1 Thursday, 22nd October 2020 2 (10.00 am)

3 SIR BRIAN LANGSTAFF: Today we hear from Dr Tuddenham.

MS RICHARDS: Yes. 4

5 SIR BRIAN LANGSTAFF: May Dr Tuddenham be sworn.

> PROFESSOR EDWARD GEORGE TUDDENHAM (sworn) Examined by MS RICHARDS

8 SIR BRIAN LANGSTAFF: Dr Tuddenham, if at any stage you 9 want a break, please just let us know, will you?

A. Yes. 10

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MS RICHARDS: Professor Tuddenham, I'm going to start by 11

12 asking you just to give us an overview of your career.

Your statement tells us that you were a senior house 13

14 officer and registrar in pathology at the United

Liverpool Hospitals, '69-'71. 15

16 A. Correct.

Q. And then you moved to the University Hospital, Wales, 17

in Cardiff, where you worked under Professor Bloom 18

19 from March 1972 to the end of 1975?

20 A. That's right.

21 Q. And I'll ask you a few questions about that in

a moment. You then moved to the States for a period 22

23 of time. You were a research associate at the

24 University of Connecticut 1976 to 1977?

A. Yes. 25

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stage. Where did you then move on to in 1986? 1

A. I was able to join the Medical Research Council with 2

my own Research Council-supported group. They first

4 sent me to the National Medical Research Centre at

5 Mill Hill for some retraining in molecular genetics,

and then I moved to Northwick Park, where I set up my

7 research group recruiting scientists who I knew or had

met while I was at Mill Hill.

9 Q. And what was the principal focus of your research

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11 We were going to use the new tools of molecular

genetics and protein chemistry and structure function 12

13 determination to study in more detail the properties

and activities of the various components of the 14

15 clotting mechanism, both at the protein structure 16 function biochemical level and also at the genetic

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level, and we were using those tools to discover new

18 mutations and identify genes that previously were only

19 known from clinical studies. And we were able to, for

20 example, establish the cause of some rare genetic

21 diseases, and use the knowledge we gained from 22 studying the pathology of abnormal molecules to

23 understand more about the normal structure and

24 function of clotting.

25 Q. And then you took up a post as a Professor of **Q.** What was the work you were undertaking there?

2 A. I had two projects. One, I was looking at human

3 endothelial cells, which had recently been established

4 in culture so one could study how they reacted to

5 various stimuli and whether they produced Factor VIII

6 or von Willebrand factor, as we then called it,

7 Factor VIII-related protein; and the other project was

8 purifying Factor VIII using immobilised columns of

9 antibodies raised in rabbits.

10 Q. And then you returned to the UK and you took a post at

11 the Royal Free Hospital, at the beginning of 1978.

12 A. Yes.

Q. That's going to be the focus of most of my questions, 13

14 you'll understand but, just to get the dates, you were

for the first half of 1978 appointed I think on 15

a locum basis? 16

A. Yes. 17

Q. And then your role became permanent in around 18

19 September 1978 and you were joined in the course of

20 1978 as a co-director by Dr Peter Kernoff?

21 A. Yes, that's correct.

You remained in that position until 1986? 22

23 A.

Q. And you were doing work with Speywood during that 24

25 period which, again, we'll come on to at a later

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1 Haemostasis at Imperial in 1994?

2 Yes, this came about because Northwick Park Research

3 Centre was closed down, and the MRC dispersed the

4 groups who were working there, some of them to a new

5 centre which they set up at the Hammersmith Hospital

6 site, on Du Cane Road, and I was with one of those

7 groups that moved to the new centre, and Imperial

8 College kindly bestowed a professorial chair on me at

9 that point.

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10 Q. Your role there, did that remain a research and

academic role or did you have clinical

12 responsibilities at that stage?

13 A. It remained a research and academic role, although

I did interact more with the Haemophilia Centre there, 14

15 Professor Laffan, and provided some advice on cases,

16 and also was interacting with the clinical teams of

17 the Haematology Department.

18 Q. Then you returned to the Royal Free Hospital in

19 January 2006, in what capacity?

20 A. I returned there as Professor of Haemophilia. We

21 established a new chair in the name of

22 Katharine Dormandy, which had been one of her original

23 intentions, and I was also a director of the centre,

24 so that I was clinically in charge of the, by then,

25 much enlarged Haemophilia Centre and Thrombosis Unit,

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(1) Pages 1 - 4

- 1 and my major role, as stated at my interview applying 2 for the post, was that -- if possible, to initiate
- 3 studies on gene transfer, gene therapy.
- 4 Q. So you took over the role of director on 5 Professor Lee's retirement?
- 6 A. Correct.
- 7 Q. Although director, was your principal work there still 8 the research work?
- 9 A. Actually, no. I'd say I was able to devote about 30% of my time to research. There is a large component, 10 11 of course, of administration. There was
- 12 a reorganisation going on for the haemophilia
- services. The Pan-Thames Haemophilia Consortium was 13
- 14 considering the future of the service, and there had
- 15 been a proposal that it should be privatised, which
- 16 I resisted vigorously. And of course managing a large
- clinic, both active for patients with haemophilia but 17
- 18 several other conditions, and also a thriving and
- 19 active women's clinic, which was with colleague --
- 20 professor now -- Rezan Kadir, an obstetrician, and we
- 21 were providing an interesting and, I think, very
- 22 valuable service there.

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So I was very active clinically, very active in the management issues as well as running the research.

And that was a place you held until July of 2011? Q.

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- 1 issue of infected blood and AIDS was ever more
- 2 present, and so there were discussions about such
- 3 issues, to which I contributed mostly when asked, more
- 4 about issues of diagnosis and technical aspects of the
- 5 kinds of assays that we carried out, which were a big
- 6 focus of my interest. I'd say the more clinical
- 7 aspects tended to be dealt with more by Peter Kernoff 8
 - and other colleagues.
- 9 Q. And you also sat for a period of time on the Advisory 10 Committee on the Virological Safety of Blood during 11 vour MRC time?
- Yes, I was called back in to that committee. 12
- 13 Q. We'll look at some minutes of decisions of that committee at a later stage of your evidence, 14
- Professor. 15

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Can I just take you back, then, to the first half of the 70s, '72-'75, when you were in Cardiff working under Professor Bloom. As I understand it, this was when your interest in haemophilia bleeding disorders was particularly sparked?

- 20
- 21 Yes, I was a lecturer but I was in a training post,
- 22 and so rotating through the various
- 23 sub-sub-specialties of haematology, ranging across
- 24 from management of malignant cases, acute and chronic

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25 leukaemia, myeloma, lymphoma, and also laboratory

- 2 Q. And then your statement tells us that your -- you
- 3 still hold the post of Honorary Consultant
- Haematologist at the Royal Free. Does that involve 4
- 5 any active clinical work or research work or both?
- 6 A. Both. I'm active in several trials of gene transfer,
- 7 gene therapy, and haemophilia A and B.
- 8 Q. You were a member of UKHCDO during that first period 1978 to 1986 and you were one of the Reference Centre 9
- 10 Directors, and we'll come back to that. I'm going to
- 11 ask you some questions about that.

12 You were also on the Medical Advisory Panel of the 13 Haemophilia Society. What did that by and large 14

- 15 Yes, the Haemophilia Society would get an advisory Α.
- 16 panel together on a regular basis, I think it was
- 17 three or four times a year. And it was relatively
- 18 informal, the issues of the day were raised and
- 19 discussed with the chairman and secretary of the
- 20 Haemophilia Society. The chairman in those days had
- 21 one of his -- his son was one of our patients, as it
- 22 happened. Of course it's very much a family concern,
- 23 haemophilia.

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24 And we would, I would say, debate, discuss, in 25 a sort of fairly relaxed way. But of course the whole

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- 1 management, examination of blood films, examination of
- 2 bone marrow, taking bone marrows, examination of 3

tissue histologies, and so I got a very thorough training in all those aspects. There was active research in that unit, and

- 5 6 particularly interesting, it became to me more and
- 7 more so, was the research that Arthur Bloom was 8 managing, and he became a mentor to me in the studies
- 9 that he was undertaking into what were then the
- 10 unclear and even mysterious relationship between the
- 11 different Factor VIII deficiency disorders,
- 12 haemophilia A and von Willebrand disease.
- 13 Were there any other particular research projects that
- you can recall that Professor Bloom was undertaking at 14
- that time other than the von Willebrand's research? 15
- They were interested in platelet disorders, as well, 16
- 17 and he helped me make a first observation of
- 18 a complication of a platelet disorder which involved
- 19 the lung, which often gets quoted, but his interest
- 20 was mainly around haemophilia A and von Willebrand
- 21 disease, and he had collected a large cohort of
- 22 patients and families with those conditions which were
- 23 studied in parallel, trying to disentangle the
- 24 relative causation, which became a key to much of my
- 25 later research.

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(2) Pages 5 - 8

He gave me a couple of other research projects. They were interested in the time at which Factor VIII first appears during embryology, they were studying the distribution of Factor VIII in tissues, its synthesis. So he had a broad research programme. It was very active, it was to my mind the best research programme in the UK at that time on these fundamental

- 9 Q. To what extent did you have involvement in any of the clinical practices of Professor Bloom at Cardiff, in 10 terms of his interactions with and treatment of 11 12 patients?
- I was -- when the patients came in, as they all did in 13 14 those days, for on-demand treatment, I would be 15 responsible, when I was in that section of my 16 rotation -- training rotation, for treatment, which of 17 course we gave as quickly and as efficaciously as we 18 could.

Wales. I hesitate slightly but I wasn't aware there was any home treatment at that time. Katharine Dormandy was a pioneer in introducing home therapy, in London. So I would be involved with the immediate treatment.

Home treatment wasn't at that time developed in

The outpatient clinical work I would sit in with

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- 2 I'm sure samples were being stored because one would 3 often need to have a particular sample for 4 a particular experiment so it's useful to have samples 5 from a patient with a severe form of haemophilia 6 because we used them in the one-stage assay. And from 7 the patients with von Willebrand disease, where one 8 had several different assays to perform or develop, 9 looking at different aspects of that condition, 10 samples would have been stored. I don't remember 11 there being a plasma bank of any size.
- Can we move on, then, to the Royal Free Hospital and 12 13 the Haemophilia Centre there, which you joined in 1978. 14

You were, if you don't mind me saying so, relatively young at that point in time when you were appointed, and your clinical practice, in terms of haematology, had been what you just told us about in Cardiff?

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- Dr Kernoff, too, I think, was relatively young at that 21 22 time --
- 23 A. Yes.
- 24 Q. -- the time of his appointment. What had his route to 25 becoming director been? Do you know?

Professor Bloom when he was seeing patients and

2 setting up diagnosis and treatment programmes, going

3 on ward rounds, seeing the patients when they were 4 admitted. The usual training role of a trainee

5 haematologist.

6 Q. Can you recall whether there were any particular 7 discussions, within the department, about the risks of

8 hepatitis at that time?

situation.

9 A. I've racked my brains over that and I can't recall it 10 at all. The risk that we particularly looked at and 11 focused on was inhibitor, the development of antibody 12 to the Factor VIII, which means the patient becomes 13 resistant to treatment. Because at that time we had 14 very few options for treatment for a patient in that

16 Q. We understand from other documents that the Inquiry 17 has seen that Professor Bloom took a direct and active 18 role in deciding what concentrates and treatments and 19 blood products should be used, and had a number of 20 direct interactions with pharmaceutical companies. 21 Were you involved in any of that or did you observe 22 any of that when you were there?

23 A. No, I wasn't involved and I didn't observe it.

24 Do you know whether blood samples were stored in 25 Cardiff in the way we know Dr Kernoff stored samples

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1 A. He'd been doing some research in Oxford, and he went 2 from there to work with a Dr Hymie Nossel in New York,

3 and I believe it was there that he became most

- 4 interested in the aspect of hepatitis and treatment 5 with Factor VIII or Factor IX products. And so he was
- 6 still working on those projects when he was appointed
- 7 as the clinical NHS director, and I'd been appointed
- 8 as Katharine's successor to a senior lectureship, so
- 9 an academic appointment. So when he eventually came 10
- to join us, we were co-directors, which I think is --11 was a unique arrangement and I'm not aware of another
- 12 such arrangement in the UK or elsewhere, but we --
- 13 because we had parallel but distinct interests, that
- 14 worked very well. That I could focus on the academic
- 15 and research, and he focused -- also on research, but
- 16 mainly on the clinical service.
- 17 Q. And what can you tell us about the facilities at the 18 Royal Free Haemophilia Centre when you arrived in
- 19 1978? What did they comprise?
- 20 A. On day one it was still very minimal, really. We
- 21 weren't still using the caravan that had been the
- 22 place where Katharine saw patients when she started 23
- her Haemophilia Centre. We were using part of -- the 24 hospital was newly built, and a purpose-built centre
- 25 was ready and empty and waiting for us to move into,

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but in the first weeks, before we moved in, we just had couple of offices and a small lab area, and we saw patients in the General Outpatients, and patients who came in and needed to come in, went on to the wards.

I moved the centre, once I was appointed, into the new purpose-built area, on two floors on the corner of the hospital, and it was a blank sheet. I had to decide what went where, who did what, and make it up as I went along.

- 10 Q. In terms of where the clinical care was done, the sense we got from Professor Lee's evidence 11 12 yesterday -- obviously she was describing things when she arrived at the beginning of 1983 -- was that there 13 14 were an area with a number of rooms but all located 15 together?
- A. Yes. 16

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- Q. And Dr Kernoff was based there. 17
- 18 A.

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- 19 Q. And that's where patients were seen. That's where 20 Professor Lee was based, the nursing staff and so on. 21 Were you based on the floor above?
- 22 A. Yes. I had my office upstairs near to the lab and my 23 research group.
- 24 Q. Again, Professor Lee described to us from 1983 onwards 25 that there were regular meetings. She talked about

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corner, it'll be page 35, but I'm not sure what page that is electronically. It'll be more than 35. So if you could go 10 pages further on.

So we can see the description here. We can pick it up with Professor Lee's observation at the top of the page. She says:

"In our Centre, we were a bit slow to use large full clotting factor concentrate because it wasn't really until you and Peter Kernoff came that people were started on this treatment, because Katharine had been so taken up with the cryoprecipitate."

Then Dr Matthews makes an observation. And then if we can just see what you then talk about. You say:

"Katharine had a wonderful relationship with her patients. It was maternal in some ways, because she knew them all very well, and their social circumstances. She put a very great deal of effort into ensuring that they would have the best possible circumstances for home treatment. She was a pioneer in that area and obtained, as you mentioned, money for them to have freezers in their own homes in which they kept cryoprecipitate.

"I would say that, to be fair to Katharine, it was difficult, as other speakers have mentioned, to obtain adequate supplies of higher-purity concentrates other

Tuesday meetings and Thursday meetings of the whole 2 department to discuss various different matters. What 3 could you -- what can you recall about those?

Yes, in our multi-disciplinary team meetings, we 5 were -- a whole principle was to bring everybody together so that everybody knew what was going on, 6

7 including laboratory staff, and -- because a key to

8 running haemophilia care effectively is to have

9 a well-developed laboratory. It's a

10 clinico-laboratory specialty; our excellence, which is

11 one of the things that attracted me to the specialty.

12 So we would also that have the physiotherapists, the

13 psychosocial workers, social worker, and nursing, of 14

course, and medical staff, and we would go through the 15 aspects of clinical care and technical aspects of

16 laboratory observation and monitoring.

In terms of the treatments policies and practices when 17 Q. 18 you arrived, we've got a description from you in 19 a seminar that you participated in in the 1990s. 20 Could we have RLIT0000022, please, Henry.

This is a -- described as a witness seminar, February 1998, "Haemophilia: Recent History of Clinical Management." A number of people participated, including yourself. And if we could go to page -- Henry, if you look in the bottom right-hand

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1 than for surgery, and the Centre's treatment relied 2 very much on cryoprecipitate produced through local

3 Blood Transfusion Centres. Things changed, of course,

4 when Peter Kernoff and I came in after Katharine

5 tragically died, and the concentrates were brought in

6 progressively through battles against the controllers 7

of the finances. Although, to do them justice, they 8 did progressively increase the fraction of local

9 capital that was being expended on imported

10 concentrates until they reached towards the dizzying

11 heights of today. So it was a transitional phase.

12 Katharine was a pioneer and it undoubtedly changed the 13 lives of our patients at that time to have their own

freezers filled with locally produced 14

15 cryoprecipitate".

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There was a period of time when you first took up your post when Dr Dormandy was still there, not long, I think, before she died, but you did work with her for a short period of time.

- 20 A. Not in the clinic. She had actually become more or 21 less bedridden by the time I arrived and took over, so 22 she was no longer working when I started.
- 23 Q. But that is an accurate description, is it, of what 24 your understanding was of how things had been

25 organised at the Centre under Dr Dormandy?

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(4) Pages 13 - 16

A. Yes.

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2 Q. So predominantly cryoprecipitate, at least for adults.

Your statement suggests that children may already have been on NHS concentrates by then. Is that right?

- A. That's right, yes. We were treating children with
 concentrate. I can't remember giving cryoprecipitate
 to a child with severe haemophilia. And ... so, yes,
 that's my recall.
- Q. And do you know why children in particular were on NHS
 concentrate at that point in time; the adults still on
 cryo?
- A. There's an issue of volume, and the concentrate was -as the clue is in the name -- it's a much smaller
 volume to give, it's easier to give it, and for
 children you tend to use very fine needles, especially
 the youngest children, and cryoprecipitate doesn't
 easily go through a fine needle. It's very -- it's
- sticky. It's actually mostly fibrinogen, which is a big, sticky molecule with a little bit of
- 20 Factor VIII. And so the concentrates were a big step
- 21 forward for treating small children.
- Q. Can you recall the extent to which, at the time youarrived, there was use of commercial concentrates?
- A. We had access to some. It was there in the mix. Itqradually became the case that we used more and more,

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factors; some of them already activated. Clotting factors circulate in our blood in a precursor or proenzyme form and become activated during the process of forming a clot. But when purifying Factor IX, you end up with a mixture of related factors, some of them active, and so the Factor IX concentrate of the day was actually quite effective in treating patients with antibodies to Factor VIII, because the active factors jumped over the block -- a so-called bypassing agent -- and then that was formally developed into the product called Factor VIII inhibitor bypassing agent fibre which is an activated Factor IX complex concentrate.

So we were beginning to have some new tools, but it was a while before we got the activated Factor VII, NovoSeven. We were struggling with patients with inhibitors.

