the study centres and 105 tested 'blinded' to the findings of the other centre, for 106 quality control and validation of results. In order to 107 minimize the possibility of human error, the samples 108 were tested and analysed with each of the antibodies 109 on separate dates. 110

All sections were examined by an experienced neu-111 ropathologist (DAH at Plymouth and JWI at Edin-112 burgh). Cases with fewer than five secondary lymphoid 113 follicles were excluded from the final analyses because 114 in the original reported case [24] and those examined 115 at autopsy (personal observation JWI), PrP could be 116 demonstrated in only approximately 20% of follicles. 117 Sections were recorded as positive if PrP staining was 118 detected in follicular dendritic cells or tingible body 119 macrophages in lymphoid follicles. 120

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Statistical methods 2

Simple summary statistics were calculated in Microsoft Excel. Exact binomial confidence intervals were calculated for the prevalence estimates. The expected number of individuals incubating vCJD was calculated using estimates of the UK population size stratified by (http://www.statistics.gov.uk/downloads/theme_ 22ê population/PT114.pdf).

Results

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Tissue samples 14

15 The numbers of cases examined and the age distri-16 bution are summarized in Table 1 and Figure 1. The 17 age distribution of our sample is heavily weighted 18 towards the high-risk age group (based on cases of 19 vCJD to date, see Figure 2). The majority of the spec-20imens examined were appendicectomies, reflecting the 21 availability of samples within histopathology departments (most tonsillectomy specimens are discarded 2223 after surgery in the UK).

24 The number of secondary lymphoid follicles varied 25 considerably between appendicectomy cases, but in 26 about 25%, fewer than five were present on the first 27 level and these were therefore excluded from the 28figures for analyses. Most of these excluded cases 29 were severely inflamed, although some showed fibrous 30 obliteration, and none was considered positive. The 31 median number of secondary lymphoid follicles in 32

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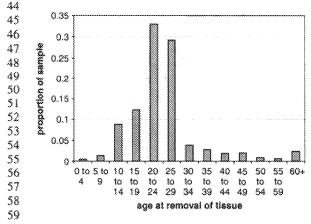
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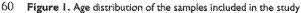


Specimens	Number
Appendicectomy specimens tested	14964
Tonsillectomy specimens tested	1739
Excluded from analysis*	4029
Total included in analysis	12 674*

Table 1. Summary of the samples used in the

* Due to inadequate amounts of lymphoid tissue. ¹ 10260 from England and 2414 from Scotland.





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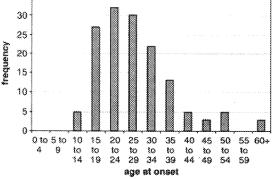


Figure 2. Age distribution at onset of vCID cases to end of 2003

the remaining appendicectomy cases, which were 61 included in the study, was 22 at the first level and -62 most had several additional follicles examined at the -63 second level. Most tonsil specimens included over 100 -64 secondary lymphoid follicles, although in about 10% 65 of samples, fewer than five were present. 66

Immunohistochemistry

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70 In the majority of samples, fine granular PrP immunoreactivity was noted in nerve fibres and the 71 72myenteric ganglia with both antibodies, and in a few cases, PrP immunoreactivity was also noted 73 74 in epithelial cells immediately adjacent to acute 75 inflammation: In three appendicectomy cases, we 76 identified PrP immunoreactivity in lymphoid follicles, 77 which was seen in sections tested at both centres. None 78of the tonsillectomy samples was positive.

79In the first positive case (previously published [25]), 80 immunoreactivity was seen in the sections stained 81 using KG9 and was limited to one of the six secondary lymphoid follicles present, with a distribution -82 83 suggesting that it was within follicular dendritic cells 84 (Figure 3A). The pattern of staining, in particular the 85 coarse granularity (Figure 3B), was very similar to that seen in the two other cases who subsequently 86 developed vCJD [24,25]. However, staining was less 87 88 evident in sections immunostained with the 3F4 anti-89 body. The reason for this discrepancy is not entirely clear, although we feel that the most likely explana-90 91 tion is sampling error due to the focal nature of the PrP 92 deposition. This positive case also showed evidence of 93 acute appendicitis in adjacent tissue, but there was no 94morphological evidence of any other disease process 95 in an adjacent haematoxylin and eosin-stained section.