- Q. So the major change, then, that was instituted under
 the new directorship was the shift from
 cryoprecipitate for home therapy to concentrates.
- 21 A. Yes
- 22 Q. Why was that decision taken?
- A. If one makes up cryoprecipitate practically, one soon
 encounters the fact that it's a variable product.
- 25 It's got to be thawed. It's very -- the amount of

1 as is shown by all the documents you have from Peter

2 during his time obtaining resources and running the

3 clinical service.

4 Q. What else was available for treatment in 1978? Was
 5 DDAVP being used already at that stage at the centre?

6 A. No. We didn't get -- we did start using DDAVP pretty
7 soon after Mannucci had described its utility. And
8 the exact time at which we were using it regularly is

9 some time after 1980.

Q. And then, in terms of those with haemophilia B -- and
 again, I'm just looking at the point in time in 1978
 when you and then later Dr Kernoff arrived, was
 haemophilia B already being treated with NHS Factor IX

14 concentrates at that point?

15 A. Yes, it was. Yes.

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16 Q. And what treatments were being used for those with17 inhibitors?

A. Right. Um, for haemophilia A with inhibitors, we didn't have any resource other than to try giving a large dose of Factor VIII. The observation that Factor IX concentrate could be helpful was made by

22 somebody who accidentally gave Factor IX instead of

23 Factor VIII. And, in hindsight, the reason that

24 worked was that the Factor IX concentrates we were

25 using in those days were a mixture of clotting

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1 Factor VIII in it varies quite a lot, so you have to 2 give five or ten bags. You've got to thaw out each 3 one, draw it up, this gloopy material, through large 4 diameter needles into syringes over -- it's 5 time-consuming. And then infusing it is a slow 6 business because of its viscosity. 7 To go from that to a little bottle with a defined 8 amount of Factor VIII in it that you just add the --

amount of Factor VIII in it that you just add the -usually water for injection to it, it dissolves pretty
quickly; draw it up easily, and give it fairly
quickly. That's obviously a big step forward, and it
was appreciated by everyone, not just the doctors and
nurses; the patients we could say. And the material
can be stored in a domestic refrigerator; doesn't have
to be in a freezer.

So it had many obvious practical advantages, and also, in the case of requirement to elevate factor level in the patient up towards or in the normal range of surgery -- head injury, life threatening bleeding -- it was much more reliable to achieve that than the use of cryoprecipitate.

than the use of cryoprecipitate.
 Q. So practical considerations, convenience, efficacy.
 What consideration was given to the relative safety of

24 cryoprecipitate and concentrate?

5 **A.** It was pretty early on noticed, by the way, with

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20 (5) Pages 17 - 20

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cryoprecipitate at first that multiple donor treatments, for example, 5, 10, 20, 50 bags of cryo expose you to 50 donors, and within less than a year of Judith Pool publishing the method for making cryoprecipitate, the fact that there was an increased incidence of hepatitis was reported on. So we already knew that multiple donor exposure enhanced your chances to -- for blood-borne infection; hepatitis.

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The fact that in making concentrate one pools hundreds to thousands of donations of plasma, very obviously increases exposure to numbers of donors and, therefore, to whatever transmissible agents they may be carrying.

I believe that was appreciated, but the extent to which that was a risk in numerical terms wasn't sufficiently appreciated, in hindsight. We had already encountered hepatitis in subjects who'd received hundreds by the time they'd been on cryoprecipitate regularly on remand for a year or two or three. They would have clocked up the number of exposures to get hepatitis, and so switching to a product which perhaps in the way -- rather naive way, we considered it, "Oh, well, they've already been exposed. This is not going to change that," was, I think, the kind of appreciation we had at the

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was the general agreement that we needed to use concentrates because of the advantages and the fact we could get better control on haemostasis. And it was sort of enthusiastically received across the whole field of haemophilia care that concentrates were the way to go. And I was, you know, impressed by the same arguments for the switch.

- Q. In terms of patients who were not severe haemophiliacs, so mild haemophiliacs, and before you started using DDAVP regularly, which I think you suggested was 1980 or thereabouts, so that period, '78 to '80, would mild haemophiliacs have been given concentrates?
- A. Yes, they might well have been. Yes. 14
- Just want to look at some of the annual returns with 15 16 you. I'm not expecting you to remember the data 17 that's recorded in them, Professor Tuddenham, but just 18 so we can see from the returns what products were as a 19 matter of fact being used. So if we could please 20 have, Henry, RFLT0000362.

The annual return is buried deep in this document. Before we turn to it, can I just ask you, do you know what these documents were? We've got a number of them. It says, "Monthly allocation of Factor VIII." This one happens to be from September 1979. And we

We didn't see a sudden change just by going to concentrates from cryoprecipitate in the patients we were treating who we'd already been treating for guite a while on cryoprecipitate.

Of course, that changes when you start giving new treatments to new, not previously exposed patients, because instead of their needing to be exposed over several score or hundreds of bags of cryo, the first exposure to a bottle of a concentrate made from hundreds of individuals is going to put the risk straight up there straight way.

- When did that realisation occur, as far as you can 13 14 recall? Was that something known in 1978?
- 15 That was something that those who were using the 16 concentrates had become aware of by 1978. Certainly,
- 17 Peter Kernoff was already aware of that from the
- 18 research he was doing with Dr Nossel in New York. So
- 19 he came in with the knowledge of that and the
- 20 intention to study it in detail.
- 21 Q. And the decision to shift away from cryoprecipitate to 22 concentrates, was that Dr Kernoff's decision alone?
- 23 Was that a joint decision; can you recall?
- 24 A. Well, I was there giving concentrates to patients and 25 aware of the advantages we've just discussed. And it

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1 can see at the bottom it says:

2 "Photocopies to ET [which I presume is you] PK 3 [which would have been Dr Kernoff] and PL [presumably 4 the nursing sister]"?

- 5 A. Yes, Patricia Lilley. So that's me, Peter Kernoff, 6 and the senior nurse on the unit.
- 7 Q. And what is this document? What does it tell us and 8 what was its purpose at the time; do you know?
- 9 A. These are the beginnings of treatment -- summaries of 10 treatment records which was, of course, to be
- 11 developed far more into the National Haemophilia
- 12 Database eventually so we know every product that each
- 13 patient has received. So where you've got "GRO-A",
- that's a patient's name that's been redacted. 14
- 15 Q. It is, yes. Yes.
- A. Correct, yes. So we can see what treatment the 16
- 17 patient four down -- and it says, "Allocation". I'm
- 18 thinking aloud. Eight. Ten times 245, so that --
- 19 yes, those -- 245; that's the number of units. That
- 20 must have been concentrate because there's a serial
- 21 number for the concentrate batch and the date it was
- 22 given.
- 23 Q. If we go on in this document, Henry. It should be, 24
 - I hope, page 12?
 - SIR BRIAN LANGSTAFF: Just to clarify, the numbers on the

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1 monthly allocation, is that the number of bottles? 2 A. I'm puzzled by that too. I'm just thinking -- if we 2 3 look at patient where it says "20", the patients -- in 3 4 fact, I think -- you're quite right. Yes. Ten will 4 5 be the number of bottles because each bottle had 245, 5 6 6 yes. So the next patient's been allocated 20 but in 7 fact has used 50 bottles. And so --7 8 8 SIR BRIAN LANGSTAFF: Curiously, one of those bottles has 9 9 got 230 in it. 10 A. Yes, it's a different batch. If you look at the batch 10 11 number -- (overspeaking) -- it's HL6619. 11 SIR BRIAN LANGSTAFF: Yes, thanks. 12 12 13 13 A. Batch-to batch variation. So I think the allocation 14 would be a kind of guess at expected usage, and then 14 what's written in is what they were actually treated 15 15 16 with. 16 MS RICHARDS: Then if we go to page 12, please, Henry, we 17 17 have the annual return for 1979. So we can see 18 18 19 towards the top of the page this is for patients 19 20 having haemophilia or Christmas Disease, so they're 20 21 brought together in one document at this time. Total 21 22 22 number of haemophiliac patients treated during the 23 year, 130. Number with Factor VIII antibodies, we 23 24 seem to have two-numbers there, 14 or 12, but let's 24 25 not worry about that. Number of Christmas Disease 25 25 1 had inhibitor, whether they'd been jaundiced, whether 1 2 2 they were on home therapy and the type of material, 3 that would all be sent on an annual basis to Oxford at 3 this time? 4 4 5 5 A. Yes. 6 Do you know whether patients were aware that data 6 7 7 about them, named data about them, was being sent to 8 8 Oxford at that time? 9 9 A. I don't know. I don't recall any discussion about 10 that point. I would think that there was some 10 11 awareness that the national statistics were being 11 gathered, which were of course crucial for our 12 12

discussions with the Department of Health. In fact, this is a side comment: the World Federation of Haemophilia always puts to the development of better and new programmes in developing countries. The first thing you need is to gather statistics, because then you've got some data to present to your Minister of Health. So whether --I don't remember having a discussion with any patient ever at that time about having details about their treatment sent to a central database. Q. And then if we could look at the returns for 1980. Henry, that's RFLT0000363. And we can see -- I think

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patients treated during the year, 26. If we go down the page, Henry, we can see there's usage there of cryoprecipitate and NHS factor concentrate, and then larger amounts of Profilate and Factor VIII, so two of the commercial concentrates, and then an amount of Koate and a smaller amount of Hyland. We've then got further down bovine and porcine Factor VIII -- I'll ask you about that later -- and some FEIBA being used. And then for the Factor IX column, we can see it's entirely Factor IX, NHS Factor IX concentrate. So we can see, by 1979, predominantly

concentrates, and of those concentrates, predominantly commercial, rather than NHS for haemophilia A. A. Yes.

Q. And then if we could go, please, Henry, to page 18 of this document. This is again part of the annual returns for 1979. It's one of the standard forms.

Just so we can understand, these were the forms that were submitted by the Haemophilia Centre to Oxford ---

A. Yes.

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Q. -- for analysis. And so, as well as the summary we've just looked at, details of every patient, their date of birth, their base Factor VIII level, whether they

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part of it is haemophilia A and carriers of haemophilia A and von Willebrand, and then there's a separate return for haemophilia B. So we can see the numbers treated here: 125 haemophilia A, 4 carriers, 20 of von Willebrand's. Then If we look at the haemophilia A patients columns, we can see some usage of cryoprecipitate in hospital; a very modest amount used for home treatment. NHS factor concentrate: a small amount used in hospital; a larger amount used for home treatment.

Would that have reflected predominantly the

treatment that was being given to children? A. I think some of that may have gone to adults, but

13 probably most of it was to -- for children. 14

Q. Then we can see there's a range of different 15 16 commercial products being used so there's some usage 17 of Profilate, Koate, and Hemofil, and then a much 18 larger amount of Kryobulin -- nearly a million 19 units -- and then a still larger amount of 20 Factor VIII, the Armour product, nearly 2 million 21

So by this time, we can see here clearly on the returns that the move that you've described here towards commercial concentrates is the primary line of treatment.

the return's now broken down separately, so the first 27

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1 A. Yes.

- 2 Q. Then if we just look over to the von Willebrand's
- 3 column, do we see there no home treatment for
- 4 von Willebrand's patients, so it's all hospital
- 5 treatment?
- 6 A. Mm.
- 7 Q. It's in fact cryoprecipitate being used as the main
- 8 treatment at that point, some NHS factor concentrate,
- 9 and a very small amount of commercial concentrates.
- 10 A. Yes. Cryoprecipitate is rich in von Willebrand
- 11 factor, and particularly the high-molecular-weight
- 12 form which is most effective in dealing with the
- 13 problem you have in von Willebrand disease which needs
- 14 large molecules in the process of forming the first
- bit of clot, which is a platelet plug. And so it's
- 16 a very good treatment for von Willebrand disease.

17 Concentrates have variable amounts of

- 18 high-molecular-weight von Willebrand factor in them,
- 19 and it was many years before specific concentrates
- 20 were developed that were for use in von Willebrand
- 21 disease. At that time, I see we were -- had issued
- 22 some of the Factor VIII concentrates, but some of them
- 23 weren't very effective in those days. The NHS
- 24 Factor VIII concentrate was pretty effective. So the
- 25 more you focus on purifying the Factor VIII, the less

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- 1 treatment. And similar amounts, in terms of hospital
- 2 and home treatment, for Hemofil and Kryobulin. So
 - again, the bulk of the products are commercial.
- 4 A. I'm intrigued to see that NHS Factor IX concentrate is
- 5 being used. That must have been for the patients with
 - inhibitors. Because it's in the column of haemophilia
- 7 A patients.
- 8 Q. It is. We see there for the first time, I think,
- 9 there's express reference to DDAVP.
- 10 A. Yes.

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- 11 Q. As I say, we don't have the returns for '81, 82. Then
- we can see, for von Willebrand's, it's a mix of
- 13 cryoprecipitate and NHS Factor VIII concentrate.
- 14 A. Yes.
- 15 Q. And then if we go to --
- 16 SIR BRIAN LANGSTAFF: Just before you leave that,
- 17 underneath the Factor IX concentrate, you have what's
- 18 described as NHS low hepatitis VIII concentrate. What
- 19 was the low hepatitis NHS product, as you recall?
- 20 A. Yes, I was intrigued to see that too. I don't know.
- 21 I wasn't aware of such an entity.
- 22 SIR BRIAN LANGSTAFF: It suddenly occurred to me, I don't

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- 23 think we've heard anything about that, have we?
- 24 MS RICHARDS: No. We know of the hepatitis-reduced
- 25 commercial products because we've seen the

- 1 von Willebrand factor activity you have, unless you
- 2 pay attention to concentrating that as well.
- 3 Q. If we go on two pages, please, Henry, we can just look
 - at the same return but for haemophilia B. So 25
- 5 patients with haemophilia B treated during the year
 - 1980. And we can see the exclusive treatment, both in
- 7 hospital and at home, is the NHS Factor IX
- 8 concentrate?
- 9 A. Yes.
- 10 Q. And then just one last set of returns. We don't have,
- 11 I think, the returns for 1981 or 1982. We've got the
- 12 '83 returns. Henry, can we have HCDO0000184_006. We
- can see again the number of patients at the top. 128
 - haemophilia A, 4 carriers, 24 von Willebrand's. Then
- 45
- 15 if we look at the pattern of treatment for
- haemophilia A patients, we can see a relatively modest
- 17 amount of cryoprecipitate used for hospital treatment.
- 18 NHS factor concentrate, we can see there the usage for
- 19 home treatment is much more extensive than the usage
- 20 for hospital treatment, 1.2 million units. But then
- 21 again the bulk of the treatment, the commercial
 - concentrates, so we've got for both home and hospital
- 23 treatments, over a million units of Factor VIII. And
- 24 then for Koate, over a million units for home
- 25 treatment, and over 238,000-odd for hospital

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- 1 discussions -- UKHCDO Dr Rizza, et cetera -- in
- 2 relation to those commercial products. We'll need to
 - do some further digging, sir, I think, in relation to
- 4 NHS low hepatitis.
- 5 SIR BRIAN LANGSTAFF: Please.
- 6 A. It's possible -- pure speculation now -- that these
- 7 were concentrates batches that had been shown to have
- 8 a lower risk of inducing hepatitis in treating
- 9 previously untreated patients. I'm speculating. If
- 10 Peter were around, we'd ask him, but I don't know what
- 11 they were.
- 12 MS RICHARDS: And it's a very small volume usage there.
- 13 A. Small volume. If David Lane is questioned at any time
- in this Inquiry, he should know about it. He was
- 15 director of the UK fractionation at that time, yes.
- 16 SIR BRIAN LANGSTAFF: Yes.
- 17 A. Yes.
- 18 MS RICHARDS: Then we can look at the haemophilia B return
- 19 for '83 briefly.
- 20 HCDO0000184_051, please, Henry.
- 21 And again -- so we see 31 patients with
- 22 haemophilia B, one carrier. Apart from a small amount
- 23 of fresh frozen plasma for carriers, and then what's
- 24 described as "HB Vax", which I'll ask you about in
- 25 a moment, we can see again it's exclusively NHS

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(8) Pages 29 - 32

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- 1 Factor IX concentrate. The reference to "HB Vax", is 2 that to vaccination in relation to hepatitis B?
- 3 A. Yes, we were vaccinating by then. I was too, 4 actually. It was the vaccine that was produced from 5 volunteer donors in the New York gay community, who 6 had what we then called the Australia antigen, which 7 was one of the antigens of hepatitis B, and so we were 8 making sure that all our patients and actually staff 9 were vaccinated.
- **Q.** One further document in terms of product usage. 10 11 It's CBLA0001244, please, Henry.

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This is a letter from you to Dr Lane at BPL dated 23rd January 1981, and you're providing him with an analysis of Factor VIII usage at the Royal Free for the calendar year 1979, and you also refer to the National Haemophilia Centre returns, which we've looked at for certain years, and you say:

"I have no doubt that our usage under most treatment categories has increased in 1980 over 1979. The total usage figure for 1979 ..."

Was just over 3 million units, and then 1980, 3.4 million units.

"The numbers of patients involved was not I think significantly different ..."

Then, just so we can see the attachments that

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could treat immediately when they felt they had a bleed, when patients were gaining confidence in coming in, and having surgeries. It was just -improving management of haemophilia involves using larger amounts of replacement therapy.

Q. Then could we look at, there's a handful of sets of minutes I wanted to look at briefly with you, Professor Tuddenham. The first is CBLA0000383 -sorry, I think I've written that down wrongly, I think, Henry. It's CBLA0000838. Thank you.

We can see it's described as a "Third meeting of directors of haemophilia, associate haemophilia and blood transfusion centres", for -- and then we can see the regions there: East Anglia, North West Thames and North East Thames. And the date of the meeting is 1st September 1978.

If we go to the next page we can see the list of those attending, so it's what I think was referred to as a supra-regional meeting, with a number of regions gathered together, and we can see that you were there, Dr Kernoff was there, Dr Goldman was there, and Mrs Britten was there from the Royal Free. And then a number of others from other hospitals, including Professor Ingram from St Thomas'.

If we could just turn to the third page, Henry, no

we've found to this letter, if we could go to the next few pages, we can see here "Haemophiliacs treated with human Factor VIII in 1979", and you've set out there the patient group by reference to: inhibitors, severe, moderate and mild. And we can see there the relative usage and then the usage in terms of surgery.

7 And then just so you can see what else you've 8 written in closing, Dr Tuddenham, if we look briefly 9 at the next two pages, there's a table entitled 10 "Factor VIII Units Used by Haemophilia Centres in 11 1979", and then the final page, "Haemophilia A 12 Patients known at Haemophilia Centres on 13 [31st December 1979]".