-96 The second positive sample showed extensive 97 staining in 31 of 68 secondary lymphoid follicles 98 (Figure 3C); this was seen with both antibodies, 99 although it was less intense with 3F4. The staining had a finer granular pattern and appeared confined to fol- 100licular dendritic cells (Figure 3D). The appendix did 101 not show any acute inflammation. A very occasional 102 103

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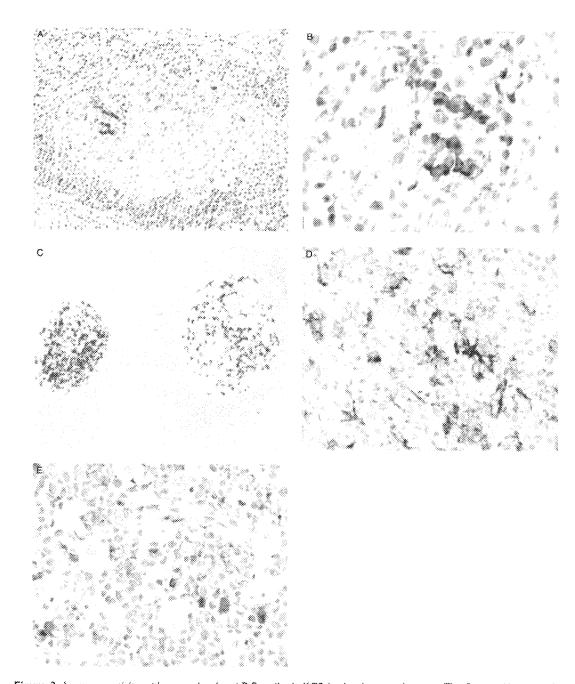


Figure 3. Immunoreactivity with monocional anti-PrP antibody KG9 in the three study cases. The first positive case shows granular staining of follicular dendritic cells in one follicle (A), including numerous coarse granular aggregates (B). The second positive case shows intense PrP immunoreactivity in two follicles (C), with a predominantly finely granular pattern in follicular dendritic cells (D). The third case shows a mixture of granular follicular dendritic cell staining and accumulation within the cytoplasm of macrophages (E)

1 multinucleate cell was noted in the submucosa of this 2 case, but not within germinal centres.

3 The remaining positive case showed staining in 4 three of 46 secondary lymphoid follicles, which was

5 similar with both antibodies. Fine granular immunore-

6 activity was present in cells with the morphology of

7 follicular dendritic cells, and within the cytoplasm of

cells with abundant eosinophilic cytoplasm, presum-8 ably macrophages (Figure 3E). Acute inflammation 9 was not present. 10

If lymphoreticular immunoreactivity for PrP is a 11 reliable marker of pre-clinical infection, the estimated 12 prevalence of vCJD based on these three positive 13 samples in 12 674 tested will be 237 infections per 14

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3 million population (exact 95% CI 49-692 per million). If we assume that this estimate relates to those aged 2 10-30 years (83% of the sample), then this translates 3 4 to a best estimate of 3808 individuals (95% CI S 785-11128) aged 10-30 years incubating vCID. If 6 only the one case with a similar pattern to that seen in previous cases of vCJD is considered, then the 7 estimates will be correspondingly lower (prevalence 8 9 of 79 infections per million population, 95% CI 10 2-440). In contrast to these high estimates, clinical 11 case numbers remain at a much lower level and have 12 been declining since 2000, with only 18 deaths in 13 2003.