14 Why were you sending this material to Dr Lane; do 15 you remember?

- 16 A. No. I'll have to speculate. He was interested in development of new methods for purification, and 17 18 I think he was interested in projecting future need. 19 But more than that I can't say.
- 20 Q. If you just go back to the letter, the first page, the 21 letter tells us that you're using more products for 22 roughly the same number of patients. Do you know why 23 that was?
- 24 A. We were improving the treatment and management of 25 haemophilia. We got more home therapy so patients

1 the fourth page, sorry. You'll see halfway down the 2 page there's an item in the minutes headed 3 "Distribution of NHS Factor VIII concentrate", and 4 there's a reference in the third line to:

"In April 1978 Dr Dormandy, [you], Dr Ardeman, Dr Davies and Mrs Britten met to try and sort out the distribution of the 360 bottles per month of NHS concentrate allowed to the North West Thames, bearing in mind that the requirements at the region were much more than this."

11 I don't need to go into the detail of it with you, 12 but the source of NHS concentrate for you, did you get 13 that from the Regional Transfusion Centre or from BPL? This looks like it's the Regional Transfusion Centre 14 15 at that time.

- 16 A. At that time, it must have been the Regional 17 Transfusion Centre.
- 18 Q. We've heard from others or seen reference in other 19 documents in some regions to there being shortages of 20 NHS concentrate and specified allocations. Is that

21 what the position was for the Royal Free at this time?

- 22 Yes, we were in need of more factor concentrates than
- 23 the NHS could supply, the NHS concentrate. I do 24 remember this meeting. It was the last occasion that
- 25 Dr Dormandy was involved actively with any such

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(9) Pages 33 - 36

1		meetings, and I picked her up and drove with her to
2		where we held the meeting and took her home
3		afterwards. It was the last time I saw her, actually.
4		And so, yes, we were trying to decide on fair
5		distribution of a limited resource.
6	Q.	If we go to the next page, please, there's
7		a discussion about shortages and Dr Lane's nerse

a discussion about shortages and Dr Lane's perspective and so on which I don't need to ask you about, but if we pick it up in the fourth paragraph, beginning "Dr Kernoff felt":

"Dr Kernoff felt that this did not solve the problem ..."

That was an issue about allocation.

"... it merely passed it to someone else; it increased the Royal Free's use of concentrates and they were already overrunning their budget. The problem needed to be dealt with at a regional, supra-regional and national levels."

Then he says this:

"Only 20% of the Royal Free's requirements were being met with the NHS concentrate at present. Despite this, and in accordance with the Reference Centre Directors' recommendations, it was the intention to switch home treatment patients from cryoprecipitate to concentrate."

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Then this:

"... the extra cost might not be very great since the cost of cryo to the Royal Free was not inconsiderable and, taking into account the unitage, it worked out at almost the same price as commercial concentrates."

I don't think we've seen this elsewhere so far, Professor Tuddenham. This suggests that the Royal Free was having, at that time, to actually pay for cryoprecipitate.

11 A. Yes.

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Can you recall anything about that? 12

13 No. No. Peter dealt with all of that. But it is impressive that it's almost the same price. We were 14 15 using a lot of cryo.

Q. And then there's just one further passage in these 16 17 minutes.

> If we could go on two pages, please, Henry. If we look at the paragraph in the bottom half of the page, it's headed "Possible problems of blood donations from family members of patients on home treatment":

"Dr Kernoff mentioned an incident that had taken place at the Royal Free Hospital where the mother of a patient on home treatment pricked herself and

So, pausing there, we can see that this wasn't 2 just a patient-by-patient shift. As it were, there's 3 a policy decision recorded here from the Royal Free?

4 A.

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5 Q. From you and Dr Kernoff?

6 A. Mm-hm.

Q. And then this is said:

8 "Half the home treatment patients at the 9 Royal Free were still using cryoprecipitate and this 10 was felt to be an unacceptable state of affairs." 11 That's quite a strong way of putting it. Do you

12 know why Dr Kernoff thought it was unacceptable to be using cryoprecipitate?

13 14 The short answer is "no", because I didn't discuss 15 this with him at the time, but I think it's fair to 16 speculate that that's unacceptable because of the just practical difficulties of handling, managing, making 17 18 up and transfusing this primitive, as it seems by 19 comparison to the freeze-dried concentrate, 20 cryoprecipitate material.

21 Q. The minutes continue:

22 "If NHS concentrate was not available then 23 commercial concentrate would have to be bought." 24 And the returns show us that that's exactly what 25

happened.

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1 subsequently developed hepatitis. Before becoming 2 ill, she had donated blood which had apparently been 3 administered to a patient ... The boy himself was 4 positive to hepatitis B antigen although remained 5 asymptomatic."

Pausing there, is it correct to read this as the mother developed hepatitis B?

8 A. Yes.

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9 Q. Then this:

10 "Dr Kernoff pointed out that family members of patients on home treatment should be considered high 11 12 risks for transmission of hepatitis and should not be 13 allowed to donate blood."

14 I don't know whether you recall anything about 15 this particular incident?

16 A. No, but her son clearly was a carrier of hepatitis B, 17 and handling blood from someone who is a hepatitis B 18 carrier is a notoriously high risk for transmission. 19 And as we see happened here.

20 MS RICHARDS: I'm going to turn to some UKHCDO minutes.

21 Sir, I note the time, it's 11. Is that

22 a convenient point at which to take the first break?

SIR BRIAN LANGSTAFF: Yes, it is. 23

> We have a break every morning for a coffee or refreshment, and normally it's 45 minutes, so we'll

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40 (10) Pages 37 - 40

1 take that 45 minutes, and quarter to 12, if you Only" we've got your name. So you were in attendance 2 please. 2 at the meeting. 3 MS RICHARDS: Sir, if you could explain to 3 If we go, please, Henry to page 12, using the Professor Tuddenham the position in relation to 4 4 numbered pagination at the top of the page. 5 discussing evidence. 5 Excellent, thank you. SIR BRIAN LANGSTAFF: Yes, I will. 6 We can see halfway down, just over halfway down 6 7 Professor, you're giving evidence. You must not 7 there's a heading: 8 8 talk to anyone, whoever they are -- it includes "Supplies of Factor VIII concentrates, the DHSS 9 9 counsel, those with you, and so on -- about your Contract and the Price of Commercial Factor VIII." 10 10 evidence, either what you have said or what you think There's a fairly lengthy discussion which I don't you may be asked to say. You can talk about anything 11 11 need to trouble you with the detail but if we turn on 12 else you like. 12 two more pages -- please, Henry -- we see, picking up Thank you. 13 on the point we saw being made by Dr Kernoff in that 13 14 (11.02 am) 14 last set of minutes, here, four lines down: 15 (A short break) 15 "Dr Tuddenham said that the cost of 16 (11.43 am) 16 cryoprecipitate to his Centre is purchased from MS RICHARDS: Professor Tuddenham, there's a set of 17 Edgware Blood Transfusion Centre and calculated on 17 18 18 minutes I want to look at briefly with you. their assay of the Factor VIII content worked out at 19 It's HSOC0010549, please, Henry. 19 7.5 pence per unit. The cheapest price for commercial 20 This is minutes of a meeting of the Haemophilia 20 concentrate is close to this figure and occasionally 21 Centre directors, 13th November 1978, so it's the big 21 below it." 22 22 meeting not the Reference Centre Directors meeting. So that's your observation. 23 If we go to the fourth page -- sorry, Henry, my 23 Then: 24 apologies, it should have been the third page -- we 24 "Dr Wensley ..." 25 can see just above the "Present at Scientific Session 25 I think he was Manchester. 42 41 "... on the other hand estimated that the cost of 1 1 it, presumably, he's a transfusionist who was running 2 2 making cryoprecipitate was about one-third of the cost a centre. 3 of making concentrates." 3 Q. No, Dr Wensley was the Haemophilia Centre Director at 4 Was cryoprecipitate an actual hard financial cost 4 the Manchester Royal Infirmary. 5 to the Royal Free? That's what the minutes seem to be 5 A. Okay, so he must have talked to the people who were 6 suggesting. 6 making his cryoprecipitate and worked it out from 7 7 Indeed. Otherwise, I wonder why I would have bothered that. I mean --8 to work it out. I think it must have been priced per 8 Q. Do you -- I'm sorry --9 A. Well, I suppose if that's the case, they were adding unit. And we were used to paying for blood product 9 10 pro rata, plasma, fresh frozen plasma, platelets. 10 on a percentage amount for profit to pay for other --That's certainly what that implies. and it's not -- it was a -- the Edgware Blood 11 11 Q. There seems to be a very stark difference there 12 Transfusion Centre, of course, is a not for profit 12 13 between the cost that you're experiencing from Edgware 13 operation, within the healthcare service, and, if they Blood Transfusion Centre and what Dr Wensley is chose to make that profit on their cryoprecipitate, 14 14 describing. 15 that was their commercial decision. 15 16 A. Yes --16 Q. Do you know whether the relative cost of 17 Do you know what -- sorry, carry on. 17 cryoprecipitate was a factor in Dr Kernoff's decision 18 A. Yes, I'm just thinking of the cost. You've got to buy 18 making about using commercial concentrates more than 19 the plastic-ware. The donors give their precious 19 cryoprecipitate? 20 blood, from which the plasma is obtained, free. Then 20 A. I should think it must have entered into it, yes. 21 you've got to spin it down, put it into a freezer, and 21 There are some UKHCDO meetings, both Reference Centre

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then partly thaw it, squeeze off the excess, and

freeze it again. So it's quite labour intensive. And

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however, how Dr Wensley would know the cost of making

you've got your staff equipment and so on. So --

concern expressed, about a proposal to take control of 44 (11) Pages 41 - 44

Director meetings and the bigger meetings of all

directors, in the course of 1981 at which a particular

issue was discussed on a number of occasions and

1 purchasing and supply away from Haemophilia Centre next page we can see he sets out a number of concerns. 2 Directors, and give that control to Regional 2 And if we look at just four of the points, I think. 3 Transfusion Centre Directors, or Regional Transfusion 3 Point 4, "Control of usage", he says: "Responsibility for usage of and expenditure on an 4 Centres. I can show you the minutes if need be, but 4 5 do you recall that debate at all? 5 essential drug must lie at the point of consumption -6 6 A. No. ie, with Haemophilia Centre Directors. It will be 7 Q. Well, let me just show you what Dr Kernoff wrote about 7 ethically and legally impossible to restrict usage by 8 8 it then, rather than asking you about what was said in imposition of cash and supply limits ..." 9 9 more general terms in the minutes. So that's one of the points he makes. 10 If we go to OXUH0000886_002, please, Henry. 10 If we then go to point 6 -- just a little bit below that, please, Henry -- we can see this is 11 This is a letter from Dr Kernoff to 11 12 Professor Bloom, 28th September 1983, so this picks up 12 September 1983: on the issue of centralised purchasing two years after 13 "Increased concern about AIDS/hepatitis requires 13 14 it was discussed in strong terms by the Haemophilia 14 central control." 15 Centre Directors. 15 His response is to say: 16 And we can see Dr Kernoff saying he's concerned to 16 "Not so. Maximum flexibility and ability to 17 hear this idea had been voted again and he was very 17 respond quickly to changing circumstances can only be 18 achieved if responsibility for selection of type of 18 much opposed to it. 19 And then the second paragraph, last sentence, he 19 material/brand/formulation remains with those who have 20 savs he thinks: 20 most intimate knowledge of the subject." 21 "... that the proposal should be resisted by the 21 Then if we go over the page, under the first 22 Haemophilia Reference Centre Directors as vociferously 22 paragraph, "Mechanics of Purchasing, he says: 23 as possible." 23 "Tendering and contracting must involve very close 24 24 collaboration between Haemophilia Centre Directors, I just want to -- he encloses a paper. I just 25 want to ask you about the paper. So if we go to the 25 financial/administrative personnel and the companies." 45 46 1 Then last point before I ask you about this -- if 1 become more complicated, of course, since there's many we go down the page, Henry, towards the second half of 2 2 more different advanced products now. 3 the page, thank you. 3 But back then, Peter's insistence on local 4 If we see the third paragraph down on the screen, 4 decision, I can see the force of the arguments he uses 5 he says, sets out a concern: 5 for it. But -- and I wasn't aware of it at the time. 6 "... the supply of Factor VIII may be restricted, 6 He took care of all that. 7 7 and this will be contrary to the interests of Q. But that possibly answers my next question but I'll 8 patients. Haemophilia Centre Directors will not 8 ask in any event, Professor Tuddenham. We've seen 9 9 tolerate interference with supply by the BTS, because from other documents, which I won't trouble you with 10 the BTS has no responsibility for patient care." 10 now, Dr Kernoff being actively involved, consistent 11 It's clear this was a matter that Dr Kernoff was 11 with what he says here, with choosing which particular 12 very concerned about. He wanted, for some of the 12 pharmaceutical company or companies will receive the 13 reasons we see alluded to there, Haemophilia Centre 13 contract for a given year's supply. Did you have any Directors such as himself to retain control and be 14 involvement in that or was that solely the province of 14 15 Dr Kernoff? 15 actively involved in purchasing. 16 Is this something that you recall being discussed A. That was solely his province. 16 17 with Dr Kernoff as a particular concern at the time? 17 Q. Before I turn to ask you about issues relating to 18 No. I don't recall that at all. Reading these 18 risks involved in hepatitis and HIV, there's just one 19 letters has been quite an eye-opener to me. Nowadays 19 further issue about products I wanted to explore with 20 we do it all centrally but it's done as a billing 20 you at this stage. Porcine factor, which we've seen 21 process in which we're involved, not just haemophilia 21 alluded to -- some usage of in the annual returns, and

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A. Yes.

the early 1980s?

called best, but for product of equal quality. That's 47

Best in the world, actually -- I mean lowest. It's

doctors, but patients and the Department of Health,

and subsequently have achieved extremely good pricing.

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was something you were quite closely involved with in

I'm going to ask you to look at one document -- I

think we've got a range of documents from you, including some published papers on the topic, but we'll just look at one. It's ISPN0000156_101 -- IPSN, sorry, IPSN0000156_101. Sorry, Henry.

These are some notes of a lecture you gave in Toronto -- I've got a note it's 1981, which sounds about right. Do you think that's right? Because the document itself is undated. I think that's put together from the reference to the 1976 World Federation, then "After almost 5 years", so it would seem to fit being around 1981.

12 A. Yes.

- 13 Q. There are two particular issues that you're talking
 14 about in this lecture. One is about a fractionation
 15 process using polyelectrolytes?
- 16 A. Yes.
- 17 Q. And then you talk specifically about porcine18 Factor VIII.

Before we come on to the latter, could you just explain briefly, and in terms that those of us who are not scientists will understand, the issue in relation to polyelectrolytes as a new method?

A. Yes, it's a solid phase process where the
 polyelectrolyte whose chemistry is described in the
 side is packed into a column, through which fluids can

the blood of all vertebrates, down to bony fish, they circulate together, Factor VIII and von Willebrand factor, but the pig von Willebrand factor, when you give it to humans, has an undesirable property that it causes platelets, these little particles that plug up wounds, to aggregate in the circulation, and that can be quite dangerous. So purifying pig Factor VIII von Willebrand factor complex on these columns delivers you a highly purified pig Factor VIII without the undesired pig von Willebrand factor.

- 11 Q. And we've seen reference to the polyelectrolyte
 12 material in correspondence from Speywood. Was this
 13 work that was being undertaken with your assistance by
 14 Speywood at the time?
- A. I was working with them at the time, and they supplied me, they supplied us with pig Factor VIII, that we used in -- I think it was a landmark paper, really --treating patients with inhibitors of Factor VIII, who make antibody to human Factor VIII but less so towards animal Factor VIII, and therefore the animal Factor VIII was able to correct the defect, ie, for the -- in the haemophilic blood that you couldn't treat with human Factor VIII, you could treat with pig Factor VIII.

So we had good results with that and some patients

be passed, and the property of the polyelectrolyte surface is that it exposes a certain charge density. And the solutes, that is the -- whatever is dissolved in the fluid you flow over it, be it plasma or cryoprecipitate, they will differentially absorb onto the surface depending on the charge and distribution of charge, and you can then wash, and whatever didn't absorb gets washed off, so you're left with those that are absorbed onto the solid polyelectrolytes, and then you can elute them, wash them off again, with a different solution, that detaches them progressively, and you can arrange it to detach some before other of whatever is absorbed.

So this a purification step, a solid phase purification step, which turned out to be very attractive for purifying Factor VIII and von Willebrand factor, because it had the property that the von Willebrand factor and Factor VIII stuck together, but you could take off the Factor VIII leaving the von Willebrand factor behind and make a good advance in purification of the Factor VIII away from everything else and von Willebrand factor, which is its natural carrier in the blood.

And that's very advantageous with pig Factor VIII, which, like human Factor VIII, and like, actually, in

were able to carry on using pig Factor VIII for years.
Others did make an antibody to the animal as well, but it particularly helped me in my research efforts purifying human Factor VIII to have, as a first step, using polyelectrolyte which Speywood supplied to me.

Q. If we go to the last page of this document, please, Henry. First paragraph, you say this:

"Porcine Factor VIII is, of course, used for the

"Porcine Factor VIII is, of course, used for the treatment of inhibitor patients. Thrombocytopenia has been virtually eliminated as a side effect, and other adverse reactions are much less severe than with previous animal preparations. Haemophilia centres which have used Hyate:C [so that's the porcine Factor VIII product sold by Speywood, I think] report dramatic improvements in the lifestyle and morale of their inhibitor patients."

Then you say this:

"The possibility of porcine Factor VIII:C being used for non-inhibitor patients in countries with a shortfall of human Factor VIII should now be seriously considered. Of course, viral hepatitis is not present in porcine plasma, and the product thus presents no risk of infection."

And then if we just go to the very bottom of the page, you talked about various other points, and then

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1 you say: used other than for patients with inhibitors? Was not 2 "We feel a higher purity Factor VIII concentrate 2 enough of it made, or were there other concerns? 3 3 at a yield significantly above the conventional offers A. The concern was that, although some of the patients we 4 excellent prospects for the treatment of haemophilia 4 treated who had inhibitors to Factor VIII did not 5 in the 1980s." 5 subsequently develop inhibitor to porcine, most of 6 Turning back to that first paragraph, we have seen 6 them eventually did. 7 from the annual returns for the Royal Free porcine 7 It's still, by the way, used in the rare condition 8 Factor VIII being used in what looks like inhibitor 8 acquired haemophilia, which is an autoimmune condition 9 9 patients only. Was porcine Factor VIII ever used more mostly in older patients, people, whose immune system widely in the first part of 1980s for non-inhibitor 10 goes out of regulation and they start making 10 11 patients in the UK? 11 antibodies to their own Factor VIII. It works very No, it wasn't. We had in Oxford a whole period in the 12 well there. And it still can be used in some patients 12 1950s when it was used because there weren't supplies 13 with congenital haemophilia who have antibodies. 13 14 of human Factor VIII. But it was only used for short 14 But in the general case, it's regarded as --15 procedures like surgery. So we never did actually 15 there's no reason to use it generally in haemophilia A 16 transfer over to using pig Factor VIII against human. 16 without inhibitors because we have access to large 17 amounts of recombinant and plasma-derived safe 17 Can I have a short break? Q. Yes, absolutely. I think a five-minute break, sir? 18 product. 18 SIR BRIAN LANGSTAFF: Yes, absolutely. Would five minutes 19 At that time, supplies were limited, and I was 19 20 be okay? 20 thinking of it as a cheaper way to provide treatment 21 (12.01 pm) 21 in low and middle-income countries. And originally, 22 22 (A short break) when Macfarlane developed these animal concentrates at 23 23 Oxford, the reason was that there was very little (12.06 pm) MS RICHARDS: Professor Tuddenham, do you know why porcine 24 24 access to human plasma-derived Factor VIII, whereas he 25 Factor VIII wasn't, following your optimism in 1981, 25 could access huge amounts from normal agricultural 53 54 1 slaughterhouse sources. And so it was a breakthrough 1 was that non-A, non-B having been detected, you've 2 2 in its day. The further purification made a very said it seemed quite mild compared to hepatitis B 3 useful product; we now have it in a recombinant form. 3 without the dreaded fulminant hepatitis that occurs 4 But as a treatment, it never really came in as 4 with hepatitis B. 5 a general treatment. 5 What was the basis for the view that non-A, non-B 6 It could have been used as a way to spare use of, 6 hepatitis was mild at that time? 7 7 at that time, a very risky, dangerous human-derived Just that most patients had a transient, as it seemed 8 factor concentrates, but it never was so used. 8 then, illness with a modest elevation of the enzymes 9 9 Q. I wanted to turn then specifically to the risks of we used to track inflammation and damage in the liver, 10 human concentrates and start, first of all, with 10 the so-called transaminases, and then appeared to get better and had no apparent -- clinically apparent --11 hepatitis. 11 You describe in your statement an early experience 12 problems. It was only when we came to follow them up 12 13 of learning about hepatitis in 1969. Could you just 13 carefully over subsequent months and years that we saw elaborate upon that? It's paragraph, I think, 24 of there was ongoing liver damage, and we started to 14 14 your statement if you need to look back at it. 15 track that using liver biopsy. And you could see the 15 Yes. My senior registrar, Dr Brown, had experience 16 effect of the chronic inflammation in the liver 16 17 with a patient which was written up, and it's in the 17 leading to fibrosis and loss of active liver function,

transmitted infection at the very first stages when I first came into contact with treating haemophilia.

hepatitis B, as became apparent, and he died from it.

So I was introduced to the danger of blood

documents available -- it's published in The Lancet --

a patient who'd been treated with a fairly large

amount of cryoprecipitate but then developed

Q. And then the observation you made in your statement

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rapidly to death.

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24 A. Yes.

25 Comparing the acute stage. But it's also right -- and

being mild was about the acute stage?

eventually leading to liver failure and liver cancer.

hepatitis B, whereas, as we saw, can lead fairly

But at the start, it appeared to be mild compared to

As I understand it, the observations of non-A, non-B

- 1 indeed Professor Lee referred us to a paper or case
- 2 report she had written on it -- you could have
- 3 fulminant hepatitis in non-A, non-B hepatitis?
- 4 A. Yes, you can, but rarely, I gather. You can also
- 5 recover from non-A, non-B hepatitis and clear it
- 6 naturally, which doesn't happen, I think, with
- 7 hepatitis B.
- 8 Q. Do you recall whether you saw at the time the World in
 - Action documentary, December 1975, which looked at the
- donor pools in the States which were used then to make
- 11 the concentrates that were being used for
- 12 haemophiliacs?
- 13 A. In 1975?
- 14 Q. Yes.

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- 15 A. I didn't see it, no.
- 16 Q. You didn't see it.

In paragraph 26 of your witness statement, you said that you knew that commercial donation was the norm in US products, but you had no idea of the low standard of screening donors. So the use of paid commercial donations which resulted in what's sometimes referred to in contemporaneous documents as people from skid row, intravenous drug users and so on, donating blood for money. That was something that you were unaware of?

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- 1 A. Yes.
- Q. -- until there was, I think, an incident where a one particular patient, after a liver biopsy, died.
 You go on to say:

"It was clear that the chronic inflammation associated with non-A, non-B hepatitis was causing fibrosis and declining function in some patients."

So is it fair to say that, whatever your state of knowledge in the earlier part of the '70s might have been, by 1978, you were aware, and your colleague Dr Kernoff certainly would have been aware because hepatitis was his particular interest, that non-A, non-B hepatitis was a clinically significant condition

- with potentially serious long-term consequences?
- A. Yes. Although, as I say, the -- our understanding of
 the longer-term consequences evolved over time.
 Q. Yes. I think, rather than take up your time with lots
 - Q. Yes. I think, rather than take up your time with lots of documents, I'll just ask you to look at one with me, because it's something authored by Dr Kernoff.

Henry, it's BART0002487. You'll see this is a letter dated 27 April 1979. It's sent by Dr Kernoff to Dr Colvin. And we just go to the second page. If you zoom in, Henry, on paragraph 2, "Types of therapeutic material available", we can see -- it's about two-thirds of the way through that long

A. I didn't know that that was how they obtained their plasma. They certainly didn't admit it or ... in

their literature about what they sourced their product from. We just didn't know.

- Q. So you've said your perception of the type of donorrecruited in the US was optimistic, to say the least?
- A. Absolutely. I just assumed that they had standards
 of -- similar to what we would have applied to people

9 donating plasma in the UK.

10 Q. I have, with a number of the other clinical witnesses,
 11 looked with them at some of the materials from the
 12 mid-1970s about non-A, non-B hepatitis, but given that
 13 you arrived at the Royal Free in 1978, I wondered if
 14 we could just look at the state of knowledge in 1978
 15 with you.

You described in your statement steps being taken at the Royal Free in terms of liver biopsies.

18 A. Mm

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Q. Again, I think that's paragraph 24. And you've referred to -- picking it up in 1978 and being at the
 Royal Free -- an awareness of chronic hepatitis, monitoring of the severity of the complication, and
 then liver biopsies being undertaken in the -- with advice from the unit established by Sheila Sherlock

25 who was at the Royal Free --

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paragraph -- Dr Kernoff describes non-A, non-Bhepatitis in these terms:

"This is a serious disease with long-term consequences."

Then he goes on to talk about its possible prevalence in different parts of the world.

7 By '78/'79, although I appreciate entirely,
8 Professor Tuddenham, there is still a lot more to
9 learn about non-A, non-B hepatitis, it was understood
10 that it was a serious condition.

- 11 A. Yes.
- Q. HIV. I just wanted to ask you about your developing knowledge in relation to AIDS. Again, we've looked in the Inquiry at a number of documents in relation to this, so I'm just going to take you to two, rather than take you to all of the documents we've explored with others.

Henry, could we have PRSE0002410, please. This is an article that appears in the New England Journal of Medicine, January 1983, 13th January 1983, and it's an article by Jane Desforges. We can see it's "AIDS and preventative treatment in haemophilia".

She talks about cases -- so if we go down the page, please, Henry -- she talks, in the paragraph beginning "The risk":

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"The risk associated with exposure to plasma from multiple donors, however, has long been a concern in the care of these patients, primarily because of evidence of virus-induced liver disease." Then talks about hepatitis. Then she goes on in the next paragraph to say: "Now we're becoming aware that treating haemophiliacs with Factor VIII preparations may exact

a high cost. Reports in the Centers for Disease

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acquired immunodeficiency syndrome (AIDS)." If we go over to the next page, please, Henry. We can pick it up in the last paragraph of the left-hand column. She says:

Control include three haemophiliacs amongst cases of

"The fact that haemophiliacs are at risk for AIDS is becoming clear."

Then if we look at the last sentence of that paragraph:

"Preventing the complications of the present treatment may have to take precedence over preventing the complications of haemophilia itself."

First of all, at this time, early 1983, would you expect to have been reading the New England Journal of Medicine as part of keeping up to date?

One would certainly wish to be up to date, and the New Α.

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You'll see there a list of names, including the Reference Centre Directors -- I think all of them apart from you -- and Dr Kernoff there, presumably representing the Royal Free, and then others. We know that there were representatives of Immuno. We can see Professor Zuckerman was there and a number of directors of non-Reference Centres but of some of the more significant and larger other haemophilia centres. A. Mm.

Q. This was a meeting that was discussing the possibility of hepatitis-reduced Factor VIII and Factor IX concentrates, discussing the possibility of trials in relation to that. But there's also a discussion about

AIDS.

So if we just go to the page before this, please, Henry. We can see, under the heading "Acquired immunodeficiency syndrome", it's reported:

"This was discussed in the after-lunch period. Dr Craske summarised the current position."

And then if we go towards the last paragraph on that page, we can see that what the summary includes:

"Ten haemophiliacs have been in the US have been affected and five have died. The youngest was age 7. All cases have had prolonged treatment with

Factor VIII. There's no specific implication of one

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England Journal of Medicine is a premier medical journal with the highest impact factor, then and now.

3 This particular opinion, editorial piece, is putting very starkly a balance between which takes precedence: complications of haemophilia, or complications of treatment, which would be a hard thing to do when not knowing the prevalence or -- of the appeared complication of AIDS. But it's prescient, and it certainly brings to attention that 10 choice.

11 Q. And can you recall when you first became aware of the 12 reports of an association between haemophiliacs receiving factor concentrates and AIDS? 13

14 A. Oh, as soon as it was reported by the Center for 15 Disease Control.

16 Q. So that would have been --

It was immediately. 17

So that would have been, I think, probably July 1982? 18 Q.

19 A. Yes.

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20 Q. The second document I wanted to look at with you, 21 Professor Tuddenham, is at PRSE0002647.

These are the notes of a meeting with Immuno at 22 23 London Airport, 24 January 1983. It's not a meeting 24 which you're recorded as having attended, but if we go to the last page, please, we can see who was present. 25

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1 particular product or batch. Other cases involving 2 blood and blood product transmission have included 3 platelets transfused in three cases."

And then it gives examples of two of those cases:

"The second, a 20-month-old child ... who had received several units, including platelets known to have come from a homosexual donor. The child has developed autoimmune haemolytic anaemia and a possible AIDS state".

And that's the case referred to in some of the literature subsequently as the San Francisco baby

Over the page, we can see, fourth paragraph down, the attention of the meeting being expressly drawn to the New England Journal of Medicine from 13 January.

I'm conscious this is a meeting that you were not at, Professor Tuddenham, unlike all the Reference Centre Director meetings which you attended.

Do you have any recollection of this meeting being raised with you by Dr Kernoff?

21 Yes. We ... probably didn't have a long discussion 22 about it because I can't recall it. I do recall the 23 T4/T8 ratio being discussed, and the implication being 24 that cryoprecipitate either had something that 25

maintained a better ratio, and there was something in

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- the concentrates that changed it. But I don't recalla detailed discussion, no.
- Q. Professor Lee told us yesterday that, whilst she
 didn't know anything about this meeting, that the
 question of AIDS was something that, in 1983 and 1984,
- 6 was -- I'm paraphrasing, not directly quoting -- but
- 7 something that was very much being discovered within
- 8 the Centre?
- 9 A. Yes.
- Q. Can you recall any particular discussions, concerns,
 views, being expressed, whether at the
- 12 multi-disciplinary team meetings or otherwise, about
- 13 AIDS and what the Centre's response should be?
- 14 A. We were looking out for it, and signs of it, in our
- 15 patients. They were aware that it was entering the
- 16 haemophilia community as a result of the treatment.
- 17 And the first patients who showed clear signs would
- 18 have been sometime during 1985, I think, at the
- 19 Haemophilia Centre.
- 20 Q. In the Royal Free?

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- 21 A. At the Royal Free, in our patient group. There'd been
- 22 a patient earlier in Bristol, the first UK patient.
- 23 But we had a man who died of chickenpox pneumonia,
- 24 which is obviously highly atypical in an adult. And
- 25 soon after that, another patient was developing

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right-hand column, about a third of the way down -- actually, we'll just pick it up in the beginning because you talk about the process of preparation of concentrates in very stark terms. You say:

"Let me begin by describing a process for you, one that's very familiar and one carried out in all developed countries. A heterogenous natural product in liquid form derived from many individual citizens is pooled. Various substances are added and precipitation occurs [etc, etc]. The end product is then widely distributed. Now, what process do you think that might be? Production of Factor VIII concentrate?"

If we skip over the picture of you, we can see you say:

"No, actually I was describing a sewage plant. The basic principles of sludge separation closely resemble those of fractional precipitation."

Then you say:

"I submit to you that the technology of Factor VIII concentrate production has got stuck at a point reached by about 1970."

You then go on to talk about a number of matters that I don't need to ask you about, but if we look at the right-hand column -- thank you -- third paragraph

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progressive neurological problems which ended. And so by the time I left at the end of 1985, we'd actually had two deaths.

We also, of course, knew, as soon as we tested everyone, which -- who was positive. But, yes, we were constantly talking about it.

- Q. Am I right in understanding that you attended the
 World Federation of Haemophilia meeting in Stockholm
 in 1983?
- 10 A. Yes, I did. Yes.
- Q. And I think we've got a copy of a paper you delivered.
 It was reproduced in the bulletin. So just to see
 whether I can prompt your memory if I need to, it's
 PRSE0000411, please.

And if we go -- this is edition number 2 of 1983.

If we go, please, Henry, to page 12. We can see there a talk, "Innovative Alternatives to Human Factor VIII" by you. And if we go to the next page, it says -- this is at the very bottom:

"Abstract number 37 given at the World Federation of Haemophilia Stockholm, 1983."

So is this the text of the talk you gave at that Stockholm meeting?

24 A. Yes.

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25 Q. If we go back to the previous page, please, Henry,

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1 down, you say this:

"Now, who is to blame for this deplorable situation, the results of which are being discussed in the seminars on hepatitis and AIDS? Frankly, we're all in this together: doctors, patients, national blood centres, commercial fractionators. We must recognise the deficiency and take drastic steps to bring coagulation factor purification into the 1980s. I do not consider that heating and irradiating blood products to remove things that shouldn't be there in the first place is anything other than a temporary measure of desperation."

It would seem fairly clear from that that very much on the agenda of the World Federation of Haemophilia were the risks of hepatitis and AIDS.

- 16 A. Yes.
- 17 Q. Do you recall what in particular was being discussed18 or raised on either of those issues at that meeting?
- A. I was using colourful language to emphasise the
 inherent risks of pooling blood from thousands of
- donors. My view, the biochemical one, of let's purify what we really want out of the blood, and eventually,
- as I say later, let's synthesize it, which we did
 - achieve, but not for quite a long time -- well, not at

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25 three -- two years after that -- what was being

(17) Pages 65 - 68

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developed then was ways of inactivating viruses. The details of that didn't really get discussed. There was a lot of commercial secrecy around that. There was also a problem that the agents at most risk, as it turned out, of hepatitis C and HIV, human immunodeficiency virus, HTLV-III, hadn't been isolated and characterised at that point, let alone assayed. So it was sort of feeling in the dark, and there weren't good or a reasonable animal model to look at transmission, so you're back to clinical trials of products after you'd treated them in ways that you hoped would, in some way, inactivate what you weren't really sure was there in the first place.

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So, I mean, we were really in the dark, and I was taking a kind of purist biochemical approach, which was: let's isolate the thing we want, and that will get rid of the virus per se. But, yes, everyone was well aware that there was a life-threatening problem with our best treatments, and people were trying to find ways to deal with it. I suggested the way that came to me, others were working on viral inactivation.

They got lucky with that because the viruses that they had to inactivate had this property that you could actually inactivate them by rather simple methods. But it took a while to perfect those; the

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products. There were differences, but there -- as you'd expect.

So could that have been done earlier with a bit of

I believe so, yes. I do believe so.

The purification methods for mass separation of fractions from human plasma were developed during the Second World War by Cohn, and the so-called cold ethanol fractionation was in use as the major -- and parts of that method are still in use today, but they were -- sort of modifications of those methods were in use for the Factor VIII concentrates.

Further steps were then taken, very empirically, but a sort of mainstream biochemical approach to separating took a long time to find its way through. They had a product which they could sell, and they did. And the impetus to improve it came rather slowly, in my view.

I guess I'm taking a purist view of that.

SIR BRIAN LANGSTAFF: Well, it's your view that I was looking for, because of the two matters I've just raised with you. Thank you for that.

MS RICHARDS: Professor Tuddenham, I wanted to ask you about the Royal Free Centre's response to what, by this stage, in the early 80s is a known risk of non-A,

early shots at heat treatment weren't effective, and 2 didn't finally become effective until 1986. And the 3 sort of steps on that road weren't being widely publicised; they were being developed in commercial 4 5 laboratories.

6 SIR BRIAN LANGSTAFF: Are we moving on from this paper 7 now?

8 MS RICHARDS: Yes, we are.

SIR BRIAN LANGSTAFF: May I just ask you about two things 10 which you say in the paper, which have struck me just 11 listening to you. The first is that you make a case 12 in your paper that the -- nothing much has happened 13 with the technology of blood production since the 14 early 1970s, and you're critical of that.

> The second is that you observed, I suspect entirely rightly, that there was quite a degree of commercial secrecy as between the various commercial firms which were attempting to inactivate viruses.

One of the features of what I'm looking at is that three or four firms, the four major pharmaceutical producers of Factor VIII perhaps, in the United States, all, under their own forms of commercial secrecy -- so presumably not talking to each other, short of espionage -- were -- on exactly the same lines and about the same time produced similar

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1 non-B hepatitis, and by 1983 is knowledge of, at the 2 very least, a possible if not likely link between 3 factor concentrates and AIDS.

> Professor Lee's evidence, both to the Lindsay Tribunal and to this Inquiry, was that there was no change in the treatment policies at the Royal Free in response to those risks. So throughout the first part of the 1980s until the end of 1984, when heat-treated products became available, her understanding was that the policy of treating children with NHS concentrate was continued, but other than that, and other than that it was -- continued commercial concentrates in the same way as before.