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16 Discussion

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18 This study provides an estimate of the prevalence 19 in the UK population of abnormal lymphoreticular 20 accumulation of PrP. However, our findings need 21 to be interpreted cautiously, in terms of the clinical 22 significance of both negative and positive results.

23One major limitation of this study in estimating 24 the prevalence of asymptomatic infection and predict-25 ing future numbers of vCJD cases is that it is not 26known at what stage during the incubation period PrP 27 can be detected in lymphoid tissue. In murine models 28of scrapie, infectivity can be demonstrated in Peyer's 20 patches as early as 1 week after oral inoculation [19] 30 and immunohistochemistry can detect PrP in Peyer's patches 1 month after intraperitoneal inoculation [31]. 31 In the tonsils of scrapie-infected sheep, immunohis-32 33 tochemical detection of PrP occurs from 4 months of 34 age in those homozygous for a susceptibility PrP gene 35 polymorphism, and by 15 months in heterozygotes, 36 reliably predicting future neurological disease [26]. A 37 further study examining tissue from the third eye of 38 sheep at risk of scrapie found that immunohistochem-39 ical detection of PrP in lymphoid follicles predicts 40 neurological disease with an estimated 87% sensitiv-41 ity and 94% specificity [27]. Data are only available 42 in the pre-clinical phase from three cases of vCID 43 [25]; the two appendicectomy samples removed in the 441990s (up to 2 years before symptoms and 4 years 45 before death) were positive and a third case, removed 46 in 1987, 10 years before the onset of symptoms, was 47 negative. This retrospective study has only examined 48samples taken from 1995 to 1999, several years after 49 the peak human exposure to BSE, which is likely to 50 have occurred between 1988 and 1992, in order to 51 maximize the chances of identifying positive individ-52 uals. Furthermore, we have used a highly sensitive 53 immunohistochemical technique [30] and because of 54 the focal nature of PrP deposition, extensive sampling 55 of appendix tissue, with a minimum of five (and an 56 average of more than 20) secondary lymphoid folli-57 cles assessed in each case. Using this approach, we 58 have found that 95% of autopsy appendicectomy sam-59 ples from cases of vCJD, with adequate amounts of 60 lymphoid tissue, test positive [25]. The finding of fine

granular PrP in the myenteric plexus of most sam- 61 ples (and some epithelial cells adjacent to inflamma- 62 tion in a few samples) suggests that the proteolytic 63 digestion used during immunocytochemistry does not 64 completely remove PrP^c and also reflects the high levels of PrP^c in autonomic nerves [32]. 66

Although immunohistochemical accumulation of 67 PrP in lymphoreticular tissues has not been demon- 68 strated in any disease other than vCJD [22,33,34], the 69 significance of the positive samples in this study is not 70 certain. In one case, the immunohistochemical pattern 71 of immunoreactivity resembled that seen in appendix 72 tissue from pre-clinical [24,25] and autopsied cases 73 of vCJD, but in the other two cases, a more finely 74 granular pattern of staining was present in relation 75 to follicular dendritic cells, raising the possibility that 76 these may be false positives. However, we have been 77 unable to demonstrate PrP immunoreactivity in a range 78 of other disorders including other human prion dis-79 eases, neoplastic disease, or a range of inflammatory 80 conditions [33]. Other explanations for our finding of 81 cases with an unusual pattern of lymphoreticular PrP 82 immunoreactivity include involvement of other geno- 83 types (genotype is known to affect the morphological 84 patterns of PrP deposition in the brain [35]) or differ-85 ing strains of BSE [36]. The anonymous study design 86 prevents detailed investigation of the positive cases. 87 However, spare paraffin wax sections were available 88 from the second and third positive cases and have 89 been used for transmission studies, but these may be 90 inconclusive if negative, because of the small amount 91 of tissue available and the difficulty in transmitting 92 from fixed tissue [37]. Commercially available anti- 93 PrP antibodies for immunohistochemistry detect both 94 PrPc and PrPSc, and although two groups have devel- 95 oped PrPSc-specific antibodies [38,39], they do not 96 appear to work for immunohistochemistry (JWI, per- 97 sonal communication). 98