I think that's effectively what your statement also accepts?

Yes, I am stating that. 16 A.

17 Q. And we can see if we look at RFLT0000019 -- please, 18 Henry -- we can see it's an application for an early 19 application for ethics approval of research involving 20 investigations on human subjects. It's submitted by 21 Dr Kernoff in 1st December 1983, but your name and 22 Dr Kernoff's is given as the head of section. I know 23 you've seen this document very recently.

> There are two parts of what Dr Kernoff was proposing to study here: the polyelectrolyte

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fractionated porcine Factor VIII, which we've already discussed, and then the heat-treated human Factor VIII. And he goes on to say -- if we look under the heading "Background", he says:

"The incidence of acute non-A, non-B after a first exposure to routine human Factor VIII concentrates (either NHS or commercial) approaches 100% ... Of particular concern is the high risk of chronic hepatitis following an acute attack."

Then he goes on to say that the Royal Free has been offered two human commercial heat-treated products for clinical assessment, both of which have been granted clinical trials exemption. And he asks for approval for a piece of work. And we know from other documents that I needn't trouble you with that approval was forthcoming.

Do you know whether those trials of heat-treated products ever took place at the Centre?

19 A. No. No.

- 20 Q. As in you don't know?
- A. No. Unless one can find a publication in which theresult of the trial is included, I don't know.
- Q. And your interest in this, as I understand it, was the
 porcine element of it. Would you have been involved
 in any clinical trial in relation to the patients

position is clearer and the efficacy of heat treatment proven."

Now as far as one can tell from the documents and Professor Lee's evidence, December 1984 was the first time that the Royal Free effectively responded by changing its approach to treatment, and it did so then in two ways: the introduction of heat-treated concentrates and, at that point, December 1984, a decision to delay elective surgery. Is that correct, as far as you're aware?

- 11 A. Yes, yes.
- 12 Q. And then, if we go to CGRA0000560, please, Henry.

I just wanted to ask if you're able to assist with what this letter was concerned with. This is a letter, March 1985, addressed to you. Not entirely sure -- so, yes, it's in relation to Koate, and you're being offered unheated Koate to cover the requirements of the Fatimid Foundation. Is this anything to do with treatment at the Royal Free or is this to do with something else entirely?

- A. It's not to do with treatment at the Royal Free. It's
 to do with treatment at a Haemophilia Centre in
- 23 Karachi
- Q. Okay. Then Professor Lee's further evidence to the
 Lindsay Tribunal and to this Inquiry was that, having

1 using the heat-treated concentrates if gone ahead?

- 2 A. No, no, but I was involved in the porcine.
- Q. If we go to BART0000676, this now brings us to
 December 1984. It's a meeting of the Haemophilia
 Working Party of the North East Thames Region
 Association of Haematologists, and we can see that
 Dr Kernoff is there and you're there.

If we go to the bottom of the second page, we can see there's reference to "the possibility of BPL heat-treated concentrate ..."

And then there's an agreement in the meantime, if we look at (ii), that:

"Heat-treated material should be used whenever possible (with the exception of NHS [Factor] IX concentrate ...)"

It's said that:

"All new patients, and mild haemophiliacs with injuries requiring maintenance of high levels of [Factor] VIII should be treated with heat-treated NHS Factor ... concentrate or small pool Factor ... concentrate *pro tem* if treatment with cryoprecipitate or DDAVP is not possible."

Then if we go to the top of the next page and look at the second paragraph:

"Elective surgery should be delayed until the

got to this stage in December 1984, there was then a phased introduction of heat-treated concentrates over a three to six-month period.

And we can see a letter at BART0000819_ 002, please, Henry.

This a letter, 17th January 1985, so a month after the meeting we just looked at, from Dr Kernoff addressed to patients receiving treatment on Factor VIII and IX concentrates at the Haemophilia Centre.

The heading is "Heat-Treated Concentrates", and it's telling patients that:

"To reduce the risks of virus transmission, we have decided that all Factor VIII concentrates used at the [Royal Free] will be heat-treated products."

No change proposed currently in relation to Factor IX.

Then in the second paragraph it says:

"For many complex reasons, including the fact that there is at present no heat-treated NHS Factor VIII available, it will not be possible to change everybody ... to heat-treated products immediately, and not everybody will receive the same type of material. In general, patients routinely treated with commercial Factor VIII will be changed to commercial heat-treated

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materials. Patients treated with NHS Factor VIII will either be changed to heat-treated ... products or kept on standard NHS Factor VIII for a few months longer, in the hope that small quantities of heat-treated NHS Factor VIII may soon become available.

"In the longer term, we hope that larger amounts of heat-treated NHS Factor VIII will be supplied."

So it would appear to be, in part at least, a supply issue, in terms of not enough heat-treated concentrates available to switch everyone immediately. Do you recall whether there was any discussion in the centre between you and Dr Kernoff or others about what to do in response to this particular problem, now that the dangers of unheated concentrates are explicitly recognised? Was there a discussion that took place at all?

A. No, I don't recall that at all. Reading this -I mean, it's obvious that he was very much engaged
with this issue, trying to source heat-treated, safer
concentrates, though whether they would be safer from
the point of view of HIV transmission was not going to
be fully apparent for some time. But we didn't talk
about it. And he -- I was very much engaged at that
point with this race to pure Factor VIII and its
immediate sequelae, and we didn't talk about these

- a matter of months, it's going to be, or, in terms of supplies, weeks.
 - A. Mmm.

- Q. Wouldn't cryoprecipitate, for example, have been, at
 least as a temporary measure, an obvious alternative?
- A. It would have been, and I know that some directors
 took that decision, and some patients.
- Q. If we just look, please, at your statement,
 paragraph 205 I think. I'll just put it on screen so
 others can follow.

It's WITN3435002, please, Henry.

And if we can go to page 16, please. At the top of the page, paragraph 25, you say this:

"I am not aware of any formal decision-making structure in place at KDHC during the period 1978 to 1986. If there was one it was in the knowledge and judgement of my colleagues, especially Peter Kernoff. He was certainly as well informed as anyone about these risks through his work on [non-A, non-B]."

Is it fair to understand from that that these were essentially judgments, decisions that were being left to the decision-making process of Dr Kernoff on his own, essentially?

A. Yes, that's my inference from all that happened, and the documents you've brought to my attention.

1 other issues. He kept these to himself.

Q. If we just look a couple of paragraphs down, it saysfirst of all:

"The overall objective of our policy is to give the safest possible treatment to an individual."

Then it says this:

"Home treatment patients should continue to use their current stocks until they are almost finished, as at present, then call in personally ... to collect new supplies."

Now, the effect of that, presumably, is that

patients who have unheated products capable -- it's

believed, and understood at this time to be capable of

transmitting HIV/AIDS, are going to just use up their

supply rather than any step being taken to ask them to

immediately change. Does that cause you concern,

seeing that there?

- 18 A. Yes, it is obviously illogical. If there's a risk, it
 19 should be met by immediately stopping using the
 20 at-risk product. However, at that point you've then
 21 go to replace it with something else, because the risk
 22 of bleeding continues.
- Q. And given that at this point in time, whatever the
 arguments might have been earlier, you're talking
 about treatment for a relatively short period of time,

Q. You go on to say this:

"That may have had an inherent misleading bias when applied to Hepatitis C as contrasted to HIV ..." And I just wanted to ask you what you meant by

that

Well, he would have formed a view about risk based on his experience with hepatitis C. And as he demonstrated, and as we saw earlier, all concentrates containing plasma sourced from more than 100 individuals -- well, certainly more than couple of hundred individuals, a biostatistical necessity --will be transmitting hepatitis C. How much safer it was in relation to HIV would only emerge when the understanding of that virus and means to test it were available.

So I'm speculating, of course, about his thinking process, and it probably seemed to him likely at that time that the relative greater safety of NHS concentrate might not be that much better than the concentrates from elsewhere.

Q. And then in paragraph 27, just so that what you say there can be understood, the question that you were there answering, if I can find the question ...

Well, I can find it -- but I can paraphrase it.

The question you were being asked, and you answer

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there, is about whether there were any decisions or actions taken by the Centre to minimise or reduce the risk of infection. And your answer is:

"Other than only giving treatment when it was necessary to stop bleeding, none that I can recall." And then you say this:

"The principle was, 'if in doubt as to whether signs and symptoms were due to bleeding or not, treat anyway'."

Can you just explain what you mean by that principle.

- It's a general principle in haemophilia care that if you have reason to suspect there may be bleeding, give the treatment that will stop the bleeding and then you've taken care of that as a possible explanation for whatever symptoms you're observing. So it was: if in doubt, treat. But it's a safety principle in caring for people who were always at risk of life or limb-threatening bleeding. But of course that comes into this difficult area of doubt, whether treatment you're giving has its own inherent risks, which the whole subject of this Inquiry.
- Q. Professor Tuddenham, I want to come on and ask you
 about UKHCDO and its role in response in particular to
 the AIDS crisis. And although, as you've explained,

the way we're here to discuss, they were dealt with. It wasn't very highly hierarchical. We were a group of colleagues who respected each other. People would volunteer to take on the roles within the organisation as they do today, and it wasn't often that there was a contentious issue that would give rise to a vote. I mean, agreements were reached over a course of discussion, and people used best efforts to come up with policies over the sort of new problems as and when they arose.

So it wasn't a sort of fierce debating society; it was a collegial effort to gather our thoughts and propose solutions as best we could in, as it was then, a rapidly evolving and difficult -- very difficult situation.

- Q. And the Reference Centre Directors certainly seemed to
 meet annually. Was that the normal pattern, or was it
 more frequent than that? There were certainly special
 meetings we see.
- A. I don't remember. I think you're right: it was
 this -- we were meeting quite more frequently as the
 need arose.

The meetings tended to take place quite often at the Royal Free, which was convenient for me, and sometimes in Oxford. The agenda was devised by

your interest by this time was essentially research-based, rather than much by way of clinical decision-making, you're one of the few Reference Centre Directors who will be giving evidence, and so these are issues which it's important to just ask you about.

Before we look at any individual document, what, if anything, can you tell us about the way in which the UKHCDO operated, and its hierarchy, and the role of the Reference Centre Directors in that period '78 to '85?

We were a group of colleagues who were gathered Α. together under the common objective of organising and improving the management of haemophilia, which was and is still very advanced in comparison to what was available in most other even developed countries. There's other sort of shining examples like some of the Scandinavian countries, but we prided ourselves on being the group that was best able to monitor, manage and progress how we managed this group of severe congenital disorders.

So we were gathered together to optimise the monitoring of standard of care, gathering data, making representation to the Department of Health for ways to improve. And as problems arose, as they indeed did in

whoever the lead clinician of the day was. And people spoke as they felt moved, according to their experience.

We have a system of having committees that would address particular topics, as I think I've answered questions later on, and they would report back after taking further advice from experts, and the reports were considered. The idea was that if there was a particular project, it would be dealt with in a time-limited way. And I would say that, given a kind of national emergency, really, which this situation gave rise to, we did the best we could, but the steps that were going to change the situation, which was developing the assays that enable you to identify exactly where the infection is and who's got it and what to do about it, and the improvement in the virus inactivation and better quality product, were not in our hands.

What we had an opportunity to do was choose between different products and policies, but we were left in this between a rock and a hard place, between the fact you have to treat immediate emergency of a bleed, but is the product you've got a safe, effective means of doing that?

So I'd say the overall influence that the UKHCDO

da was devised by

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could have on this as being, to some extent just a gathering of colleagues and a talking shop, is not -- unless a very, very firm line were taken to pursue a particular policy, we didn't tend to impose views that necessarily would be just kind of opinions, really, educated opinions, on the rest of our colleagues because we didn't have the executive power to do that.

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We could make representation to Government. We could make recommendations to our colleagues. But we weren't in a position of being able to issue orders and dictats.

Would the task of the Reference Centre Directors, in 13 14 responding to this national emergency, have been 15 assisted by guidance or advice or intervention at 16 a national level by the Chief Medical Officer, for example, or the Department of Health? 17

A. I can only say that, to my recall, they weren't.

Well, committees began to be got together of virus experts, but the UK didn't have a sort of -- well, we had the fractionators at Oxford, but they weren't major players, and they weren't running large research groups, and they weren't, to my knowledge, collaborating closely with virologists and biochemists. I mean, it was all a bit semi-amateur,

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(1.02 pm) 2 (Luncheon Adjournment) 3 (1.58 pm) 4

MS RICHARDS: Professor Tuddenham, I'm going to ask you to look with me at some documents which show the response of UKHCDO to the AIDS crisis in 1983 and 1984. We won't look at absolutely everything, but just to put it in its chronological context, the first reference to AIDS in a Reference Centre Directors meeting was September 1982, and the request was made at that point to Dr Craske to look into the matter and report back to the AGM that was taking place a week later.

So I'm not going to show you those but I'm going to pick matters up in 1983.

Could we have HCDO0000411, please, Henry. If we go to the next page we can see it's the minutes of the Reference Centre Directors meeting held at the Royal Free on 4th February 1983, and we can see you and Dr Kernoff are both in attendance.

Henry, if we could go to page 4, please -- sorry, the next page, my apologies.

You'll see there, Professor Tuddenham, under the paragraph headed "The AIDS Syndrome", Professor Bloom referred to the Stockholm meeting, there's an update that the -- reports from the US suggest that the

slightly ad hoc. That's a harsh statement.

I mean, looking back, what were they doing? They were doing their best to produce good quality products for us to use. They were clearly underfunded in the ambition to be self-sufficient. Could have used a lot more funding and a lot more push to do that. Although it had been declared an ambition, it didn't come to pass ever. So we were left trying to do the best with the limited resources that we had, as you can see, and it was somewhat frustrating, as Peter Kernoff's letters illustrate.

And we were left trying to make the best of what was certainly, in retrospect and even apparently at the time, a pretty difficult and unsatisfactory situation, in regard to supply, resources, and assays. We -- all those things eventually emerged, but one could only wish that they would have emerged sooner with the benefit of the hindsight we now have. At the time, we were doing the best we could, I think, is all I could really say about that.

21 Q. Okay. We'll look at some documents with you but, it's 22 just gone one o'clock, sir, so perhaps I can pick that 23 up too?

24 SIR BRIAN LANGSTAFF: Yes. We'll take a break now until 25 two o'clock, if you please, and then we come back.

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1 incidence of AIDS was higher than first thought. 2 There's an expression of concern that the haemophiliac 3 population of the UK who'd received American 4 concentrates might be at risk. Dr Craske then 5 summarised latest information: approximately ten cases 6 thought to have occurred in non-haemophiliacs now in 7 the UK. 8 Then there's a reference --9

SIR BRIAN LANGSTAFF: I think it may be 12, might it? Ten 10 in London.

MS RICHARDS: Oh, yes, I'm sorry, sir, you're absolutely 11 12 right. Yes. Ten cases thought to have occurred in 13 London, one in Glasgow, one -- it may be -- maybe 14 that's right.

> Then -- in any event, those are non-haemophiliac cases.

Then we see Dr Craske had drawn up a draft form. there's a lengthy discussion about it, and the agreement is that Dr Craske will draw up a new form for the reporting of cases and arrange for it to be circulated to Haemophilia Centre Directors.

Then there's a suggestion of inviting an immunologist to join the Hepatitis Working Party.

First of all, do you have any recollection of that particular meeting and the discussions about AIDS in

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88 (22) Pages 85 - 88

1 February 1983? Then, if we go to -- sorry, in fairness, if I go 2 A. Yes, yes, of course. We were on full alert. Anxious, 2 to the third paragraph, we can see that Dr Craske, 3 3 Dr Rizza and Professor Bloom say: worried and, like everyone else, still highly puzzled as to what could be causing this syndrome, presumed by 4 4 "We do strongly urge you [ie, Directors] to 5 now to be transmissible by direct contact means and 5 collaborate in reporting cases of this syndrome ..." There were two documents enclosed with this. We 6 therefore likely to be a virus, and looking for advice 6 7 on how to move forward, given that it was clear by 7 will just look briefly at one of them, 8 8 then that the haemophilia population was at risk. Professor Tuddenham. 9 9 HCDO0000517_002, I think. Q. And the action that was agreed at this stage didn't 10 involve any recommendations about any change of 10 So this was one of the documents sent out to all 11 approach to treatment; it's a plan to prepare a form 11 Directors, and presumably you would have seen it? 12 so that cases could be reported to the Reference 12 A. Yes. Centre Directors? 13 Q. It's authored by Dr Craske. This one is dated 13 14 A. Yes, just observation. 14 1st March 1983. There are earlier iterations of it 15 Q. And then we can see what Dr Craske drew up and what 15 that were shared with Reference Centre Directors in 16 16 was sent out if we look at RFLT0000021, this is the the autumn of '82. But we can see, if we look towards 17 17 copy that came to Dr Kernoff but we have -- there were the bottom of this page, here we see the first of two 18 copies that went to, we assume, all the Haemophilia 18 features noted. Here, in the last paragraph, the 19 Centre Directors. 19 delay between the occurrence of initial symptoms and 20 So it's dated 22nd March 1983, and you'll see it 20 diagnosis and an observation that the signs and 21 refers in the second line to: 21 symptoms were mostly insidious and non-specific. 22 22 "... a recent meeting of the Reference Centre And then we go over the page, we can see 23 Directors ... prompted us to circulate the enclosed 23 underneath the list of symptoms, there's a paragraph 24 paper so that a system for the reporting of possible 24 that begins "The overall mortality was highest in cases of [AIDS] can be quickly set up ..." 25 25 those with patients with [PCP]", and you'll see there 89 90 1 the mortality rates, which are obviously very high. 1 collected in the USA." 2 2 If we go on to page 3, we can see then under the He then goes on to discuss the three cases where 3 heading "Aetiology", towards the bottom of the page, 3 the likeliest mode of transmission has been blood or 4 Dr Craske advances three theories. The first is about 4 platelet transfusion, and explains at least ten 5 the possibility of the effect of drugs. Would you 5 haemophiliacs -- this is in the States -- have been 6 agree with me he's then discounting that? He says: 6 reported with clinical features of the syndrome. Five 7 7 "This is not a factor as the disease has been have died. 8 8 described in patients who do not use the drug." Then if we go to the next page, second paragraph, 9 9 Then he talks about the immunosuppressive effect he says: 10 of cytomegalovirus virus infection and says this seems 10 "It is thought likely that batches of Factor VIII concentrate which might contain the AIDS agent came 11 unlikely. 11 12 Those two theories are put forward but effectively 12 into use since January 1st 1980 in the USA." 13 put to one side; would you accept that? For the 13 Now I've obviously not gone through the entirety transcript, Professor Tuddenham, if you wouldn't mind of the paper with you, Professor Tuddenham, but would 14 14

saying "yes" or "no" rather than nodding. **A.** Yes.

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Q. Thank you.

Then over on to the next page, he says here then, his third cause is the suggestion of an infectious agent with a similar epidemiology to that of hepatitis B, and then he goes on to say:

"If (3) is the most likely cause, then it is possible that such an agent might be present in the plasma pools used to prepare commercial Factor VIII and IX concentrate manufactured from donor plasma

19 A. Yes.

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Q. And this is a disease understood to have a highmortality rate?

22 A. Yes.

23 Q. So that's March 1983.

products?

We then come on, in terms of the next step taken by UKHCDO, by the Reference Centre Directors, to a

you agree that what emerges from that is, although

that the route of admission for haemophiliacs is blood

there is still much that is not yet known, it's likely

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meeting on 13th May of 1983. Now this is one of the few meetings you didn't attend but for the sake of completeness we'll look at it.

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It's HCDO0000003_008, I think, Henry.

So we can see this is a meeting at St Thomas' 13th May 1983. You're not there for this meeting, Dr Kernoff is, and it's a special meeting that's been called

If we go to the second page, we can see a discussion in the last paragraph as follows:

"With regard to general policy to be followed in the use of Factor VIII concentrates, it was noted that many directors have up until now reserved a supplies of [NHS] concentrates for children and mildly affected haemophiliacs and it was considered that it would be circumspect to continue with that policy. It was also agreed that there was, as yet, insufficient evidence to warrant restriction of the use of imported concentrates in other patients in view of the immense benefits of therapy. The situation shall be kept under constant review."

So this would appear to be the first discussion of whether anything should be done in terms of changing the approach to treatment, but would you agree there is no specific recommendation of any change of

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1 a haemophiliac with him at the time?

A. No, I wasn't in frequent contact with Arthur then,
 other than at the Reference Centre Directors meetings.

4 The first confirmed case was in Bristol.

- 5 Q. That was the first death, we think.
- A. The first death, yes. If Arthur had a case he
 suspected in Cardiff, I wasn't aware of it.

8 SIR BRIAN LANGSTAFF: Can I just clarify about the

information that we have the Bristol case is that it

10 hadn't been reported to the UKHCDO, and that was the

11 subject of some concern later at a meeting in the

12 autumn, because it had happened, it hadn't been

reported so somehow the reporting systems missed it.

- 14 A. Yes, that's right, yes.
- 15 SIR BRIAN LANGSTAFF: That now rings a bell, I can see.
- 16 A. It does, yes, yes. It came out of the blue. It was
- a bit of a shock. I think the then director of the
- 18 Bristol Centre wasn't kind of prominent at national
- 19 meetings.
- 20 MS RICHARDS: Was that Dr Daly?
- 21 A. Yes.
- 22 Q. Yes. Then we can see the two recommendations here
- 23 given on -- are:
- 24 "1. For mildly affected patients with
- 25 haemophilia A or von Willebrand's ... and minor

approach, it's just circumspect to continue with an

2 existing policy if that is your existing policy; is

3 that a fair characterisation?

4 A. Yes.

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Q. Then we'll see the document that was then sent out in light of that meeting.

HCDO0000270_004 please, Henry.

So this is the letter of 24th June 1983. This is a blank version but we understand, again, it was sent out to Haemophilia Centre Directors.

It refers to the special meeting that we've just looked at and says this:

"So far one possible case has been reported to our organisation. This patient ... conforms to the definition published by the CDC in Atlanta ... but cannot be considered as a definite case. We are not aware of any other definable patients amongst the UK haemophiliac population."

Pausing there for a moment, Professor Tuddenham, we understand that to be a reference to a case in Cardiff of a patient who was under Professor Bloom's care. Do you recall -- and I know in particular Professor Bloom had been -- you described him, I think, as something of a mentor to you -- did you ever discuss that case, that first AIDS case of

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1 lesions, treatment with DDAVP should be considered.

2 Because of the increased risk of transmitting

3 hepatitis by means of large pool concentrates in such

4 patients, this is in any case the usual practice of

5 many Directors."

Then:

"2. The treatment of children and mildly affected patients or patients unexposed to imported concentrates, many Directors already reserved supplies of NHS concentrates (cryoprecipitate or freeze-dried)

and it will be circumspect to continue this policy."

So, again, what this would appear to be is a recommendation for directors to continue with existing policies if they have them in relation to children and mildly affected patients, or previously untreated patients, and a recommendation to consider the use of DDAVP from the categories of patients there

19 It's quite tentative, isn't it? It falls short of 20 any kind of firm steer or recommendation?

A. Yes, it's just a polite suggestion, with evidence and
 reasons given, but it's by no means an order and, as
 I mentioned earlier, we (the UKHCDO) weren't actually

in a position to issue orders, just recommendations.

5 Q. Yes, no, I accept not in a position to issue orders.

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- 1 There could be this kind of polite suggestion, as you characterise it, at one end of a spectrum, an
- 3 instruction at the other, and in between there could
- 4 be much firmer advice or recommendations than this;
- 5 would you accept that?
- 6 A. I accept that. There can be firmer or there can be
- 7 ... clinical advice is usually couched in very polite
- 8 terms.

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- 9 Q. Yes, and we'll see later, when we finally get to
- December 1984, that there were recommendations that
- 11 were put in different terms.
 - So this was June 1983 arising out of the 13th May 1983 meeting. I just want to show you a document from around this time which is
- 15 CBLA0000043_040.
- 16 I this is a letter dated 9th May 1983, and it's17 written by Dr Spence Galbraith, who was at the
- 18 Communicable Disease Surveillance Centre in London.
 - He's addressing it to the Department of Health.
- 20 You'll see in the first paragraph he talks about
- the case in Cardiff, he actually identifies it as
- being a Cardiff case, and explains that it fits the
- 23 recognised criteria for the diagnosis of AIDS, and
- 24 then refers to some other reports in The Lancet and
- 25 other cases.

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- 1 **A.** Wow. Well, it's -- then it's quite an authoritative opinion.
- 3 Q. I don't say this, certainly, as a criticism of you,
- 4 Professor Tuddenham, or necessarily of any of the
- 5 Reference Centre Directors, but this is the kind of
- 6 opinion that should have been shared, should it not,
- 7 with the Reference Centre Directors by somebody?
- 8 A. Somebody. He, himself, might have considered doing
 - that, but I don't have any recall of it being
- 10 proposed. It's a radical proposal, but it didn't make
- 11 its way on to my desk.
- 12 Q. No. If we go to the next page, he sets out a number
- of reasons, and I just wanted to go through the
- 14 reasons with you.
- 15 So the reasons for his recommendations: one, the
- 16 AIDS epidemic in the USA is probably due to a
- 17 transmissible agent. Would you agree with that?
- 18 A. Yes.

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- 19 Q. Based upon the knowledge at the time.
- 20 A. Yes.
- 21 Q. Two, the agent is probably transmitted by blood and
- 22 blood products. Would you agree?
- 23 A. Yes
- 24 **Q.** Three, and this may be where his public health hat was
- 25 significant, he said this:

Then we can see his recommendation in the second paragraph is:

3 "I have reviewed the literature and come to the 4 conclusion that all blood products made from blood 5 donated in the USA after 1978 should be with withdrawn 6 from use until the risk of AIDS transmission by these 7 products has been clarified."

So Dr Spence Galbraith with, presumably, the same or similar information available to him as would have been available to the Reference Centre Directors, came up with a very different recommendation.

Do you recall whether this came to the attention of the Reference Centre Directors at this time?

- 14 A. I don't recall it. Until you brought it to my
- 15 attention, I've never seen this letter or was aware of
- 16 this view having been promoted. Did you say it was
- 17 Kenneth Galbraith?
- 18 Q. Dr Spence Galbraith.
- 19 A. Spence Galbraith.
- 20 Q. Who was -- well, public health was his area of
- 21 specialty. He was based at the Communicable Disease
- 22 Surveillance Centre, so the cases were supposed to be
- 23 reported to him.
- 24 A. In the UK?
- 25 Q. In the UK.

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"Although this number of cases of AIDS associated
with the administration of Factor VIII concentrate is
very small in relation to the number of individuals
receiving the product, this may not indicate that the
risk is small ..." and he goes on to explain why
that is.

Would you accept that as a proposition that could and should have been understood at the time? That the number of currently reported cases was not necessarily the guide to what the true extent of the risk was.

11 A. Lagree.

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- 12 Q. And then if we go over the page, please --
- 13 A. It's a fundamental principle of epidemiology.
- 14 Q. If we go over the page, please, to point four:
- 15 "Factor VIII concentrate (and pooled products)
 - would appear to have a high risk of being contaminated with AIDS agent."
- 18 And he explains that's because of the donor pool. 19 Would you agree with that?
- 20 A. There's a slightly surprising suggestion there that
- homosexuals are more frequent blood donors than
- 22 others.
- 23 Q. I think there may have been some evidence available --
- 24 that may have been available to Dr Spence Galbraith --
- 25 about certain practices and locations in which bloods

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- 1 were collected for donations in the States, so maybe 2 it's a reference to that.
- 3 A. Interesting.
- Q. But in terms of the donor pool giving rise to a high 4 5 risk of contamination, you would accept that as
- a statement, as at 1983? 6
- 7 A. Yes.
- 8 Q. And then:

9 "5. Apparently no known means of ensuring that blood or blood products are free of the AIDS agent." 10 11

That was correct at the time?

- A. Of course, yes. 12
- Q. And then point 6 is the high mortality rate which was 13 14 also known at the time.
- A. Yes. 15
- 16 Q. So we have the polite suggestion from the Reference
- Centre Directors, and then the rather bolder 17
- suggestion here. We then come on, in terms of the 18
- 19 next Reference Centre Director consideration, to 19
- 20 September of this year --
- 21 A. Excuse me. Could I just ask a question again about --
- Q. Of course. 22
- 23 A. - Dr Galbraith's letter? Was this published
- anywhere, or was this is an internal communication? 24
- Q. The letter was addressed to an official within the 25

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- material to non-haemophilia centres. 1
- 2 So that may or may not have been an issue of some 3 importance, but would you agree that's not really 4 dealing with the public health issue and the risk of
- 5 AIDS to haemophiliacs?
- 6 A. No, it isn't. There was some debate still at that
- 7 time as between treatment or management of
- 8 haemophiliacs at hospitals by individual doctors that
- 9 weren't part of a registered Haemophilia Centre or
- 10 Reference Centre, and that did continue for some time.
- And I think partly it's referring to that possibility, 11
- this kind of unacknowledged, recorded, or regulated 12
- 13 use of those products.
- Q. Yes. And then we can see there's then a discussion 14
- 15 about blood products being supplied via regional
- 16 transfusion centres (and that's the issue that we saw
- 17 Dr Kernoff's strong views on this morning) and we can
- 18 see, four lines up from the bottom, the Reference
- 19 Centre Directors expressing grave misgivings about
- 20
- 21 Again, that's not really dealing with response to
- AIDS, is it? 22
- 23 A.
- 24 Then if we go to the next page, we can see here -- and 25
 - it touches on some observations you've just made,

- Department of Health.
- 2 A. Right.
- 3 Q. So it was not, at least at that stage, published.
- 4 Whether it was ever published, I'm afraid I don't know 5 the answer.
- 6 A. All right. With hindsight, again, appreciate your
- 7 comment in the context, but if it had had wider
- 8 exposure, I'm sure it would have had some effect.
 - Q. And then if we go to HCDO0000413, please, Henry.

10 So we can see this is the next meeting of

11 Reference Centre Directors. It's now

12 19 September 1983, and you and Dr Kernoff are both in

attendance at this meeting. If we go to page 3, we 13

14 can see "current situation regarding AIDS":

> "Dr Craske presented a paper ... updating the current situation."

17 You'll see there reference to the patient who died 18 in Bristol about six lines down, Professor Tuddenham. 19 So I think there was evidence to suggest that death

occurred in August 1983.

21 And then if we look at what was then discussed, we 22 can see a little further down -- if we go to "It was 23 agreed". Dr Craske, having given that update, the

24 first thing that was agreed was a point about

discouraging manufacturers of concentrates to sell

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Professor Tuddenham, about the Galbraith letter:

2 "The position regarding the collaboration of the

3 Haemophilia Centre Directors, Dr Craske, and the

4 Communicable Disease Surveillance Centre was raised.

5 Professor Bloom said Dr Galbraith, director of the

CDSC, was somewhat concerned he hadn't heard about the

7 Bristol case until after the patient's death."

You'll see that there were some interactions between Dr Galbraith and Dr Craske, and possibly 10 Professor Bloom. We don't know the full extent of

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12 Then the issue that's there discussed. You'll see 13 from that paragraph there's an issue about reporting of cases and whether it should go via Dr Craske or 14 15 directly to CDSC. And if we look down the page, we'll

16 see the agreement, the underlined bit: 17

"After discussion, it was agreed by a majority that reporting to CDSC should be through Dr Craske."

19 And then the discussion moves on to the collection 20 of epidemiological data.

21 So would you accept that there are no substantive 22 recommendations here about changes in policy or 23 different ways of treating patients?

- 24 A. Yes, that's -- that is the case.
- 25 Q. Then the next meeting is 17 October 1983. This is not

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of Reference Centre Directors alone: this is all Directors. It's PRSE0004440, please, Henry.

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We can see it's 17 October 1983. It's a long list of attendees, and they include you, Professor Tuddenham. And if we go, please, Henry, to page 10, we can see an issue being raised under the heading "Any other business":

"Dr Chisholm [who was director in Southampton] raised the problem of patients refusing to take up commercial Factor VIII. She wondered, in view of the worry of patients whether Directors could revert to using cryoprecipitate for home therapy. Professor Bloom replied that he felt there was no need for patients to stop using the commercial concentrates because at present there was no proof that commercial concentrates were the cause of AIDS. Dr Chisholm pointed out there was a further problem in her region because of problems in getting large amounts of commercial concentrates, whereas she could get unlimited supplies of cryoprecipitate. Other Directors reported they had the same problems. After discussion, it was agreed that patients should not be encouraged to go over to cryoprecipitate for home therapy but should continue to receive the NHS or commercial concentrates in their usual way."

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Q. Then we can see, in terms of any action to be taken, on the next page, we see "Current situation regarding AIDS". There's a presentation by Dr Craske. There's discussion about cases, and there's a suggestion that Dr Craske will send out details about proposals with regard to recommendations for the handling of samples.

> So it's very clear that, in October 1983, directors are not being given any -- they've not themselves reached any shared view that there should not be any change at all in the course of treatment.

- A. That's correct. 11
 - We then move on almost a year before there's another meeting of Reference Centre Directors. So if we could have up on screen, please, Henry, HCDO0000416.

We can see it's now 10 September 1984. This is a meeting at St Thomas'. You and Dr Kernoff, amongst others, are present. And if we go to page 8, please, Henry, we can see under the heading "Current situation regarding AIDS", there's a presentation of more information by Dr Craske. He talks about -- he presents some graphs. He refers to a paper in The Lancet. He explains that a further 20 patients with AIDS-related symptoms have been notified to him. Then there's a discussion about testing and reference, towards the bottom of the page, to the possibility of

Do you have any recollection of that meeting or 2 that debate, Professor Tuddenham?

3 A. I don't remember Dr Chisholm raising this point. It's 4 kind of poignant, looking back on it. There was all 5 this cryoprecipitate, and why not use it for home 6 treatment?

As we discussed earlier, there was a feeling that that was a step -- well, there was a reason to say that that was a step back, but then as the threat of 10 AIDS from commercial concentrate rises and rises. 11 alternative views are being expressed by the patients, 12 as well as the centre directors.

Q. Can I invite you to consider in particular what's 13 14 recorded here as Professor Bloom's statement. He 15 says:

> "There's no need for patients to stop using commercial concentrates because at present there was no proof that the commercial concentrates were the cause of AIDS."

20 Does that surprise you as a statement, as at 21 October 1983, that it's being looked at in that way?

22 Yes, it depends what your standard of proof is ... 23 where, I would say, there's very strong circumstantial 24 evidence that they are transmitting AIDS. Very strong 25 indeed.

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1 arrangements for HTLV-III testing now being made.

2 A.

3 Q. Again, I don't know whether you have any recollection 4 of that meeting?

5 A. Yes, because by then we'd heard about HTLV-III, and it 6 was apparent that the most likely causative agent had 7 been identified, and we could test for it. So it was 8 trying to consider moving forward.

9 Q. Other than testing, which obviously is a substantive 10 step, there's still, however, no recommendation to directors to do anything different in terms of 11

12 treatment, would you accept --

13 A. No, there wasn't.

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Q. Then we come finally to the meeting at which that was 14 15 discussed, which is 10th December of 1984.

16 The reference is HCDO0000394_117, please. 17 We can see this is effectively a special meeting,

18 it's not the normal Reference Centre Directors 19 meeting. You weren't, in fact, in attendance, this is 20 10 December 1984, but Dr Kernoff was, and a number of 21 others as listed there.

22 And if we go, please, Henry, to --

> Well, I'll just -- it results in recommendations and I'll show you the recommendations document rather than pore over the minutes, but there are just two

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parts of the meeting where Dr Kernoff makes a comment that I wanted to ask you about.

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You'll see, about four paragraphs down -- this in the context of a discussion about testing:

"Dr Kernoff commented that as some 70% of haemophiliacs are now [positive], it may be considered irrelevant if one tells or doesn't tell the results of testing."

That seems, at first blush, a somewhat surprising statement. Is that something you ever discussed with

13 When I read this, I was very surprised.

> At the time I wasn't at the meeting, and so I wasn't aware of it, and I didn't discuss it with him. In the event, as you may be coming to, when we did test all the staff and patients of the Royal Free Haemophilia Centre, we conveyed the results pretty much straight away.

20 Q. Yes. Well, I will come on to that. We'll just look 21 at the next paragraph which says:

> "The Chairman summarised by saying that testing should be instituted as soon as possible, and that information on the test results, should not be given automatically but if asked for."

> > 109

Then go over to the next page, we can see at the top, recommendations for haemophilia A, different categories, and recommendations for haemophilia B.

Then if we look at the text just under that, it says:

"In individual patients there may need to be a choice. In general heated concentrate appears to be the recommendation of virologists consulted but individual Directors may wish to make up their own minds. This is particularly true of unheated NHS material."