If our positive cases represent pre-clinical cases of 99 vCJD, then this will be of some concern, as the preva- 100 lence is much higher than expected from the observed 101 incidence of clinical cases, either indicating a future 102 increase in numbers of vCJD cases or a significant 103 number of individuals with a 'carrier state' [40]. In the 104 latter context, it is of interest to note that inoculation 105 of the BSE agent into transgenic mice which express 106 only the human PrP gene with methionine homozy-107 gosity at codon 129 has revealed a high incidence 108 of sub-clinical infection [41]. In vCJD, immunohis-109 tochemical accumulation of PrP correlates with the 110 presence of protease-resistant PrP, as determined by 111 western blot examination [22] and infectivity [42]. 112 Individuals with sufficient PrP accumulation to be 113 detected by immunohistochemistry may therefore pose 114 a health risk to others by causing latrogenic spread 115 via surgical instruments, blood transfusion or organ 116 donation. Infectivity is not fully inactivated by auto-117 claving [43] and CJD has been transmitted by re-use 118 of surgical instruments [44], although this risk is likely 119 to be small (http://www.doh.gov.uk/cjd/consultation), 120

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1 However, there has been a recent increase in con-2 cern about surgical transmission of CJD, following the demonstration of low levels of PrPSc in the skeletal 3 4 muscle and spleen of some patients with sporadic CID \leq [45,46] and epidemiological studies that have shown 6 an increased incidence of sporadic CJD following sur-7 gical procedures [47.48]. Abnormal PrP has not yet 8 been demonstrated in the blood of patients with vCJD 0 [17], but the most sensitive test for infectivity remains 10 intra-species inoculation and data from sheep infected 11 with BSE indicate that blood-borne transmission is 12 possible [49]. A recent case of vCID occurring in 13 an individual 6 years after receiving a blood transfu-14 sion from a patient who later developed vCJD suggests 15 that human blood is also able to transmit the disease 16 [50]. Our findings therefore reinforce the importance 17 of recent steps taken by the Department of Health to 18 reduce these potential risks, which include the leucode-19 pletion of all UK-sourced blood and the introduction 20 of more stringent decontamination procedures for sur-21 gical instruments.

22The incubation period of vCJD is not known and 23 although numbers of cases are currently in decline, 24 the possibility of further rises cannot be excluded. 25 The average incubation period of kuru and iatrogenic 26CJD following peripheral inoculation has been esti-27mated to be about 12 years, with some cases of kuru 28occurring more than 40 years after the cessation of 29cannibalism [44,51], but these diseases did not have 30 to cross a species barrier. Data from a geographi-31 cally associated cluster suggested that they resulted 32 from exposure to BSE prior to 1986 (http://www.leics-33 ha.org.uk/Publics/cjdrep.pdf), indicating an incubation 34 period for these cases of 10-16 years.

35 Our study has demonstrated how a better under-36 standing of the pathology of vCID has allowed the 37 investigation of an important epidemiological ques-38 tion about this disease using archival tissue collections. 39 However, the techniques used in our study have been 40limited to immunohistochemistry, because of the use 41 of formalin-fixed tissue sections, and by the study 42design, which prevents return to a positive tissue sam-43 ple for further verification. These factors have limited 44 the interpretation of our findings. However, we believe 45 that they are of some concern and require urgent fur-46 ther investigation by prospective screening of tissue 47 from tonsillectomies. By analysing fresh tissue, sam-48ples could be tested with a sensitive assay that allows 49for automation [17] and positive findings could be 50 reliably confirmed by transmission studies. However, 51 about half of tonsillectomies are performed on children 52 under 10 years of age, so many individuals undergoing 53 this procedure will soon have had little or no exposure 54 to BSE and therefore the window of opportunity for 55 such a study will diminish over time.

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57 58 Acknowledgements

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PrP accumulation in UK tissue samples

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