So even at this stage, when there are recommendations, Directors are still being told it's a matter ultimately for their individual judgment?

15 A. Yes.

16 But did that surprise you at that stage, that it's put 17 in those terms?

18 A. Not really. There's still some uncertainty as to efficacy of inactivation of the heat treatment. We can see here that it's considered that the heat treatment has inactivated the AIDS agent, HTLV-III as 22 we were calling it then, and -- but not the NANB 23 agent, which it took quite a while to finally isolate as hepatitis C.

So there's a range of risk, and the choice is left

So it appears to be the view expressed there by Professor Bloom as chair also that, rather than a system of telling patients the results, it will be you'll tell them the results if they ask for them.

Would you agree that, amongst other things, a patient's got to know they'd been tested in order to be able to ask for the result, as a matter of logic? Indeed. There's this range of opinion here, kind of

8 9 extreme, everybody gets tested and told the result, 10 over to what we would do now, which is proper 11 counselling before you even take the sample, in most 12 cases

Q. Then if we go to the document that emerged from this meeting, which is HCDO0000270 007, please.

So this is the -- called "AIDS Advisory Document" drawn up after that meeting.

17 If we go to the second page, we can see towards 18 the bottom of the page, under the heading "Options in 19 probable decreasing order of safety from AIDS for 20 Haemophilia A", there is a list in order of safety, 21 and then a series of recommendations expressed in 22 firmer terms than the earlier material we looked at, 23 so for example:

24 "Use DDAVP in mild Haemophilia A and 25 [von Willebrand's] if possible."

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1 to the individual director.

2 This is, as I say, December 1984, so that's roughly 3 two-and-a-half years after the first reports from the 4 CDC in the States of cases in haemophiliacs. It's 5 two years from the report of the San Francisco baby 6 case, it's nearly two years from that Immuno London 7 Airport meeting at which the available information was 8 discussed.

9 Looking at that, do you think,

10 Professor Tuddenham, that that was a good enough response by the Reference Centre Directors, that it 11 12 took that long to produce any kind of recommendations 13

A. It seems very gradual and cautious, viewed from here. 14 15 How good was the evidence for each of the different 16 choices? Um ...

It's accepted and was correct that UK-sourced material is lower risk; it's not no risk. And we have a treatment that may well be effective against HTLV-III, and a particular recommendation for patients not previously exposed, that they should have the lowest risk material.

Although at that point, it's -- well, cryo isn't zero risk, it's low risk. Heated NHS Factor VIII, low risk. There's a dosage phenomenon you have to look at

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when you're doing an inactivation, how many logs of inactivation versus how many infectious particles are present, and those are variable.

So they're pretty -- I've got to agree that it's taken a while to get to this point and still the advice is pretty vague.

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Q. I want to then move to deal shortly with some questions relating to what happened thereafter at the Royal Free. We've got some documentary evidence, we've heard from Professor Lee, so I think I can take it fairly quickly.

The evidence we had from Professor Lee suggested that patients were tested at the Royal Free using the stored samples that Dr Kernoff had started collecting in 1978 and so patients were tested for HTLV-III without knowing they were being tested. Was that something you knew at the time, or was discussed at the time?

19 A. It wasn't discussed at the time and -- do you know, 20 I don't think I even queried it at the time. We 21 just -- "Yes, we're going to test everyone", and it 22 happened. I didn't take that decision and I wasn't 23 asked about it before it happened. I was asked if I'd 24 give a sample to be tested, and I did. As did all the 25 nursing and other staff.

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who were working at the centre by then. We know that Dr Goldman was and obviously Riva Miller, the latter being deceased. Dr Kernoff.

What other doctors were working in day-to-day clinical work at the centre in 1984/85?

A. We'd have had rotating trainees, what we then called senior registrars and registrars who were trainee haematologists. Permanent staff, permanent medical staff, other than myself, Dr Kernoff, Dr Lee, Dr Goldman, no, I don't remember if there were any others.

Q. Okay. 12

> Then, if we could have, please, BART0000675. This is a meeting of the Haemophilia Working Party of the North East Thames Region Association of Haematologists, 22nd May 1985. You're not in attendance at this meeting, Dr Kernoff is. Can I ask you to look at the second page, please. It's the top of the page where it said this:

"Concern was expressed about the confidentiality of personal details of HTLV-III Ab positive patients. No lists are circulated ..."

Then it says this:

"All patients will be informed of the result of their HTLV-III antibody test on request and counselled Q. In terms of patients then being told their test

2 results, Professor Lee had moved on at that stage.

3 Her understanding was that that was something done by

4 Dr Goldman and Riva Miller. Do you know what the

5 arrangements were for patients to be told that they

6 had tested positive for HTLV-III?

7 A. Yes, I did know that Eleanor and Riva were going to do

8 that in the context of their sort of family approach 9

to counselling.

Q. Do you know whether patients were called in for 10

special appointments for whether it was just something 11

12 that was shared with them at the next scheduled

13 appointment?

14 A. I don't actually know which it was. I would think

they were pretty much called in, starting with the 15

16 positives.

Q. And do you know whether any advance information was 17

18 given to patients or whether they effectively just

19 turned up, not knowing that they'd been tested, but

20 told by Dr Goldman that they were HTLV-III positive?

21 A. I don't know that. But I should think there's a few

22 people around who do remember it pretty well. Well,

23 a lot of people.

24 Q. In terms of the staff who were present -- I meant to

25 ask you this earlier, Professor Tuddenham -- the staff

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1 on the implications of a positive result to themselves 2 and their families."

3 Now, that would suggest that as at May 1985 there

4 are patients who have not been given their results,

5 and that they're to request the result in order to get

6 it. Do you know anything about that?

7 A. No, I -- that would be other centres than the 8 Royal Free.

9 Q. Okay.

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10 A. Since, as we've said, I think all the patients at the Royal Free were informed. 11

12 Q. Can I then ask you a little bit about what you say in 13 your statement about research and some of the ethical principles regarding research. 14

> Can we have Professor Tuddenham's statement back on the screen, please, Henry. It is WITN3435002. If we go to paragraph 93, page 48.

You say this in paragraph 93, at the top of the page:

"The first ethical principle of research involving humans is the one we inherit from Hippocrates 'First do no harm'. The second principle is that consent to take part in research must be a voluntary choice of the participant after a full explanation of the risks. These and other principles are well described in the

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the time.

Helsinki declaration of 1964." Then you say this: "It took several decades for a full implementation of these principles to be instituted through a formal network of ethics committees and establishment of local research departments tasked with monitoring the clinical research undertaken in host institutions." And you observe that at the beginning of your career in medical research, no formal procedures had been established to demonstrate informed consent or ethical approval. Why did it take several decades for those principles that you rightly identify stemming -- or being set out in 1964, to filter through and be implemented in the United Kingdom? A. Yes, I've looked at the history of this, including the documents you've kindly sent me. As a medical student I was very impressed by a book produced by

Hospital and at the Royal Free Hospital. Those were the examples he gave.

It certainly got my attention. There was, up to

low standards of ethics going on at the Hammersmith

Dr Pappworth, titled "Human Guinea Pigs", which was

blowing the whistle on the kind of research and the

that point, a kind of old boys' and old girls' network 117

standards for clinical research. But it was just kind of developed in a rather ad hoc kind of way, as I've mentioned.

So expressing principles in an international declaration doesn't immediately translate into activity on the ground, and one could wish that it had taken place more quickly, but -- so there was a kind of a period when it was not even clear that it was necessary to undergo ethical approval for a trial of a new drug. Which seems surprising now, but that was the thinking at the time: that if the patient -- if a doctor had spoken to the patient and the patient had just agreed to undergo the trial, there was no need for anything to be formally recorded.

Q. You've given in your statement a long list of the research projects you were involved with. I'm not going to ask you about the specifics of any of them, but you talk in some of them, particularly the earlier ones, about proceeding upon the basis of a concept of informed consent -- sorry, implied consent.

21 A. Yes.

Q. And what was the way in which that was approached byyourself and others at the time?

A. Okay, so there was only two clinical trial projects
 involving administration of new drug, blood product

idea that doctors were all, rather paternalistically, able to decide what was best for their patients, and that they wouldn't -- they would follow the Hippocratic Oath, and they wouldn't carry out research on patients that didn't meet the standards implied in that, and in the general contract between doctors and their patients that the doctors know what best and the patients trust their doctors.

And to a very considerable extent, that did work, but it didn't really begin to take hold, and to be formally instituted by the setting up of research ethics committees in local hospitals until the National Institute of Health in the US said that they weren't going to fund research, clinical research, that didn't meet fully ethical standards as monitored by local committees. And then in the UK, a note was taken of that, and the Royal College of Physicians and the Department of Health began to work towards the establishment of those local research ethics committees. But it wasn't sort of fully and widely adopted and constituted in that form until the sort of 1970s.

So yes, there was a delay, and these things do take a while to evolve, and now of course we have a very elaborate and well-managed and fully applied

agents. One was the trial of the Hyate:C porcine Factor VIII polyelectrolyte purified. The report on that doesn't even contain any reference to ethical approval. We did discuss with the patients what the new product was. It had undergone testing for efficacy and safety in pre-clinical studies in animals, and we just discussed it with the patients, told them why we wanted to give it to them, and if they agreed, we went ahead. That was the standard at

The other one was where I treated four patients, three with severe haemophilia A and one with severe von Willebrand disease, with the human Factor VIII prepared with polyelectrolyte that Dr Lane had made at Elstree and, again, we just explained to the patients why we wanted to do it and they had the infusion.

It was made -- it was high purity, very high purity, it was made from UK voluntary donor plasma, so I would say it was low risk. They were all patients who'd had multiple infusions of multiple donor concentrates, and again, we just explained why we wanted to do it, and discussed it, and they had the infusions and we reported it. And it was the first formal proof that von Willebrand factor supports Factor VIII in the circulation, but it was the only

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- time that that type of concentrate was used, and it
 didn't become part of the way that Factor VIII was
 purified at Elstree. But, as I say, those are the
 only two clinical research trials involving factor
 concentrate I was involved with at the Royal Free
 during my first period there.
- 7 Q. The practice of building up a bank of stored samples 8 that we know took place at the Royal Free, was that 9 Dr Kernoff's idea?
- A. Yes, it was his idea and it was innovative,
 forward-looking, and proved to be a resource for very
 important research studies.
- Q. Do you know what, if anything, was said to patients
 about that, or about the purposes for which any
 samples would be stored and used?
- 16 A. No. I mean, Peter told me straight off from the startwhy he was doing it.
- 18 Q. Which was?

- A. That it was to track the potential effects of
 transmissible agents given to our patients. Because
 he also stored a sample bottle from every batch of
 concentrate that we used.
- Q. Do you ever use those stored samples for any of yourresearch?
- 25 A. On a couple of occasions we were contacted by patients

agent that causes CJD and which caused spongiform encephalopathy in the UK cattle herd, to which the whole population was then subjected, and with some, fortunately, low level of transmission. When we, as I say, became aware that blood products could transmit prion agent, we — the haemophilia community took this very seriously and we started looking over all of our patients who'd received a blood product from an individual who later developed new variant CJD.

And it turned out that the most -- the largest group of our patients who had received such a product were in fact patients with Factor XI deficiency, of which we had the largest number of patients in the UK, because of the prevalence in the Ashkenazi Jewish population and our location in North London. And so we then had to advise those patients that they were considered at risk for new variant CJD.

By the way, the entire population of the UK is so considered at risk, and our blood is not -- our plasma is not used for plasma product preparation if we were eating beef in the relevant period. But we -- so there was great interest in the -- and concern about the extent to which haemophilia, our patients, may have been exposed to these prion agents from UK blood products, UK-sourced blood products. It's not

or their relatives -- and I'm talking about the later period of my time at the Royal Free, from 2006 onwards -- wanted to know if we could get the sample back out and check when they seroconverted for hepatitis C and/or HIV. And we'd get requests from time to time, they were useful in -- usually in cases of litigation.

So those were the only occasions on which I did use those samples. I don't know if you're coming to it, but there was a question of using them in relation to the most recent threat that's been identified in the blood supply, which is from Prion disease, variant CJD.

- 14 Q. I was going to ask you about that this afternoon,
 15 absolutely we can come to it now. Professor Lee
 16 referred to it yesterday and we looked at a newspaper
 17 report. You've dealt with the position in your
 18 statement in more detail so could you perhaps explain
 19 to us your involvement in that issue.
- A. Yes, when it became apparent that at least one patient with haemophilia had been found post-mortem -- he'd died of other complications of haemophilia -- that the prion agent was detectable in tissue -- certainly we'd realised there was a theoretical, more than theoretical, risk of blood transmission of the protein

considered that blood products made in other countries were also so at risk. So the stored plasma bank was of interest, and I was thinking of things to do with it, just in general terms, being a research-oriented clinician, and I got talking to the people at the prion disease unit here in London, and asked them if they thought this bank could be of use in their researches.

Now at that point there was no established test on plasma to find prion. The only test we had then was to look in tissue, and stain for the material that accumulates, the prion protein. And -- but there was a hope that such a test could be developed to look in blood and the conversation had got just about that far when one of the researchers from the prion disease unit was at an international meeting and mentioned that there was a source of stored samples which would be of interest to look at and so, very prematurely from my point of view, this became public.

I hadn't got to the point of any arrangements, much less talking to our patients, which of course would be essential to do, before starting under -- you know, now -- by now we're talking 2010, I think -- we certainly would not proceed to do any tests on that material without consulting with the people from whom

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1		it was taken.	1		that the instrument would be quarantined, we don't
2		So I acknowledged that there was an unfortunate	2		doubt that it entered the decision. He is under
3		leakage of early stage discussions at that point, and	3		consideration for a double balloon push endoscopy at
4		apologise for any concern or worry that may have	4		St. Mark's, but the instrument costs £25,000, and one
5		caused.	5		would have to be bought for him."
6	Q.	And just whilst we're on the subject of vCJD, I wanted	6		So that was a case of an individual whose
7		to ask you to look at a letter you wrote. It's	7		treatment was effectively being deferred, or there was
8		HCDO0000835_001. It's a letter from you and from the	8		a risk it might not take place at all, because of the
9		clinical haemophilia nurse specialist at the	9		cost of having to buy the endoscopy specifically for
10		Royal Free in December of 2006, addressed to Dr Hill	10		his surgery.
11		and to Dr Hay, in their capacity at UKHCDO.	11	Α.	
12		"Variant CJD and cost of endoscopes". Won't go	12	Q.	
13		through the entirety of it, but we can see from the	13	٠.	and this was a Factor XI case:
14		first sentence, you say:	14		" not received one of the batches that
15		"This is an ongoing problem for us we have	15		contained a contribution from a vCJD patient, but is
16		several patients whose treatment has been considerably	16		nevertheless on the at 'risk' register."
17		influenced by the fact that they are on the at 'risk'	17		And you're saying you may have to purchase
18		register because they had concentrate during the	18		a colonoscope for that purpose. You've assured the
19		relevant period."	19		patient that the Department of Health have stated no
20		Then you go on to give details of the first	20		patient's health will suffer.
21		patient and, picking it up about five lines from the	21		But there was going to be delay, rather than
22		bottom of that paragraph:	22		something that could have been done in one procedure.
23			23		_
		"Our gastroenterologists were very reluctant to			And if we go over the page, in the second paragraph
24		proceed with an endoscopic approach and although they	24		you say:
25		claimed this was not because of the inevitable fact	25		"These are only two out of a large number of
		125			126
1		patients potentially affected by the decision"	1		with blood product with prion agent, spongiform
2	SIR	BRIAN LANGSTAFF: Are the what's on the screen	2		encephalopathy.
3		isn't the second page at the moment. There it is.	3		We haven't had any more cases, that I'm aware of,
4		Thank you.	4		but it's an example of how you never know what's going
5	MS	RICHARDS: Yes.	5		to turn up next in blood, as new agents appear.
6		"These are only two out of a large number of	6	M	S RICHARDS: I was going to turn to another topic. Would
7		patients potentially affected by the decision of the	7		you like to take the break now?
8		Health Protection Agency and this is a problem that is	8	SI	R BRIAN LANGSTAFF: Yes, that might be a good idea. How
9		going to increase steadily, as the large number of	9		long do you think you need?
10		patients affected age and increasingly require various	10	M	S RICHARDS: I've got a few more questions for
11		kinds of instrumental intervention for diagnostic or	11		Professor Tuddenham of my own; not a huge number, but
12		therapeutic purposes."	12		there needs to be sufficient time for recognised legal
13		So you were raising this concern, and indeed it's	13		representatives to suggest any further questions to me
14		been raised by a number of individuals in their	14		that they want.
15		evidence to the Inquiry. How, if at all, has it or	15	SI	R BRIAN LANGSTAFF: How long is that?
16		was it resolved during the time that you were at the	16		S RICHARDS: I'm going to suggest 40 minutes, perhaps.
17		Royal Free from 2006 to 2011?	17		R BRIAN LANGSTAFF: That founds fine. So quarter to
18	A.	Not definitively. We did our best for each patient in	18		four.
19		each circumstance; found a way around if we couldn't	19	(3	.04 pm)
20		find anyone to use an endoscope. Eventually,	20	,υ.	(A short break)
21		endoscopes were purchased, and there's a large store	21	(3	.45 pm)
22		of such endoscopes, each one assigned to one patient,	22		S RICHARDS: Professor Tuddenham, I just wanted to pick
23		that we have at the Royal Free for our patients.	23	1814	up on the theme of paternalism. You mentioned that
24		I think time only will eventually resolve this.	24		earlier in context of the doctor's attitude towards
25		Happily, the transmissibility appears to be pretty low	25		consent in the context of the doctor's attitude towards
		, the deficitions into appears to be pietry low	20		concentration of recognism. I just wanted to

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ask you to think about that principle or that concept when one is talking about treatment decisions.

Would you accept, as a matter of principle, that patients should have been told about the risks of non-A, non-B hepatitis?

6 A. Yes.

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- Q. And would you accept again, as a matter of principle, 8 that they should have been told about the risks of 9 AIDS?
- 10 A. Yes.
- Q. Would you agree that one of the reasons at least why 11 12 that's important is because if you've got a balance of risks, ultimately the judgment as to whether you run 13 14 one risk or the other, and expose yourself to that, 15 it's got to be for the patient?
- 16 In most circumstances, yes.
- Q. Yes. So there may be firm advice and a firm view 17 18 a doctor holds that they can impart to the patient?
- 19 A. Indeed, they can refuse. If they're Jehovah's 20 Witnesses, they will have refused completely to have 21 any blood product at all in that era.
- 22 Do you know, as a matter of fact, what information was 23 given by Dr Kernoff or your colleagues to patients in 24 the 1978 to '85 period about the risks of non-A, non-B 25 hepatitis or AIDS?

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Are you able to expand upon that observation? A. I did slightly couch that in probable terms by putting "seems" in the middle of the sentence. There was clearly money to be made by producing factor concentrate, as more was being used to improve management of bleeding. Even by today's standards, it's still not very high, now that we have prophylaxis aiming at trough levels which eliminate bleeding. But there was a steady rise in consumption per capita, per patient.

And it's a valuable drug that sells at a high price. Currently there's a \$10 billion industry making Factor VIII. And back then it was smaller, but there was a demand, and a commercial incentive.

Did it overwhelm the safety issues? Well, when one looks at the sort of headlong rush to make more Factor VIII, getting the plasma from wherever or whoever, in those days of the late 70s, early 80s, I think it's fair to say that a commercial incentive did overwhelm the safety issues, yes.

- 21 And I just want to refer you to the transcript of an 22 interview you gave in 2016.
- 23 It's JEVA0000011, I think.
- 24 So this is an interview you gave on 25 29th September 2016. You watched a film,

A. No. Conversations with patients that I can recall, on the occasions when that arose, they were clearly aware that -- to anyone who was reading the newspapers, it was, you know, these things circulated, and the patient community were -- it's not like today with the era, of course, of social media, but back then, people were in touch with each other and the news circulated. But I'm not -- I wouldn't know what was said during annual review consultations.

10 Q. Can I then just come to the question of pharmaceutical 11 companies and their role, briefly.

> You have described for us in your statement your relationship with Speywood and then later on other pharmaceutical companies. Can I ask you to look at a paragraph in your witness statement, paragraph 46 -again, could we have it on screen, Henry, it's WITN3435002. If we go to page 20, please.

It's towards -- in paragraph 46, about last three lines of the page after you refer to there being a full sense of the risk benefit ratio, you say this:

"Once the demand for factor concentrate accelerated with more home treatment and some prophylaxis the commercial incentive to make more and sell more seems to have overwhelmed the safety issues."

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Bad Blood: A Cautionary Tale, and you were giving 2 reflections on that. And then I wanted to ask you to 3 look at some of the observations or comments you made about the pharmaceutical industry.

> So -- if we go to the second page, please, Henry -- you say this, picking it up at line 13, you refer to the film and say:

"I think it's a film that should be required viewing for drug company executives in the field of blood products ... it's a very important message to bear that, as doctors, whilst trying to do our best for patients with our new technologies, there are increased risks and it should not be forgotten; and this thing will happen again, but we need to be alert to the possibility that we're making a mistake and be ever-vigilant and take the most measures that we possibly can to be aware of new threats and to keep safety at the forefront."

Then you go on to say at line 24:

"I think the commercial interests clearly outweighed best practice in safety."

Which I think is essentially the point you've just made.

Then if we go to the next page, please -- if we go to line 15, please, Henry -- you say this:

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"It is very difficult to be a paid consultant to a particular drug company and still keep completely independent in your thought. I know that because I have done consulting and in many -- in the industry and the standards now are certainly better for declaring interest than they used to be. You have to be completely transparent with the total amount of money that you receive and the fact that you're on consultation boards ... it would be unusual for a very prominent researcher/medical doctor in the field not to be engaged with the companies who are making the treatment that we use, even only in an advisory capacity."

Then you say this:

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"So, yes, there can be conscious and unconscious bias."

Just pausing there before we come on to the next part, conscious bias is pretty self-evident. What were you meaning when you talked about there might be unconscious bias on the part of a clinician?

- 21 A. I think that the evidence for that comes out of the 22 amount of money that was being spent by the companies 23 and on lavish entertainment.
- Q. And you go on to talk about that as being at the 24 25 bottom of the page:

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gastrointestinal diseases where people would go on cruises for so-called -- for educational purposes, and make hundreds of thousands of pounds out of it, but it was -- it was remarkably lavish."

That's from your direct knowledge from the way in which pharmaceutical companies acted, is it?

A. Yes.

Q. Then there's a number of specific questions raised by core participants, Professor Tuddenham, on a sort of range of miscellaneous but important issues.

You told us about the stored samples of sera and the fact that Dr Kernoff also stored samples of concentrate. Two questions -- sorry, three questions arising out of that.

Have those concentrates, to your knowledge, ever been tested?

I don't know. And there was boxes and boxes of bottles of concentrate that came from each batch sitting in a cold room at the Royal Free when I got back there in 2006, as were all the plasma and serum samples sitting in minus 80 refrigerators which kept breaking down. They were the old ones.

And at a certain point, when we'd had yet another meltdown of the minus 80 fridges over a long weekend and they were beginning to smell bad, we destroyed all

"... it was overwhelmingly lavish at -- at various stages that I can recall."

And then you say that you weren't so exposed to it because you took a very academic route:

"... but I can see how it could influence people ..."

Then you say this:

"... why were they spending that money?" You mean there the pharmaceutical companies,

10 I take it, Professor Tuddenham? The answer:

"Because they could gain influence with it."

12 And that's the purpose, presumably, of the 13 interactions, the visits from the sales reps, whether 14 it's the mugs, the funding of booklets or whatever, 15 pharmaceutical companies want doctors or hospitals to 16 buy their product.

17 Yes. A.

18 Q. And then you talk about some examples of lavish 19

20 "... you would be going to the best -- to 21 a conference on haemophilia, there would be the very 22 best restaurants, the river cruises, the -- all the 23 paraphernalia of marketing products. It didn't go 24 quite to the heights that it got in some areas with 25 a bigger turnover like the, let's say, cardiology and

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1 the plasma and sera samples because they weren't any 2 use anymore and they'd become a biohazard.

3 And I think the concentrate bottles went out at the same time, and I'm not aware that they had been tested. They may have been, I just don't know.

6 Q. Did Dr Kernoff store, as well as the samples of 7 concentrate, any samples of cryoprecipitate that had 8 been used?

9 A. No.

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10 Q. And do you know whether those -- the samples of plasma, whether they were ever accessed by others, so 11 12 not doctors within the Royal Free, but third parties,

13 other organisations, other clinicians?

A. If so, it would only have been done with consent of an 14 individual. As I mentioned previously, sometimes we 15 16 were asked to do a look-back and test samples, and 17 I suppose that may have been sent out or supplied to 18 somebody else. Not -- during my time there, if we 19 were asked to check back, we did the testing.

20 Q. Okay. You have described in your statement your work 21 with Speywood and we've got various documents, I'm not 22 going to ask you for the details of that.

> Did Speywood products, were they used at the Royal Free?

Yes, indeed. The Hyate:C, the high purity pig

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- 1 Factor VIII, was used in our inhibitor patients for 2 many years. In fact the very last batch was used on
- 3 the patient who'd been treated back in 1986 sometime
- 4 in 2010 to cover a hip replacement I think, yes.
- 5 Q. And I think we know from the ethical approval document
- 6 we looked at earlier, there was, or there was intended 7 to be a trial of Hyate: C -- sorry -- yes, of the
- 8 porcine product.
- 9 A. Yes.
- Q. And were you directly involved with that? 10
- 11 A.
- 12 Did you perceive there to be any conflict of interest as between the use of the product at the centre for 13
- 14 patients and your own consultancy with the firm?
- A. No, it was a unique product, and if a patient had had 15 16 anti-human Factor VIII but didn't have anti-porcine, they were automatically highly suited for that 17

18 product.

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My other involvement with Speywood was in the project to purify human Factor VIII for analysis and cloning and so on, yes.

- 22 Then going back to the treatment policy in the late 23 1970s/early 1980s introduced by Dr Kernoff, do you 24 agree that there was a conscious decision to switch 25 patients to concentrates from cryoprecipitate?

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- 1 such as mild patients who hadn't previously been 2 exposed, then there should always have been 3 a conscious decision and a good reason to give the
- 4 concentrate. 5 Q. And would you accept that the risk of transmission of
- 6 hepatitis, of non-A, non-B hepatitis, was known,
- 7 whatever might have been the view of the precise
- 8 severity of it or its long-term consequences, that
- 9 concentrates were highly likely if not certain to
- 10 transmit non-A, non-B hepatitis was known by the late
- 1970s? 11
- 12 A
- 13 Q. And would you agree that it's reasonable to infer,
- therefore, that Dr Kernoff must have been willing to 14
- take that risk? 15
- 16 A. Yes.
- 17 Just on DDAVP, were there ever any problems with
- 18 supply of DDAVP that you're aware of?
- Not that I'm aware of. 19 A.
- 20 Q. You mentioned in your statement some involvement in the Penrose Inquiry. 21
- 22 A. Yes.
- Q. We've not currently been able to track down any 23
- 24 documentation about that, and you didn't, I think,
- 25 give oral evidence in any of the formal sessions.

- 2 Q. That that switch to concentrates included patients 3 with mild or moderate, as well as severe haemophilia?
- 4 A. Moderate, yes. If a mild patient was given
- 5 a concentrate when there was an alternative -- DDAVP,
- 6 cryo -- it should have been a conscious decision that
- 7 there was a reason to do that, that the others would
- 8 not have been appropriate, after we'd realised the
- 9 risks and the advice had come out. But again, as
- 10 always, there's a kind of grey period when the risk
- 11 wasn't fully appreciated, and somebody might have just
- 12 thought, well, in this particular circumstance, let's
- 13 give the concentrate.

And there is one case of that which I've given evidence on to an earlier phase of the Inquiry, where a patient had particularly resistant bleed and was given concentrate. But -- so there would be a decision, and it should, in all instances, have been considered.

In clinical medicine, things happen at weekends when people are on call, and who might not be familiar with the case, and you might get mistakes and I guess there is going to be such instances. But as a general principle, by the time it was the case that we knew that there was more risk with concentrate to patients,

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- 1 Could you just summarise what your involvement 2 was, how it came about, and what you did?
- 3 A. I gave a response to their questions during the course 4 of several hours. General questions about how we 5 reached decisions to give particular treatments during 6 the critical period, late '70s to mid-'80s.

If my evidence was not included in the report, which I think is what you're saying, then I'm not sure why not, but because I wasn't involved with the situation in Scotland, I was giving general background information, I guess, perhaps not of a sufficiently

- 12 specific character to merit inclusion in their report.
- 13 Q. And did you produce anything in writing, a report or statement, or was it a question of having a meeting
- and answering questions in person? 15
- 16 A. It was the latter.
- 17 Q. You mentioned the 'If in doubt, treat' principle.
- 18 Α.
- 19 How would that apply to patients who were previously
- 20 untreated patients -- PUPs; virgin haemophiliacs -- or
- 21 those who had only received very minimal or infrequent 22 treatment?
- 23 A. It would apply in the same way, but perhaps with
- 24 a slightly higher bar on whether this was really
- 25 likely to be a bleed, given that in mild and moderate

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1 patients, bleeding is less frequent and usually only "Was the Government negligent in not accelerating 2 happens after significant trauma. 2 self-sufficiency of home produced Factor VIII?" 3 Somebody with mild haemophilia falls two floors 3 And you set out your opinion: 4 down on to concrete and has a headache, you're going 4 "[You] believe the Government was negligent in 5 to treat them -- I mean, I'm thinking of a specific 5 that regard but, as pointed out this falls within the 6 area of 'discretion' and alternative supplies were 6 case -- and he'd gone to the local casualty and been 7 refused treatment, and his mother fortunately made him 7 procured. 8 8 come to see us, and we treated him. But, you know, And by "alternative supplies were procured", you 9 9 without any hesitation. Just like all clinical mean commercial concentrates, presumably? 10 decisions, you've got to take account of all the 10 A. Yes. 11 circumstances. 11 Q. And then: 12 Q. Then two final matters, I think, Professor Tuddenham. 12 "Would be provision of home produced concentrate Could we have HSOC0029583, please. 13 have reduced the number of HIV infected patients? The 13 14 This is an opinion you gave to the Haemophilia 14 answer here must very clearly be 'yes' despite the 15 Society March 1987, and you were, I think, commenting 15 over-cautious remarks of Professor Bloom in his Lancet 16 in response to a request by Mr Watters of the 16 editorial of 1984. He would himself now I am sure 17 17 Haemophilia Society on a -- was it on the general agree that we would have half or less of the antibody 18 litigation or on a specific individual's case? Can 18 positive cases that we have now had UK Factor VIII 19 you recall? 19 sufficiency been reached in 1977 as promised by the 20 A. Yes. 20 Government of the day. This means that the 21 Was it general? A general observation about the 21 bureaucratic discretion of the Government is litigation, or were you commenting on a specific 22 responsible for at least half of our antibody positive 22 23 individual? 23 cases." 24 24 A. Ah. It's a general observation. Does that remain your view? And then you pose a number of questions. You say: 25 A. Yes. 25 141 142 Q. And then you pose a question about do individual 1 You say this in the first -- in the second 1 2 2 patients have a prospect of proving negligence? And paragraph, third line: 3 you say that might depend upon individual cases. 3 "Everything that we give to a patient has ... to 4 And then if we go further down, I don't think 4 be subjected to the most intense scrutiny ..." 5 I need to ask you about your comment at the end about 5 And that's the principle that you then go on to 6 legal aid or being able to afford court costs. 6 discuss in the context of recombinant. 7 7 Did you have any other involvement in the Would you agree that that should always be, and 8 8 litigation that took place in the late 80s, beginning have been, a guiding principle when dealing with blood 9 of the 1990s? 9 products, whether now or in the 1970s and 1980s? 10 A. No. I didn't. 10 A. Yes, I would. Yes. Q. And then, if we could go, please, to RLIT0000022. 11 11 MS RICHARDS: Professor Tuddenham, those are the questions 12 Could we go to page 76. So this is the witness 12 I have for you. 13 seminar we looked at this morning. 13 Sir, I don't know whether -- before I ask If we could go 10 pages further on and if we could Professor Tuddenham if there's anything he wants to 14 14 just go to the previous page to check that this is you 15 add, whether there's any questions you have. 15 16 Questioned by SIR BRIAN LANGSTAFF 16 speaking. Sorry, previous page still. I think it's 17 fairly obvious it's you but I just want to put it in 17 SIR BRIAN LANGSTAFF: Yes, I do. 18 context. If we keep going back. It's obviously 18 At the end of this Inquiry, I shall have to 19 a long intervention of yours. We've gone too far back 19 consider making recommendations. That's 20 20 recommendations as to what happens in the future. 21 SIR BRIAN LANGSTAFF: It has all the details which 21 You have said in your evidence -- you may well be 22 22 would -right -- that we just don't know what may be carried MS RICHARDS: It does. We'll move forward to 76. It 23 23 by blood in the future when that blood is transferred 24 talks about Speywood, so I'm fairly confident it's 24 from one person to another person. And you've said 25 you, Professor Tuddenham. 25 that we ought to assure the safety of it. How would

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1		you suggest that were best achieved?	1	not replaceable: red cells, platelets. These are
2	A.	Firstly, by continuing careful and, where possible,	2	produced in a phenomenally complex way within our bone
3		intense surveillance of the response to existing and	3	marrows; ways we've only latterly understood and are
4		future products in people who receive them.	4	certainly not yet in a position to replace. So there
5		We do have good systems for recording issue and	5	will be a continuing need for such blood products, but
6		tracing recipients of given blood donations, and that	6	our best way to guard against future risks and is
7		performed well in the more recent context of the prion	7	to maintain vigilance.
8		disease where it was possible to track everybody who'd	8	SIR BRIAN LANGSTAFF: Thank you very much.
9		received particular batches or products even down to	9	MS RICHARDS: Is there anything that you would wish to
10		the individual level. That must be continued where we	10	add, Professor Tuddenham?
11		use blood products.	11	PROFESSOR TUDDENHAM: Only my great regret that during
12		And in my view, to the extent that we can, we	12	that period our best efforts to improve the management
13		should find ways to replace blood products with	13	of haemophilia should have resulted in this disaster,
14		synthetic alternatives. The biotechnology industry	14	and to hope that we do learn from it, to guard against
15		has developed and advanced to the point where that can	15	any similar such catastrophic consequences by, as I've
16		almost universally be applied. There's still a few	16	said, continuing vigilance and always being aware that
17		examples where it has yet to be so applied, but there	17	we don't know it all and never will know it all, but
18		are occasions when there's nothing quite as good as	18	we have to keep learning.
19		the blood product itself because it's full of so many	19	MS RICHARDS: Thank you.
20		different agents. But we will never know what's	20	SIR BRIAN LANGSTAFF: Can I thank you very much for the,
21		coming along in the way of a future infective agent,	21	if I may say so, clear, considered, thoughtful and
22		so we have to preserve vigilance but replace, where we	22	balanced way that you have given your evidence.
23		can, with the products of the ingenuity of	23	You've I have to say, you've given me the
24		biotechnology.	24	impression that you come with no particular agenda to
25		There are some blood products that currently are	25	pursue, other than helping the Inquiry to the best of
20			25	
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1		your ability, and I would like to pay testament to	1	INDEX
2		that and thank you very much for coming to give your	2	PROFESSOR EDWARD GEORGE TUDDENHAM
3		evidence, and I suspect you probably regard it as your	3	(sworn)
4		duty to do so. You've achieved it, and it may well be	4	Examined by MS RICHARDS 1
5		that, as I think your counsel may be aware, there may	5	Questioned by SIR BRIAN LANGSTAFF 144
6		be some further questions to be addressed in writing	6	
7		in due course. I hope that you'll help us with that	7	
8		too.	8	
9	PR	OFESSOR TUDDENHAM: Yes.	9	
10		R BRIAN LANGSTAFF: Thank you.	10	
11		RICHARDS: So that's it for hearings this week, and	11	
12		then we reconvene on Tuesday to look at Birmingham,	12	
13		there will be a presentation in relation to Birmingham	13	
14		Children's Hospital, then we'll hear evidence from	14	
15		Professor Franklin on Tuesday, probably running into	15	
16		Wednesday, then from Dr Wilde, so still Birmingham,	16	
17		and then on Thursday from Dr Parapia, Bradford.	17	
18	SIR	R BRIAN LANGSTAFF: Yes, so ten o'clock on Tuesday for	18	
19	0111	those of you who are coming back next week.	19	
20		Stay safe.	20	
21	(A 1	15 pm)	21	
22	(3.1	(The hearing adjourned until 10.00 am on Tuesday,	22	
23		27th October 2020)	23	
24		E. III October Edeaj	24	
25			25	
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